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# Global temperature calibration of the Long chain Diol Index in marine surface sediments

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# 33 ABSTRACT

The Long chain Diol Index (LDI) is a relatively new organic geochemical proxy for sea surface 34 temperature (SST), based on the abundance of the C<sub>30</sub> 1,15-diol relative to the summed 35 abundance of the C<sub>28</sub> 1,13-, C<sub>30</sub> 1,13- and C<sub>30</sub> 1,15-diols. Here we substantially extend and re-36 37 evaluate the initial core top calibration by combining the original dataset with 172 data points 38 derived from previously published studies and 262 newly generated data points. In total, we considered 595 globally distributed surface sediments with an enhanced geographical coverage 39 compared to the original calibration. The relationship with SST is similar to that of the original 40 calibration but with considerably increased scatter. The effects of freshwater input (e.g., river 41 runoff) and long-chain diol contribution from Proboscia diatoms on the LDI were evaluated. 42 Exclusion of core-tops deposited at a salinity < 32 ppt, as well as core-tops with high *Proboscia*-43 derived  $C_{28}$  1,12-diol abundance, resulted in a substantial improvement of the relationship 44 between LDI and annual mean SST. This implies that the LDI cannot be directly applied in 45 46 regions with a strong freshwater influence or high  $C_{28}$  1,12-diol abundance, limiting the applicability of the LDI. The final LDI calibration (LDI= $0.0.0325 \times SST+0.1082$ ; R<sup>2</sup> = 0.88; n = 47 514) is not statistically different from the original calibration of Rampen et al. (2012) 48 49 (https://doi.org/10.1016/j.gca.2012.01.024), although with a larger calibration error of 3 °C. This larger calibration error results from several regions where the LDI does not seem to have a strong 50

temperature dependence with annual mean SST, posing a limitation on the application of theLDI.

53

*Keywords*: LDI core-top calibration, long-chain diols, SST, freshwater, *Proboscia* diatoms

# 56 **1. Introduction**

The present-day release of anthropogenic greenhouse gases into the atmosphere has 57 resulted in warming of the Earth's atmosphere and surface oceans, which is expected to continue 58 in the coming decades (IPCC, 2014). However, the actual extent of this temperature rise and its 59 implications for global climate is difficult to accurately predict due to the complexity of the 60 Earth's climate system. For the prediction of future climate conditions, we typically rely on 61 computer simulations of ocean-atmosphere circulation models, which in turn rely on time-series 62 of observational data of various climate parameters. However, instrumental records only extend 63 64 back to the last century. To accurately predict climate, it is essential to study natural climate evolution on geological timescales. For this purpose, a variety of climate proxies need to be used. 65

One of the most important climate parameters is past sea surface temperature (SST), 66 67 since oceans make up more than two thirds of the world's surface, and therefore profoundly influence (and respond to) global climate. Proxies are commonly based on measurements of 68 69 either inorganic or organic remnants of organisms preserved in sediment. One of the most 70 commonly applied inorganic paleotemperature proxies uses the stable oxygen isotopic composition ( $\delta^{18}$ O) of the carbonate shells of foraminifera (e.g., Emiliani, 1955; Shackleton et 71 72 al., 1967). Also, the Mg/Ca ratio measured in a foraminiferal shell is correlated with temperature 73 (e.g., Nürnberg et al., 1996). Organic temperature proxies, on the other hand, are generally based

on lipid biomarkers, which are specific for a certain organism or a group of organisms. In 74 paleoclimate studies there are two biomarker proxies for SST which are frequently applied. The 75 first is the  $U_{37}^{K'}$  index, based on long-chain unsaturated alkenones, detected in marine sediments 76 world-wide, which uses the ratio of the di-unsaturated C<sub>37</sub> methyl alkenones over the tri-77 78 unsaturated C<sub>37</sub> methyl alkenones (Brassell et al., 1986; Prahl and Wakeham, 1987). This ratio is positively correlated with temperature, since the modern-day alkenone producers (mainly 79 *Emiliania huxleyi* and *Gephyrocapsa oceanica*; e.g., Volkman et al., 1980, 1995; Marlowe et al., 80 81 1984; Conte et al., 1995) synthesize  $C_{37}$  alkenones, of which the degree of saturation varies with growth temperature (Brassell et al., 1986; Prahl and Wakeham, 1987). The temperature range of 82 the proxy is between -2 °C and ca. 29°C (Müller et al., 1998; Conte et al., 2006; Tierney and 83 84 Tingley, 2018).

The second proxy, TEX<sub>86</sub>, is based on the distribution of isoprenoid glycerol dialkyl 85 glycerol tetraethers (GDGTs), produced by the archaeal phylum Thaumarchaeota (see Schouten 86 87 et al., 2013 for a review). These archaea synthesize GDGTs containing 0–3 cyclopentane 88 moieties (GDGT-0 to GDGT-3) and crenarchaeol, which contains 4 cyclopentane rings and a 89 cyclohexane moiety (Schouten et al., 2002; Sinninghe Damsté et al., 2002). The proxy is based 90 on the relative abundance of GDGT-1, GDGT-2 and GDGT-3 and an isomer of crenarchaeol 91 (Schouten et al., 2002). The index is positively correlated with annual mean SST, showing an increase in the number of cyclopentane moieties with increasing temperature, and can be applied, 92 93 with caution, at temperatures > 30 °C. All these proxies have advantages but also recognized uncertainties, and since these uncertainties are proxy-specific, SST reconstructions are ideally 94 95 based on multiple proxies. Accordingly, the development of additional proxies is desired.

