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Self-organization of river vegetation leads to emergent buffering 1

of river flows and water levels 2

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41 Abstract

42 Global climate change is expected to impact hydrodynamic conditions in stream ecosystems. There is limited understanding of how stream ecosystems interact and possibly adapt to novel hydrodynamic 43 conditions. Combining mathematical modelling with field data, we demonstrate that bio-physical 44 feedback between plant growth and flow redistribution triggers spatial self-organization of in-channel 45 vegetation that buffers for changed hydrological conditions. The interplay of vegetation growth and 46 hydrodynamics results in a spatial separation of the stream into densely vegetated, low-flow zones 47 divided by unvegetated channels of higher flow velocities. This self-organization process decouples 48 49 both local flow velocities and water levels from the forcing effect of changing stream discharge. Field data from two lowland, baseflow-dominated streams support model predictions and highlight two 50 important stream-level emergent properties: vegetation controls flow conveyance in fast-flowing 51 channels throughout the annual growth cycle, and this buffering of discharge variations maintains 52 53 water depths and wetted habitat for the stream community. Our results provide important evidence of 54 how plant-driven self-organization allows stream ecosystems to adapt to changing hydrological conditions, maintaining suitable hydrodynamic conditions to support high biodiversity. 55

56 Introduction

57 The importance of vegetation in affecting water and air flow and shaping physical landscapes has 58 been widely recognized [1, 2]. Mountain and hillslope vegetation reduces surface runoff, river 59 discharge and erosion rates, thereby affecting landscape morphology [3, 4]; vegetation steers tidal landscape development [5-7] and dune formation [8]; and in-stream, riparian, and floodplain plants 60 affect the processes and forms of alluvial rivers [9-11]. Water flow velocities in rivers are a function 61 62 of the balance between energy imposed by slope or discharge and the resistance imposed by the river bed. Within shallow, low-energy rivers, submerged and marginal aquatic vegetation imparts a 63 resistance to water flow [12] that affects water velocities in the channel [13-15]. Conventional 64 models, relating river discharge to flow velocity, assume vegetation to be an independent resistance 65 66 factor restricting water flow [16] with vegetation cover regarded as a static entity, presuming a unidirectional effect of vegetation on water flow. However, aquatic vegetation cover is also controlled by 67 water flow, among other factors (reviewed in [17]); water velocity influences the presence, density 68 69 and species composition of aquatic vegetation communities [17, 18]. Whilst field surveys [14, 15] and 70 models [19] have highlighted the impact of seasonal variation in vegetation cover in streams on local water velocities, they often ignore the two-way interaction in the process. 71

72 Aquatic vegetation typically grows as monospecific patches within streams [17] with a patterning caused by self-organization processes emerging from the divergence of water around 73 vegetation patches [20]. This interaction results in spatial patterns of patch alignment [21] that are 74 75 important for species facilitation [22]. Although self-organization is recognized as an important 76 regulating process in several natural systems [23], including the morphological structure of fluvial systems [24], there is insufficient understanding of the implications of self-organization induced by 77 78 the interaction between plant growth and water flow for the functioning of vegetated rivers and 79 streams. Moreover, we know very little about the ability of stream ecosystems to maintain a healthy, 80 diverse ecosystem in the face of changing discharge. This is a pressing need, as these high-81 biodiversity ecosystems are expected to face more severe hydrological conditions due to global 82 climate change and human modifications of rivers and their catchments.

83 We present a combined mathematical and empirical investigation that reveals how feedback 84 mechanisms between in-stream plants and river discharge buffer flow velocities and water levels 85 against high and low flows. A model is developed that describes the interplay of plant growth and 86 hydrodynamics within a spatially heterogeneous vegetated stream. With this model, we explore how 87 self-organization processes that emerge from this interaction create heterogeneity in plant biomass 88 and water flow, and how this in turn affects stream hydrodynamic conditions. We model an "abstract" 89 stream where we adopt a simplified setting of a single channelized flow area in between two 90 vegetated areas, and focus on the lateral adjustment of the effective width of the channel in response 91 to increasing discharge (Fig. 1A). Moreover, we assume that the stream is groundwater fed and 92 baseflow dominated, and hence discharge is presumed to change gradually. Plant growth is described 93 in the model using the logistic growth equation, and plant mortality due to hydrodynamic stress is 94 assumed to increase linearly with net water velocity [5]. We assume that the lateral expansion of 95 plants through clonal growth can be described by a random walk, and we therefore apply a diffusion approximation [25]. Water flow is modelled using depth-averaged shallow water equations in non-96 97 conservative form. The effects of friction exerted by the bed and vegetation on flow velocity are represented by the Chézy coefficient, following the approach of Baptist et al. [26], slightly modified 98 99 to account for bending of flexible submerged macrophytes in response to increased water flow [27]. To test the model predictions on flow regulation by in-stream plants, we use field measurements of 100 101 seasonal variations in plant cover, discharge, water levels and spatial patterns of flow velocities within and around vegetation patches in two baseflow-dominated single-thread chalk streams with seasonal 102 variations in discharge. One stream was dominated by mixed submerged and emergent vegetation, 103 104 and the other by submerged vegetation (see Materials and methods).