Rampen et al. (2012) proposed the Long chain Diol Index (LDI), based on the fractional abundances of long-chain alkyl diols (LCDs), specifically the  $C_{28}$  and  $C_{30}$  1,13-diols and  $C_{30}$ 1,15-diols, which contain a hydroxy group at  $C_1$  and a hydroxy group at the  $C_{13}$  or  $C_{15}$  position, respectively:

100 
$$\text{LDI}=[C_{30} \ 1,15\text{-diol}]/([C_{28} \ 1,13\text{-diol} + C_{30} \ 1,13\text{-diol} + C_{30} \ 1,15\text{-diol}])$$
 (1)

The LDI, based on 161 globally distributed core-top sediments, shows a strong correlation with
 SST described by the following transfer function:

103 LDI=
$$0.033 \times SST+0.095$$
 (*n* = 161; R<sup>2</sup> = 0.97; RE = 2.0 °C) (2)

The main limitation of the LDI is the fact that the producers of the 1,13-diols and 1,15-104 diols in the ocean are still unknown. Cultured freshwater and marine eustigmatophyte algae 105 produce 1,13-diols and 1,15-diols (Volkman et al., 1992; 1999; Gelin et al., 1997; Méjanelle et 106 al., 2003; Shimokawara et al., 2010; Rampen et al., 2014b), but the LCD distributions observed 107 in the cultures are dissimilar from the distributions observed in the marine environment. 108 109 Moreover, these eustigmatophytes rarely occur in the ocean (e.g., Balzano et al., 2018). In contrast, Shimokawara et al. (2010) observed that the LCD distributions in the eustigmatophyte 110 Nannochloropsis sp. (containing a dominant C<sub>32</sub> 1,15-diol) were similar to that observed in 111 112 sediments of Lake Baikal, suggesting that eustigmatophytes might produce LCDs in lakes. Additionally, Villanueva et al. (2014) observed similar trends for 18S rRNA gene copy numbers 113 114 of (yet unknown) eustigmatophytes with LCD concentrations in an African lake, confirming 115 eustigmatophytes as potential LCD producers in freshwater. However, Rampen et al. (2014b) tested the LDI in 62 lakes and found that the correlation with temperature was weak ( $R^2 = 0.33$ ). 116 which is likely because of the presence of different eustigmatophytes, each possessing different 117

LCD distributions, implying that the applicability of the LDI may be limited to the marineenvironment.

120 Besides unknown producers, other issues with the LDI have been recognized. De Bar et al. (2016) and Lattaud et al. (2017a) observed that the LDI-derived temperatures in surface 121 sediments near river mouths significantly deviated from satellite-derived SSTs. The diol 122 123 distributions are characterized by elevated C<sub>32</sub> 1,15-diol abundance, due to the freshwater input where the C<sub>32</sub> 1,15 diol occurs in high abundance (Rampen et al., 2014b). Consequently, 124 applying the LDI in marine regions with riverine input should be done with caution. Rodrigo-125 Gámiz et al. (2015) showed that for surface sediments and suspended particulate matter (SPM) in 126 the subpolar region around Iceland, the LDI underestimated satellite-derived SST. Relatively 127 high C<sub>28</sub> and C<sub>30</sub> 1,14-diol abundances were observed in this area, which are characteristic for 128 Proboscia diatoms (Sinninghe Damsté et al., 2003; Rampen et al., 2007), although they were 129 also identified in the estuarine species Apedinella radians (Rampen et al., 2011). Accordingly, 130 131 the authors hypothesized that *Proboscia* diatoms (at least partially) contributed to the 1,13- and 1,15-diol production, and thereby compromised the LDI. For surface sediments in the Okhotsk 132 Sea, also a subpolar region, the LDI correlated with SST, but this relationship was statistically 133 134 different from the global calibration (Lattaud et al., 2018b). Lastly, down-core applications of the LDI have shown that the index is promising as a SST proxy but often reveals a slightly larger 135 glacial-interglacial temperature amplitude than found for  $U_{37}^{K'}$  and TEX<sub>86</sub> records (Rampen et al., 136 2012; Lopes dos Santos et al., 2013; Rodrigo-Gámiz et al., 2014; Jonas et al., 2017; de Bar et al., 137 2018). 138

Thus, despite promising down-core applications, questions remain about the calibration
of this proxy and in which environments it can be applied. Therefore, in this study we

substantially extended the initial global LDI core-top calibration of Rampen et al. (2012) with
literature data and newly generated data, adding 434 data points and considerably increasing
global coverage. Comparison with SST and salinity allowed us to determine the main controlling
factors and identify potential constraints on the applicability of the LDI.

145

# 146 **2. Materials and methods**

## 147 2.1. Surface sediments

We have combined the global core-top LCD data of Rampen et al. (2012) with other 148 previously published LCD data and newly acquired core-top data. We re-evaluated the original 149 150 LDI core-top dataset of Rampen et al. (2012), consisting of 209 measurements (black dots in Fig. 1), from which 161 LDI data points were used in the original calibration dataset. For this dataset 151 we quantified additional diols, in particular the C<sub>28</sub> 1,12-diol. Re-integration has led to minor 152 changes in LDI values of <0.08. For eleven samples, we could not retrieve the original data and 153 154 therefore we were not able to reintegrate the LCD peak areas (indicated in the Supplementary Table S1). Differences in contributions of the selected ions to the total ion counts (m/z 50–800) 155 of saturated vs unsaturated LCDs were considered by applying correction factors as described by 156 157 Rampen et al. (2009). For the dataset of Rampen et al. (2012), we applied two different correction factors to the two mass spectrometer (MS) systems on which the LCDs were analyzed 158 159 (Supplementary Table S1). Additionally, were-integrated some previously published LCD data 160 (pink dots in Fig. 1), i.e., the core-top sediment data from around Iceland of Rodrigo-Gámiz et al. 161 (2015), the Iberian margin surface sediment data of de Bar et al. (2016), the Gulf of Lion, Amazon Basin, Berau delta and Kara Sea data of Lattaud et al. (2017a), the Mozambique 162 163 Channel data of Lattaud et al. (2017b), the Okhotsk Sea data of Lattaud et al. (2018a) and part of

the Black Sea data of Lattaud et al. (2018b). For the published LCD data of Lattaud et al. 164 (2017a,b), we have re-evaluated the quality of the raw data (i.e., chromatographic separation, 165 signal-to-noise levels) and based on this we used 97 of 160 data points. We adopted the LDI data 166 from the region around Australia (Smith et al., 2013), but did not have the original MS data and 167 thus were not able to re-evaluate the LCD distributions. Re-evaluation of data of de Bar et al. 168 169 (2016) showed that the fractional abundances of the unsaturated LCDs were not corrected for the differences in contributions of the selected ions to the total mass spectrum, which is corrected 170 171 here (Supplementary Table S1). In total, the previously published data comprise 233 sediment locations. Additionally, we analyzed 105 polar fractions for long-chain diols that had been 172 analyzed previously by Kim et al. (2008, 2010; white dots in Fig. 1) for the global TEX<sub>86</sub> core-173 top calibration. Furthermore, we analyzed 186 new core-tops from several regions for a better 174 spatial coverage (white dots in Fig. 1). In total, 731 surface sediment samples were considered in 175 this study. 176

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# 178 2.2. Lipid extraction and instrumental analysis

The 186 new surface sediments (mostly 0-1 or 0-0.5 cm) were freeze-dried and extracted 179 180 with an Accelerated Solvent Extractor (ASE 200; Dionex) using a dichloromethane:methanol (DCM:MeOH) mixture (9:1; v/v) at a temperature of 100°C and a pressure of  $7-8 \times 10^6$  Pa. 181 182 Lipid extracts were dried under nitrogen and separated into three fractions (apolar, ketone, polar) 183 using activated (2h at 150°C) Al<sub>2</sub>O<sub>3</sub>. Separation was achieved using the eluents hexane/DCM (9:1; v/v), hexane/DCM (1:1; v/v) and DCM/MeOH (1:1; v/v), respectively (Method 2 in 184 Supplementary Table S1). The polar fractions were silvlated by the addition of pyridine and 185 186 N,O-bis(trimethylsilyl)trifluoroacetamide (BSTFA) and heating at 60°C for 20 min. Prior to

187	injection, ethyl acetate was added. GC-MS analyses were done on an Agilent 7890B gas
188	chromatograph interfaced with an Agilent 5977A mass spectrometer. Samples were injected on-
189	column at a starting temperature of 70°C, which was programmed to 130°C at 20°C min <sup>-1</sup> , and a
190	subsequent gradient of 4°C min <sup>-1</sup> to the end temperature of 320°C, which was kept for 25 min.
191	The GC was equipped with a fused silica column (25 m $\times$ 0.32 mm) with a CP Sil-5 coating
192	(film thickness 0.12 $\mu$ m). Helium was used as carrier gas with a constant flow of 2 ml min <sup>-1</sup> , and
193	the MS operated with an ionization energy of 70 eV. We identified the LCDs in full scan,
194	scanning from $m/z$ 50 to $m/z$ 850, based on their characteristic fragmentation patterns (de Leeuw
195	et al., 1981; Versteegh et al., 1997). Quantification of the LCDs was achieved in selected ion
196	monitoring (SIM) mode of the characteristic fragmentation ions (i.e., $m/z$ 299, 313, 327 and 341;
197	Rampen et al., 2012). The LDI was calculated according to Rampen et al. (2012) integrating the
198	relevant peak areas in SIM mode (Eq. 1). For the calculation of fractional abundances, we
199	applied a correction factor for the relative contribution of the selected fragments during SIM to
200	the total ion counts for the saturated (16.1%) vs unsaturated (9.1%) LCDs.
201	Lipid extraction methods for re-analyzed polar fractions (from Kim et al., 2010) and the
202	LCD data which we re-evaluated or adopted, are described in the original literature. We have
203	classified these methods into five groups, indicated in the Supplementary Table S1 (Methods 1-
204	5). Generally, these methods differ in extraction protocol, i.e. ASE, ultrasonic extraction, Bligh
205	and Dyer, or ASE followed by saponification of the extract or in fractionation protocol, i.e. two
206	(apolar-polar) or three fraction (apolar-ketone-polar) separation using Al <sub>2</sub> O <sub>3</sub> , three fraction
207	separation using silica gel or separation of core lipids and intact polar lipids over silica gel.
208	

209 2.3. Oceanographic data

The LCD data were compared with temperature, salinity and nutrient data from the World 210 211 Ocean Atlas 2013 (WOA13). Annual mean, seasonal sea surface temperatures (°C; 0 m depth), temperatures for different depths, and salinity were obtained from the 0.25° grid databases 212 (decadal averages over the period of 1955 to 2012; Locarnini et al., 2013; Zweng et al., 2013). 213 Annual mean phosphate and nitrate concentrations (µmol l<sup>-1</sup>) were obtained from the 1° grid 214 WOA13 databases (Garcia et al., 2014b). In case there was no temperature data for the 0.25° grid 215 corresponding to the core-top location, we adopted the SST value for the closest 0.25° grid. For 216 SST, we used data within 1° distance; if not available, we did not include the data. Exceptions 217 are thirteen Antarctic sediments for which we used seasonal SST data of  $1-2^{\circ}$  degrees away, as 218 in these regions SST data were generally scarcer. For salinity, we used values within 1.5° 219 distance of the core-top location, and for phosphate and nitrate we stayed within a 3° radius. For 220 a principal component analysis, we also obtained oxygen saturation (%), dissolved oxygen (ml l<sup>-</sup> 221 <sup>1</sup>) and silicate concentrations (µmol l<sup>-1</sup>) from the World Ocean Atlas 2013 (Garcia et al., 222 2014a,b). The oxygen and silicate data were obtained from 1° grid databases, and only data of 223 224 the grids corresponding to the core-top locations were used; i.e., in case not available, we have 225 not adopted data from grids nearby.

226

227 2.4. Statistical analysis

We performed Principal Component Analysis (PCA) on the fractional abundances of the various LCDs, annual mean SST, salinity, and phosphate and nitrate concentrations, using the XLSTAT software (Addinsoft, 2018) (Fig. 3). Furthermore, PCA analysis was done on LDI, dissolved oxygen, oxygen saturation, nitrate, phosphate, silicate, salinity, SST and LDI

(Supplementary Fig. S4). When an LCD was not detected, its fractional abundance was
considered zero. In both PCA, data points were not plotted in case data for one or more of the
parameters (i.e., LDI, salinity, SST, phosphate, etc.) was missing, or if the fractional abundance
of one of the long-chain diols was unknown (e.g., due to co-elution). R statistical software was
used for multiple linear regression analyses and for the comparison of different regression slopes
by means of analysis of covariance (ANCOVA).