105 **Results**

(a) Water discharge regulates vegetation cover. Our model analysis reveals that the feedback
between vegetation growth and local flow velocity creates a self-organization process that allows
vegetation cover to readjust in response to increasing discharge (see bifurcation analysis in electronic
supplementary material S1 and Fig. S1; electronic supplementary material S2 and Fig. S2). At low

110 discharge, the entire stream becomes homogeneously vegetated (Fig. 1A). When discharge increases, the equilibrium changes from a homogeneously covered state to a partly covered state where the flow 111 is separated into two distinct spatial zones. One is characterized by low to zero vegetation biomass 112 and high flow velocities in the middle of the stream, and the other by high biomass and low flow 113 114 velocities at the edges of the stream. This is caused by a scale-dependent effect of vegetation on 115 hydrodynamics where increased flow resistance locally reduces flow velocities in the vegetated regions, while water flow is diverted and concentrated outside of the vegetation, thereby inhibiting its 116 117 expansion. With steadily increasing discharge, the area of channelled flow progressively increases and 118 the vegetated portions decrease as plants are uprooted, due to the self-organized adjustment of 119 vegetation cover to incoming discharge, until the system shifts to an unvegetated equilibrium where 120 no vegetation can persist (Fig. 1A). The resulting inverse relationship between incoming flow 121 discharge and vegetation cover (Fig. 1B) was calibrated to best fit the negative relationship observed 122 in the field for both study sites, showing that vegetation cover decreases (as plants are uprooted) with increasing discharge ($R^2 = 0.77$, p < 0.0001, Fig. 1C) in response to the seasonal pattern of changing 123 hydrology and vegetation growth and die-back. Moreover, our model predictions are supported by 124 experimental evidence of the flow divergence effect of vegetation patches: thus in the zone adjacent 125 126 to the vegetation, our model predicts on average a flow acceleration of 52% compared to the incoming flow velocity, a value close to the 42% average acceleration reported in [20]. 127

128 (b) Vegetation regulates flow velocities. The model predicts that local flow velocities both within the vegetation and in the unvegetated channelled flow area are relatively unaffected by changing 129 130 discharge (Fig. 2A). The slopes of the velocity-discharge relationships in Fig. 2A indicate that flow velocities increase by 0.03 m s⁻¹ per unit increase in discharge within the vegetation, and by 0.06 m s⁻¹ 131 132 between the vegetation. This stability in local flow velocities is the consequence of the adjustment of vegetation cover to increases in overall water discharge, with vegetation expanding when discharge 133 and flow velocities in the channelled area decrease, and retreating due to uprooting when discharge 134 and flow velocities increase. Hence vegetation readjustment buffers for increased discharge, thereby 135 136 maintaining relatively constant water flow velocities (Fig. 2A). These predictions are supported by

137 field data at the two study sites. Flow velocities within and between vegetation patches are buffered against changes in discharge. The presence of vegetation alone explains up to five times as much of 138 139 the variation in the observed flow velocities compared to discharge (electronic supplementary 140 material S3). In comparison, when averaged over the cross-section, water velocities show a much 141 stronger response to discharge variations, as a larger volume of water is passing through the channel. 142 However, since the area covered by vegetation decreases with increasing discharge, the widened, high-flow section of the stream accommodates the increased discharge and a four-fold increase in 143 144 discharge produces only a slight (although significant) increase in local velocities (Fig. 2B & 2C; 145 further details in electronic supplementary material S4 and Fig. S3).

(c) Vegetation regulates water levels. A second property emerging from the two-way interaction 146 147 between water flow and vegetation growth is that water levels in the channel with self-organized vegetation are maintained at constant level despite increasing discharge (Fig. 3A). By increasing 148 149 hydraulic roughness, vegetation raises water levels compared to an unvegetated stream for a given 150 discharge. This effect is most pronounced at low discharge, where water levels are significantly 151 higher in vegetated compared to unvegetated streams. As discharge increases, however, vegetation 152 cover decreases, producing strikingly constant water levels, whereas water levels would steadily 153 increase in a homogeneously vegetated channel (Fig. 3A). These predictions are confirmed by our field measurements of mean water levels from both study sites (Fig. 3B). In the 'mixed vegetation' 154 155 site, water levels were on average 0.28 ± 0.04 m, and only increased by 0.09 m for each unit increase in discharge ($r^2 = 0.54$, p = 0.0003; Fig. 3B), less than half of what would be expected for an 156 unvegetated stream (based on the model simulations in Fig. 3A). In the River Frome, the site with 157 predominantly submerged plants, water levels were on average 0.39 ± 0.07 m, and did not 158 significantly increase with discharge ($r^2 = 0.06$, p = 0.44; Fig. 3B), in agreement with model 159 predictions. Thus, for both study sites the largest effect of vegetation in raising water levels, relative 160 161 to an unvegetated stream, occurs at low discharges.