238

# 239 **3. Results and discussion**

We have combined several data sets to extend the core-top calibration of the LDI, as 240 originally published by Rampen et al. (2012), to re-assess the calibration of the index, and to 241 identify potential constraints on the proxy. We have re-evaluated the core-top data of Rampen et 242 al. (2012), and re-evaluated and adopted previously published LCD data (Smith et al., 2013; 243 Rodrigo-Gámiz et al., 2015; de Bar et al., 2016; Lattaud et al., 2017a,b, 2018a,b) and added new 244 245 core-top data, which in total resulted in LCD distributions from 731 core-tops. Of these 731 sediment samples, we have excluded 136 samples because of quantification limit issues, related 246 to high backgrounds obscuring the signals of the LDI-diols or low abundances of all LCDs, 247 248 compromising the reliability of the LDI values. Furthermore, for certain samples fractional abundances are given but not the LDI (Supplementary Table S1), as the relative diol abundances 249 250 were considered too low for index calculation. For instance, some core-tops are dominated by 251 1,14-diols, for which the Diol Indices were calculated, but not the LDI as the 1,13- and 1,15-252 diols were present in too low amounts. In total we obtained 595 LDI data points and cover an annual mean temperature range of -1.8 °C to 30.3°C (Fig. 2; Supplementary Table S1). Although 253

254	we have improved global coverage compared to Rampen et al. (2012), it must be noted that
255	almost 40% of our surface sediments originate from the tropical temperature regime, i.e. $> 25^{\circ}$ C.
256	We have linearly cross-correlated our LDI core-top data with annual mean SST
257	(WOA13; Locarnini et al., 2013), resulting in a positive regression and a coefficient of
258	determination ( $R^2$ ) of 0.82 (Fig. 2), confirming that the LDI contains a strong temperature signal.
259	The relationship (LDI=0.0323×SST+0.1111) is statistically not different from the slope and
260	intercept (ANCOVA <i>p</i> -value $> 0.1$ ) of the original LDI-relation as proposed by Rampen et al.
261	(2012; Eq. 2). Additionally, we performed a Principal Component Analysis to reveal possible
262	relationships between environmental factors and long-chain diol proxies (Fig. 3a) and between
263	individual LCDs (Fig. 3b). The first component (PC) in the first PCA (Fig. 3a) explains 51.1% of
264	the variance, with the strongest positive loadings of annual mean SST and LDI, suggesting that
265	temperature is the main control of variance on the first component, which is confirmed by the
266	strong correlation between the Factor 1 scores and SST, with a coefficient of determination $(R^2)$
267	of 0.79 (Fig. 3c). The $C_{28}$ and $C_{30}$ 1,13-diols load opposite of the $C_{30}$ 1,15-diol on Factor 1 (Fig.
268	3b). This is consistent with the $C_{30}$ 1,15-diol abundance, and thus the LDI, being higher with
269	higher SST while the 1,13-diol is more abundant with lower SST (cf. Rampen et al., 2012).
270	Accordingly, the variance explained by Factor 1 (28%) in Fig. 3b is also likely primarily
271	temperature, confirmed by the relatively high coefficient of determination ( $R^2 = 0.66$ ) for the
272	scores of Factor 1 and annual mean SST (Fig. 3e). The C <sub>32</sub> 1,15-diol has almost no factor loading
273	on the Factor 1 axis in both plots, suggesting that temperature has minimal influence on the
274	abundance of the $C_{32}$ 1,15-diol, which is consistent with the results of Rampen et al. (2012).
275	The fractional abundance of the $C_{32}$ 1,15-diol (cf. de Bar et al., 2061) has a strong
276	negative loading on the axis of Factor 2 (Fig. 3a), where salinity shows the strongest positive

loading. The abundance of the  $C_{32}$  1,15-diol has previously been linked to freshwater influence (e.g., Rampen et al., 2014; de Bar et al., 2016; Lattaud et al., 2017a; 2017b). Salinity is used as an indicator of freshwater input, suggesting the variance explained by Factor 2 is significantly influenced by freshwater input (and/or salinity), confirmed by the coefficient of determination (R<sup>2</sup>) of 0.56 (Fig. 3d).

A number of data points do not fall close to the calibration line and the decrease in coefficient of determination ( $\mathbb{R}^2$ ) from 0.97 of the original calibration of Rampen et al. (2012) to 0.82 in this study. In the following sections we will discuss factors potentially responsible for this increased scatter in the LDI calibration and evaluate possible constraints on the proxy.

286

#### 287 *3.1.Non-marine LCD contributions*

Previous studies have shown that river outflow, i.e. freshwater input, can compromise the 288 LDI (de Bar et al., 2016; Lattaud et al., 2017a), although the effect of riverine input on the LDI is 289 290 likely specific for each region. For instance, de Bar et al. (2016) observed lower LDI-derived SSTs than satellite-derived SSTs in surface sediments close to the river mouths on the 291 Portuguese margin, whereas Lattaud et al. (2017b) obtained LDI SSTs which were significantly 292 293 higher than satellite SSTs for Kara Sea sediments closest to the Yenisei River. Surface sediments deposited in low salinity environments (<32 ppt), which are impacted by river inflow, are mainly 294 295 derived from the Hudson Bay (salinity 26–31 ppt), the Baltic Sea (7–30 ppt), the Black Sea (11– 296 18 ppt), and the Kara Sea (10–32 ppt). While, despite the riverine input, the annual mean salinity 297 at the Portuguese margin in the region studied by de Bar et al. (2016) is above 32 ppt. The LDI values from the Baltic Sea, the Gulf of St. Lawrence and the Black Sea are clearly positioned 298 299 above the regression line (Fig. 2a) with temperature differences up to ca. +14.5  $^{\circ}$ C. This might

300	suggest that the LDI is unlikely to work in low salinity environments, consistent with Rampen et
301	al. (2014b) who observed that the LDI cannot be applied to lakes. Although there is no
302	significant relationship between salinity and the LDI or its residual error, plotting the residual
303	errors of the LDI calibration vs salinity shows that LDI estimates for areas with the lowest
304	salinities (Supplementary Fig. S1b) overestimate SST. Accordingly, when we exclude surface
305	sediments deposited at salinities < 32 ppt (57 samples originating from the Baltic Sea, the Black
306	Sea, the Hudson Bay, the Gulf of St. Lawrence and the Kara Sea), the coefficient of
307	determination improves ( $R^2 = 0.86$ ; Fig. 2c). However, note that this also results in a change in
308	calibration slope from 0.0323 to 0.0339 (Fig. 2). The core-tops from the Hudson Bay and the
309	Gulf of St. Lawrence originate from the dataset of Rampen et al. (2012) but were also excluded
310	in the original core-top calibration. It remains remarkable but unclear why the samples from the
311	Portuguese margin, also influenced by freshwater but with salinities > 32 ppt, do not follow this
312	trend in overestimating SST, but provides lower SST estimates instead.
313	An alternative way to screen sediments for the impact of freshwater influence could be to
314	use the abundance of the $C_{32}$ 1,15-diol, which is often elevated near rivers (Versteegh et al.,
315	1997; 2000; Rampen et al., 2014b; de Bar et al., 2016; Lattaud et al., 2017a; 2017b). However,
316	there is no clear relation between high $C_{32}$ 1,15-diol abundances and residual errors of the LDI
317	(Supplementary Fig. S1a) and removal of core-tops with high $C_{32}$ 1,15-diol abundances > 0.3,
318	only results in a very small improvement in the coefficient of determination ( $R^2 = 0.83$ ;
319	Supplementary Fig. S1). Accordingly, the fractional abundance of the $C_{32}$ 1,15-diol does not
320	provide a strong indication for biases in the LDI caused by freshwater input on a global scale.
321	We also tested if $C_{32}$ 1,15-diol abundances can be used to correct for a possible salinity effect, by
322	applying multiple linear regression with SST and calculated relative abundances of $C_{28}$ and $C_{30}$

1,13- and  $C_{30}$  and  $C_{32}$  1,15-diols from the original dataset. The outcome did not result in a higher 323 coefficient of determination with SST ( $R^2 = 0.83$ ), suggesting C<sub>32</sub> 1,15-diol abundances cannot 324 be used to correct for salinity effects. In any case, this study, as well as other studies (e.g., 325 Rampen et al., 2012; de Bar et al., 2016; Lattaud et al., 2017a), show that the application of the 326 LDI in low salinity environments or very close near river mouths may be problematic. The weak, 327 non-significant correlations between the LDI and its residual errors vs salinity or the fractional 328 abundance of the C<sub>32</sub> 1,15-diol indicates that neither salinity nor C<sub>32</sub> 1,15-diol are conclusive 329 indications for the compromising influence of freshwater. This influence is most likely specific 330 for every region, and may depend, amongst others, on the freshwater sources from which long-331 chain diols can derive. Rivers, lakes, estuaries, inland seas, wetlands, sea/land ice, etc. may each 332 contain different diol producers, and therefore contribute different long-chain diol distributions. 333

# 334

# 335 *3.2.Influence of Proboscia lipids on the LDI*

336 Our dataset includes the core-top data of Rodrigo-Gámiz et al. (2015) of the subpolar region around Iceland. However, as mentioned in the introduction, the LDI substantially 337 underestimates satellite SSTs in this region, likely because Proboscia diatoms seem to be at least 338 339 a partial source of the 1,13-diols. Since this may also occur in other regions, we screened for the influence of *Proboscia* diatoms using two Diol Indices based on 1,14-diols vs the 1,15-diol and 340 341 vs the 1,13 diols (Rampen et al., 2008; Willmott et al., 2010, respectively). Cross-correlating the 342 temperature difference between annual mean SST and the LDI-regression based SST (from Fig. 2c) with these indices (Supplementary Fig. S2), does not reveal strong correlations, although 343 several sediments have a high Diol Index 1 (Rampen et al., 2008) as well as a cold bias in the 344 345 LDI-derived SST. However, most core-tops with such a high Diol Index do not reveal this cold

bias. Thus, the Diol Indices do not provide an unambiguous indication for a potential bias on the
LDI caused by *Proboscia* LCD contribution.

We closely examined the data points which fall well below the LDI regression line, i.e., 348 many of the Iceland data-points (pink diamonds), the Okhotsk Sea (brown triangles) and the 349 North Atlantic Ocean (yellow squares; transect Ireland-Greenland) (Fig. 2a). Examination of the 350 351 chromatograms of these respective samples often showed an atypical LCD distribution compared to other marine sediments (Fig. 4). One sediment from the Gulf of Mexico also shows this 352 unusual distribution, and interestingly this is the only data-point of this region which also 353 substantially underestimates SST when compared to the LDI regression (LDI 0.43, SST 24.5°C; 354 Fig. 2a). These sediments are characterized by high mono-unsaturated and saturated 1,14-diol 355 abundances, but also relatively high C<sub>26</sub> and C<sub>28</sub> 1,12-diols. The Okhotsk Sea sediments also 356 contain relatively high abundances of the C<sub>26</sub> 1,13-diol. The C<sub>28</sub> 1,12-diol has been observed in 357 low amounts in lake sediments (Shimokawara et al., 2010; Rampen et al., 2014a), freshwater 358 359 eustigmatophyte algae (Volkman et al., 1999; Rampen et al., 2014a) and in *Proboscia* diatoms (Rampen et al., 2007) as well as in marine sediments with high 1,14-diol concentrations 360 (Willmott et al., 2010; Rampen et al., 2007; ten Haven and Rullkötter, 1991; de Bar et al., 2018). 361 362 The C<sub>26</sub> 1,12-diol has been observed in cultures of *P. inermis* and *P. indica* (Rampen et al., 2007), and in Eocene-Oligocene (between ca. 50–30 Ma) sediments from the Falkland Plateau 363 364 (southwest Atlantic Ocean; Plancq et al., 2014) and the New Jersey shelf (de Bar et al., 2019). In sediments where we detected relatively high abundances of the  $C_{26}$  and  $C_{28}$  1,12-diols, we also 365 detected relatively high abundances of the C27 and C29 12-hydroxy and C28 and C30 13-hydroxy 366 methyl alkanoates (Fig. 4). Sinninghe Damsté et al. (2003) and Rampen et al. (2007) observed 367 368 C<sub>27</sub> and C<sub>29</sub> 12- hydroxy methyl alkanoates in cultures of *P. indica*, *P. alata* and *P. inermis*.

369	Small amounts of the $C_{28}$ and $C_{30}$ 13-hydroxy methyl alkanoates were detected solely in <i>P</i> .
370	indica. Since, to date, Proboscia is the only group of organisms known to produce these
371	hydroxyl methyl alkanoates and 1,14- and 1,12-diols, this is a very strong indication that the
372	LCDs and mid-chain hydroxy methyl alkanoates in these sediments are produced by Proboscia
373	species. However, the unusual distributions with the high $C_{26}$ and $C_{28}$ 1,12-diols and $C_{28}$ and $C_{30}$
374	12-hydroxy methyl alkanoates detected in several sediments compared to those of cultures also
375	strongly suggest that they are sourced by Proboscia species that have not yet been cultured and
376	evaluated for LCDs.