162 **Discussion**

163 Using a combined mathematical modelling and empirical study, we show that aquatic macrophytes can regulate both flow velocities and water levels in baseflow-dominated streams. Regulation results 164 from a self-organization process caused by the bio-physical feedback between vegetation growth and 165 166 flow redistribution. Here, increases in water discharge cause a decrease in partial cover of aquatic 167 vegetation relative to the unvegetated channels, creating a larger in-channel area for flow conveyance. 168 This self-organized adaptation of the cover of submerged vegetation buffers the impact of an increase in discharge, resulting in relatively constant local flow velocities and water levels independent of 169 170 discharge. Our study highlights that flow regulation resulting from biophysical feedback mechanisms 171 and self-organization of aquatic vegetation enables lowland stream ecosystems to adapt to changing hydrological regimes, such as those induced by global change. 172

173 The two-way interaction between water flow and plant growth has important implications for the functioning of the stream as an ecosystem and the provision of a wide range of ecosystem 174 services. Specifically, it facilitates the maintenance of biodiversity despite increasing discharge that 175 might otherwise create conditions adverse to plant growth. By buffering variations in local water flow 176 177 velocities, vegetation maintains both low-flow-velocity and high-flow-velocity habitats within 178 individual reaches. This self-organized heterogeneity facilitates ecosystem resilience to discharge variations and increases stream biodiversity [15, 28] by structuring communities of various aquatic 179 organisms. In-stream plants increase habitat complexity and maintain a wide range of mesohabitats 180 181 for fish species, by providing high-flow areas for feeding and spawning, adjacent to sheltered low-182 flow areas for nursery, resting, and refuge from predation. Moreover, by preserving reach-scale water depths, water temperatures are lowered and can hold greater dissolved oxygen levels [29], and the 183 high-flow velocities in the channelled areas between vegetation patches increase the turbulent 184 185 diffusion of atmospheric oxygen into the water. Thus, the survival of a wide range of aquatic and riparian organisms is facilitated. This is crucially important during low summer discharge, where 186 water levels might otherwise be insufficient to maintain a functioning aquatic community [15, 30]. 187 Finally, the creation of fast flowing areas in between the vegetation ensures flow and sediment 188 189 conveyance when in-stream macrophyte growth is abundant, maintains river bed permeability by

reducing the ingress of fine sediments into river beds [31], and keeps a clean gravel bed as spawningground for fish [32].

Our model results further highlight two additional important biological implications of the 192 flow regulation process resulting from self-organization, in terms of the adaptive capacity of fluvial 193 194 ecosystems facing altered discharges due to global climate change or human engineering. First, our model predictions indicate that the self-organized vegetation pattern allows vegetation to persist over 195 196 a wider range of discharges than if it were homogeneously distributed throughout the river bed. These non-linear dynamics lead to a metastable equilibrium between plant resistance and fluvial disturbance 197 in intermediate energy rivers, where the abiotic-biotic feedbacks and self-adjustment processes are 198 strongest [33, 34]. Moreover, within a certain range of discharge, the system has two stable states, one 199 200 where vegetation is patterned and a bare state where vegetation cannot survive (see electronic 201 supplementary material S1 and Fig. S1). Hence, removal of vegetation due to human management or 202 natural disturbances under conditions of high discharge might shift the system towards the alternative unvegetated state, from which vegetation recovery is slow or severely hindered unless discharge is 203 204 significantly reduced. A second implication of our results is that self-organized pattern formation 205 strongly increases macrophyte resilience compared to homogeneously vegetated streams, in terms of a faster recovery of vegetation biomass following, for instance, a disturbance imposed by strong 206 207 discharge variations (see electronic supplementary material S5 and Fig. S4). This enhanced resistance 208 and resilience of stream ecosystems resulting from self-organization processes is highly important in 209 the light of global change. Intensification of rainfall [35] in combination with land use change in river 210 catchments [36, 37] may alter hydrologic partitioning and surface runoff, imposing increasingly stressful and variable discharge conditions on stream ecosystems. 211