The fact that the sediments with unusual distributions of 1,12-diols all reveal very low LDI values compared to the LDI regression, indicates that particular *Proboscia* species likely contribute 1,13-diols, thereby compromising the LDI. Consequently, we calculated the fractional abundance of the  $C_{28}$  1,12-diol (with respect to the  $C_{28}$  1,12-, 1,13-, 1,14-,  $C_{30}$  1,13-, 1,14- and 1,15-diols):

 $FC_{28} \ 1,12-diol = [C_{28} \ 1,12-diol] / [C_{28} \ 1,12+C_{28} \ 1,13+C_{28} \ 1,14+C_{30} \ 1,13+C_{30} \ 1,14+C_{30} \ 1,15-diols]$  (3)

and subsequently plotted the  $FC_{28}$  1,12-diol against the residual temperature errors of the LDI 384 385 calibration (i.e., LDI SST - AM SST; Fig. 5). This showed that the fractional abundance of the  $C_{28}$  1,12-diol is below 0.1 for the large majority of the sediments (~95% of total), but when the 386 387 fractional abundance increases, the LDI is biased towards colder temperatures (up to ca. -14 °C 388 difference). For this reason, the relative abundance of the C<sub>28</sub> 1,12-diol might serve as a better 389 indication for the influence of certain *Proboscia* species on the LDI than the abundance of 1,14diols. Interestingly, the regions in which we observe Proboscia influence on the LDI as signified 390 391 by the high C<sub>28</sub> 1,12-diol abundance are, except for the one core-top in the Gulf of Mexico,

located between 45 and 65 °N, suggesting that these *Proboscia* diatoms thrive mainly in these high-latitude areas. An alternative manner to correct for the influence of *Proboscia* diatoms is using multiple linear regression of SST and  $FC_{28}$  1,12-diol. However, this did not result in an improved correlation, possibly because the relative amount of 1,13-diols produced by *Proboscia* is not a constant variable but is dependent on several environmental factors such as temperature and nutrient availability.

When we exclude core-top data with a fractional abundance of the C<sub>28</sub> 1,12-diol > 0.1 (24 core-tops), this improves the coefficient of determination to  $R^2 = 0.88$  (Fig. 6).

400 The resulting LDI calibration with annual mean SST is as follows:

401 LDI =  $0.0.0325 \times SST + 0.1082$  (n = 514;  $R^2 = 0.88$ ; RE = 3.0 °C) (4) 402 This regression equation is not statistically different from the one originally reported by Rampen

et al. (2012; Eq. 2) (ANCOVA *p*-value > 0.1; although it should be noted that the data are not normally distributed).

405

# 406 *3.3 Residual errors in estimation*

Although our new correlation between LDI and annual mean SST is strong, there is also 407 408 considerable scatter. Indeed, the resulting calibration error, i.e. the standard deviation on the residual errors, is 3.0 °C, which is higher than the 2.0 °C of the original calibration of Rampen et 409 410 al. (2012). The residual errors of the LDI-derived SSTs (LDI SST – annual mean SST) are 411 between -11.1 and 13.3 °C), without a relationship between the residuals and annual mean SST 412 (Fig. 6b). This range of residual errors is relative large, potentially limiting the application of the LDI as an SST proxy. Also, as can be seen in Fig. 6b, the residuals are not randomly distributed, 413 414 signifying that the linear regression model does not explain all trends in the dataset. Different

statistical models could be more appropriate to define the relationship between the LDI and SST, 415 however in addition to temperature, other environmental and/or biosynthetic factors may also 416 417 control the distribution of the 1,13- and 1,15-diols. LCD sources may have a seasonal occurrence, thereby registering seasonal instead of annual mean SST, and the LDI-SST 418 relationship may be affected by regional environmental conditions such as freshwater input, 419 420 nutrient conditions, and oxygen concentrations. To assess which water column parameters affect the LDI, we performed a PCA on the LDI, SST, salinity, phosphate, nitrate and silicate 421 concentrations, dissolved oxygen, and percent oxygen saturation values from samples from the 422 final calibration dataset (Supplementary Fig. S4). SST and the LDI show the largest factor 423 loadings for the first Principal Component which explains 51.8% of the total variance, indicating 424 that temperature is the most important factor for explaining the variation in the data. Salinity 425 loads in the same direction as SST and LDI, which may potentially be caused by the global 426 correlation between salinity and SST. The SST and LDI factor loadings for the second Principal 427 428 Component (24.4%) are relatively low and in the same range. Hence, the PCA results provide support for the idea that SST is the most important parameter affecting the LDI. 429

430 Nevertheless, this does not exclude the possibilities that in certain regions correlations 431 between LDI and SST are absent or different. For example, it was shown for the Iberian margin that these LDI data were likely compromised by river outflow, despite a salinity > 32 ppt (de Bar 432 433 et al., 2016), causing a large range of LDI values despite the small range in SST. The cross-434 correlation of the LDI with mean seasonal SSTs reveals that the LDI correlates best with summer temperatures ( $R^2 = 0.90$ ; Supplementary Fig. S3), also providing a more random distribution of 435 the residuals and a more consistent calibration error over the whole temperature range. The LDI 436 437 might be more reflective of seasonal temperatures rather than annual mean temperatures,

depending on regional growth seasons of the source organisms which in turn depend on nutrient 438 and upwelling conditions (e.g., Lattaud et al., 2019). In that case, an increase in scatter of the 439 440 calibration is not in the LDI, but in the temperature the LDI is calibrated against. Another issue might be that the proxy signal is not reflecting surface conditions. However, when correlating the 441 LDI with annual mean sea temperatures from different water depths, highest coefficients of 442 determination were observed for temperatures from the upper 30 m of the water column ( $R^2 =$ 443 around 0.88), similar to Rampen et al. (2012). This is also in agreement with Balzano et al. 444 (2018) who assessed long-chain diol concentrations for different water depths along a 445 longitudinal transect across the tropical Atlantic, where highest concentrations were observed for 446 the upper 20-30 m. Moreover, de Bar et al. (2019) calculated LDI temperatures for sediment trap 447 time series in the tropical Atlantic, the Mozambique Channel and the Cariaco Basin, and for all 448 three regions the flux-weighted annual mean temperatures agreed well with mean annual surface 449 temperatures. Thus, whereas seasonality may explain some of the scatter, there are no indications 450 451 that different depth habitats play a significant role.

To further illustrate that the existence of non-random residuals can partially be explained 452 by regional differences, the residual errors are plotted in Fig. 7 on a global map. The regions 453 454 where the LDI temperatures differ more than  $\pm 2SD$  from the regression line are mainly derived from the Mediterranean, the Equatorial Pacific and the Iberian margin. The LDI of the surface 455 456 sediments in the Mediterranean overestimate annual mean SST (up  $6.6^{\circ}$ C) while the core-tops of 457 the equatorial Pacific show severe underestimation (up to  $-11.1^{\circ}$ C). In the Pacific sediments, the 458 1,14-diols are also relatively high in abundance (30–90%), but the samples do not reveal unusual LCD distributions, i.e. a high abundance of 1,12-diols. Moreover, the PCA biplot does not show 459 460 that the Equatorial Pacific or the Mediterranean data cluster as distinct groups separated from the

other data (Fig. 3). The reasons for the cold and warm bias in these regions remain unknown, but may be due to differences in regional conditions. For instance, in the Equatorial Pacific, the LDI temperature signal might be related to the seasonal upwelling of cold waters. If the producers of 1,13 and 1,15 LCDs mainly thrive under high-nutrient conditions induced by upwelling, or during stagnant conditions, then the LDI will reflect temperatures during these times. In general, if the source organisms are seasonally blooming, then the LDI will likely reflect a seasonal rather than annual mean SST.

Another uncertainty is the age of the core-top material extracted. Although many 468 sediments represent the upper 0.5 to1 cm (with a few comprising the upper 2 cm), they can 469 represent different ages, as sedimentation rates can differ significantly per location, resulting in 470 different time lengths reflected by the sediment. A more careful assessment of the age 471 represented by each surface sediment can potentially improve the calibration of the LDI. 472 The LDI data at the upper end of the calibration (> $16^{\circ}$ C) showed a reduction in slope. However, 473 474 application of a third order polynomial equation results only in a moderate increase in coefficient of determination to 0.90 (data not shown). Therefore, we propose to use equation 4 as the new 475 calibration of LDI to SST, although we realize that the linear regression model does not 476 477 sufficiently explain all data and other models may be needed. More regional and time-series studies are needed to assess regional influences on the LDI, and future research should also focus 478 479 on the identification of the LDI producers. This could lead to a better understanding of the 480 mechanisms behind the relationship between the LDI and temperature as well as the effect of 481 differences in the source organisms.

482

# 483 *3.4 Implications for LDI temperature reconstructions*

Our new extended LDI calibration is statistically similar to the original calibration 484 485 proposed by Rampen et al. (2012), suggesting that previous temperature reconstructions based on the LDI (e.g., Lopes dos Santos et al., 2013; Warnock et al., 2017; Jonas et al., 2017) likely do 486 not require major adjustments. The maximum temperature difference between the previous SST 487 calibration and the present calibration is +0.45°C at the lower end of the calibration (LDI=0) and 488  $-0.02^{\circ}$ C at the upper end (LDI=1). However, due to the substantial increase in data-points 489 included in the calibration, the residual error increased from 2 °C to 3 °C, which is larger than 490 that of the  $U_{37}^{K'}$  (1.5 °C) and the  $TEX_{86}^{H}$  (2.5 °C). Possibly, differences between proxy values 491 observed in sediment records may now fall within proxy errors. 492 493 Importantly, our results provide new constraints on the application of the LDI. Firstly, the LDI should not be applied in low-salinity environments and environments substantially 494 influenced by river runoff. These types of conditions can, to some degree, be assessed for past 495 496 environments by organic proxies (e.g. the BIT index, the  $\delta D$  of alkenones, dinocyst assemblages) or inorganic proxies (e.g. mineral composition). Secondly, high abundances of the  $C_{26}$  and  $C_{28}$ 497 1,12-diols and C<sub>27</sub> and C<sub>29</sub> 12-hydroxy and C<sub>28</sub> and C<sub>30</sub> 13-hydroxy methyl alkanoates hint at 498 LCD contributions from *Proboscia* spp., and we advise against using LDI data when the 499 500 fractional abundance of the C<sub>28</sub> 1,12-diol (vs C<sub>28</sub> 1,12-, 1,13-, 1,14-, C<sub>30</sub> 1,13-, 1,14- and 1,15diols) is > 0.1. This LCD has probably been ignored in most of the previous studies on long-501 chain diols, but our data show it is useful to include it in future studies to assess the potential 502 influence of Proboscia LCD contribution on the LDI. Quantification of the C28 1,12-diol does 503 504 not require any modification of the SIM analysis method used for the standard 1,13-, 1,14- and 1,15-diols as it will be detected by the m/z 327 ion. Furthermore, caution is advised when 505

506	applying the LDI in case of high and/or variable 1,14-diol abundances (e.g., Equatorial Pacific).
507	Since previous LDI records have not reported the abundance of $C_{28}$ 1,12-diols we cannot
508	evaluate whether these were compromised by Proboscia-derived LCDs. However, de Bar et al.
509	(2019) calculated the LDI for the ages of ~11, 18, 33, 41 and 50 Ma for the Bass River core
510	(New Jersey, USA) and observed that the LDI-derived temperatures did not agree with other
511	paleotemperature records for this core, with LDI temperatures being between 2 to 14 °C lower as
512	compared to the $TEX_{86}^{H}$ -derived SSTs. Interestingly, the $FC_{28}$ 1,12-diol varied between 0.2 and 1
513	for the ages of 18, 33, 41 and 50 Ma, potentially suggesting a Proboscia influence on the LDI at
514	this location.

## 516 Conclusions

We have extended the global core-top temperature calibration of the Long chain Diol 517 518 Index and confirmed that LDI values are strongly correlated with annual mean SST, but with a considerable increase in scatter and a decrease in the coefficient of determination (R<sup>2</sup> reduced 519 520 from 0.97 to 0.82). We observed that most surface sediments with low salinities, in particular 521 sediments from the Baltic Sea and Black Sea, overestimate LDI-derived temperatures, and 522 exclusion of these sediments (salinity < 32 ppt) improved the coefficient of determination ( $R^2 =$ 523 (0.86)). The fractional abundance of the C<sub>32</sub> 1,15-diol is not a consistent indicator for freshwater influence on the LDI, since its ability to trace riverine input is likely region-specific. 524 Examination of diol distributions with high input of 1,14-diols from Proboscia diatoms shows 525 that the Diol Indices are not good indicators for identifying biases in the LDI, but that high 526 abundances of the C<sub>26</sub> and C<sub>28</sub> 1,12-diols, as well as the C<sub>27</sub> and C<sub>29</sub> 12-hydroxy and C<sub>28</sub> and C<sub>30</sub> 527 13-hydroxy methyl alkanoates, are associated with a cold bias in the LDI-based temperatures. 528

529	Therefore, we have defined a cut-off of 0.1 in the $C_{28}$ 1,12-diol fractional abundance, which
530	further improved the correlation between the LDI and annual mean SST. The new calibration
531	between the LDI and SST (LDI= $0.0325 \times SST+0.1082$ ; $n = 514$ ; $R^2 = 0.88$ ) covers a temperature
532	range between –3.3 and 27.4°C with a calibration error of 3 °C. However, the linear regression
533	model does not sufficiently explain all of our data, due to the presence of non-random residuals
534	in our dataset. More research is needed to constrain local and seasonal influences on the LDI, as
535	well as to identify the source organism, in order to reduce the calibration uncertainty. The
536	relationship is statistically similar to the Rampen et al. (2012) calibration, and thus supports
537	previous down-core LDI applications. Our results confirm that the LDI can be used as a proxy
538	for the reconstruction of annual mean SST in marine sediment cores, but with caveats, i.e. it
539	should not be applied in low-salinity/freshwater influenced regions, or when the fractional
540	abundance of the $C_{28}$ 1,12-diol (vs $C_{28}$ 1,12-, 1,13-, 1,14-, $C_{30}$ 1,13-, 1,14- and 1,15-diols) is >
541	0.1. Accordingly, re-evaluation of the reliability of the LDI records in terms of freshwater
542	influence (salinity, C <sub>32</sub> 1,15-diol abundance) and <i>Proboscia</i> contribution (high/variable 1,14-diol
543	abundances, C <sub>28</sub> 1,12-diol abundance) is recommended. Finally, in some regions there seems to
544	be no, or a weak relation between the LDI and annual mean SST, for reasons which are presently
545	unclear, thereby limiting the application of the LDI.

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561	
562	Appendices
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565	
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768	

769 Figures

770

771	Fig. 1. Core-top sediment locations investigated in this study. Black circles indicate the surface
772	sediments of Rampen et al. (2012), pink circles indicate surface sediments analyzed for long
773	chain alkyl diols in previous studies (de Bar et al., 2016; Lattaud et al., 2017a,b, 2018; Smith et
774	al., 2013; Rodrigo-Gámiz et al., 2015), and the white circles represent new surface sediment data
775	obtained in this study. The map of sea surface temperatures (SST) is based on data of the World
776	Ocean Atlas (2013) (Locarnini et al., 2013) and made in Ocean Data View (Schlitzer, 2015).
777	
778	Fig. 2. (a) LDI values of all surface sediments vs annual mean SST (0 m depth; WOA13), and
779	(c) LDI values of marine surface sediments vs annual mean SST when excluding all stations
780	where surface salinity < 32 ppt. The associated excluded sediments belong to the Hudson Bay,
781	Black Sea, Gulf of St. Lawrence, Kara Sea (7 of 8 sediments excluded) and the Baltic Sea
782	(indicated in the legend by strike-through). Panels (b) and (d) show the residual errors associated
783	with the regression of (a) and (c), respectively. In panel (b), the low-salinity core-tops are
784	highlighted which were excluded for the calibration plotted in panel (c). In panel (d), core-tops
785	associated with Proboscia long-chain diol contribution are highlighted, which were excluded
786	from the final LDI-SST calibration (Fig. 6) as discussed in Section 3.2.
787	
700	<b>Fig. 2</b> (a) DCA higher of the long shein diel provide i.e. the LDI and $C_{12}$ 1.15 diel fractional

**Fig. 3.** (a) PCA biplot of the long-chain diol proxies, i.e., the LDI and  $C_{32}$  1,15-diol fractional abundance, and environmental parameters, i.e., annual mean sea surface temperature (AM SST; °C), salinity, and annual mean phosphate and nitrate concentrations (µmol L<sup>-1</sup>); (b)PCA biplot of the fractional abundances of the different long-chain diols. Panel (c) and (e) show the Factor 1

scores of the biplots of panels (a) and (b), respectively, correlated against annual mean SST (°C).
Panels (d) and (f) show the Factor 2 scores correlated against the salinity. In cases where the
fractional abundance of one or more diols was unknown for a core-top, there was no LDI value,
or environmental data (World Ocean Atlas 2013) were not available, this core-top was excluded
from the PCA.

797

Fig. 4. Selected ion monitoring chromatograms of two sediments (two upper panels) with 'usual'
long-chain diol distributions, i.e., with low 1,12-diols. The lower four panels show the
chromatograms of four sediments with relatively high C<sub>26</sub> and C<sub>28</sub> 1,12-diols (blue), as well as
the C<sub>27</sub> and C<sub>29</sub> 12-OH (green) and C<sub>28</sub> and C<sub>30</sub> 13-OH methyl alkanoates (brown). These
sediments reveal LDI values which deviated substantially from the LDI calibration vs annual
mean SST (see Fig. 2). The 'Greenland' sediment is classified as 'North Atlantic Ocean' in Figs.
2, 5 and 6, and the "Pakistan margin" as "Arabian Sea".

805

Fig. 5. The fractional abundance of the  $C_{28}$  1,12-diol vs the residual error in SST estimation based on the regression plotted in Fig. 2c. The black solid lines indicate a fractional abundance of 0.1, and a residual error of 0. The dotted lines reflect the negative and positive 2×SD value of  $6.8 \,^{\circ}C$ .

810

Fig. 6. (a)LDI calibration after exclusion of estuarine sediments (salinity < 32 ppt), as well as surface sediments in which the fractional abundance of the  $C_{28}$  1,12-diol is > 0.1. Regions in which surface sediments were excluded based on the  $C_{28}$  1,12-diol abundance and salinity are indicated by underline and strike-through in the legend, respectively; (b)Residual SST errors

- 815 (LDI SST annual mean SST) against annual mean SST. The black dashed line reflects a
- residual error of 0 °C, and the grey dashed lines reflect the standard deviation of the residual
- 817 errors, i.e., the calibration error  $(3.0 \text{ }^{\circ}\text{C})$ .
- 818
- Fig. 7. Residual SST errors (LDI SST measured annual mean SST) plotted on the global map
- 820 (created in Ocean Data View; Schlitzer, 2015).