Our results, therefore, lead to important considerations for the management of stream ecosystems. In current maintenance strategies, abundant vegetation growth is typically regarded as problematic because it decreases the capacity of these streams for water conveyance in response to high discharge [17, 38]. However, our study provides evidence for the value of submerged aquatic vegetation in rivers which, through a process of self-organization over time, ensures flow conveyance 217 and maintains sufficient water levels for the aquatic ecological community at low discharges. Hence, there might be a need to reconsider vegetation as an important component of the adaptive capacity of 218 219 stream ecosystems and their ability to maintain a diverse range of habitats. The empirical results in 220 this study were collected over a 2-year period in two streams that have baseflow-dominated 221 hydrographs with relatively subtle changes in water discharge. Further research is now needed on 222 river systems with flashier hydrological regimes and different aquatic plant species (morphologies, biomechanical properties, and life-history traits) to test the stability and generality of these bio-223 224 physical feedback dynamics. Future studies also need to examine changes over longer (inter-annual) 225 and shorter (event-based) timescales and explore how changes in river hydrogeomorphology (channel dimensions, sediment transport) and biogeochemistry (nutrient levels) might impact on the reciprocal 226 relationships between vegetation and flow properties. 227

228 Spatial patterning generates important emergent effects (e.g. increased productivity, resistance) that go beyond the simple creation of heterogeneity, compared with a non-patterned state 229 230 [23]. These emergent effects have been increasingly observed in many self-organized ecosystems, 231 suggesting their generality. The process of water flow diversion within self-organizing ecosystems is 232 not unique to streams. Similar vegetation-induced self-organization processes affect hydrodynamics 233 in salt marsh pioneer vegetation [5, 39], diatom-covered tidal flats [40], and flow-governed peat land ecosystems [23, 41]. This points at the universal emergent properties that result from the interplay of 234 235 vegetation, water flow and drainage, shaping the adaptive capacity of fluvial and intertidal ecosystems and the services these ecosystems deliver in terms of supporting biodiversity. Another implication of 236 237 our study is that flow velocities are ultimately determined by the maximum flow stress that plants can tolerate before being uprooted. Since plant traits are under evolutionary constraints, this might suggest 238 239 that physical processes such as water flow can reflect the control of evolutionary processes in bio-240 geomorphic systems. With the current rates of climate change threatening ecosystems worldwide and potentially increasing the frequency and intensity of rainfall, increased insight into the emergent, 241 242 regulating properties of spatial self-organization in ecosystems and an understanding of their role in

ecosystem resilience will be essential to help maintain natural ecosystems in a future governed byglobal change.

245 Materials and methods

(a) Model description. To study how vegetation affects flow velocity and water levels in streams, we
constructed a spatially-explicit mathematical model of the interplay of plant growth and water flow
through a heterogeneously vegetated stream. The model consists of a set of partial differential equations,
where one equation describes the dynamics in two spatial dimensions of plant density (*P*), and where
water velocity and water level are described using the shallow water equations. By only including the
essential aspects of the coupling between hydrodynamics and vegetation, our model allows us to
investigate the key process of flow velocity and water level regulation by macrophytes.

253

The rate of change of plant biomass P [g DW m⁻²] in each grid cell is described by:

$$\frac{\partial P}{\partial t} = rP\left(1 - \frac{P}{k}\right) - m_W P|u| + D\left(\frac{\partial^2 P}{\partial x^2} + \frac{\partial^2 P}{\partial y^2}\right)$$
(1)

254 Here, plant growth is described using the logistic growth equation, where $r [day^{-1}]$ is the intrinsic growth rate of the plants and k [g DW m⁻²] is the plant carrying capacity, that indirectly reflects the mechanisms 255 of nutrient and light competition between the plants (see Franklin et al. [17] for a review of the main 256 factors controlling macrophyte growth and survival). Plant mortality caused by hydrodynamic stress is 257 modelled as the product of the mortality constant m_W [m⁻¹] and net water speed [m s⁻¹] due to plant 258 breakage or uprooting at higher velocities [5, 17, 42]. As the net water speed is converted in m day⁻¹, 259 the mortality constant is divided by a conversion factor of 86400 to obtain plant mortality in the units 260 of g DW m⁻² day⁻¹. We assume that the lateral expansion of plants through clonal growth can be 261 described by a random walk, and we therefore apply a diffusion approximation, where $D [m^2 day^{-1}]$ is 262 the diffusion constant of the plants [25]. 263

Water flow is modeled using depth-averaged shallow water equations in non-conservative form [43].
To determine water depth and speed in both *x* and *y* directions we have:

$$\frac{\partial u}{\partial t} = -g \frac{\partial H}{\partial x} - u \frac{\partial u}{\partial x} - v \frac{\partial u}{\partial y} - \frac{g}{C_d^2} u \frac{|u|}{h} + \nabla (D_U \nabla u)$$
(2)

$$\frac{\partial v}{\partial t} = -g \frac{\partial H}{\partial y} - u \frac{\partial v}{\partial x} - v \frac{\partial v}{\partial y} - \frac{g}{C_d^2} v \frac{|u|}{h} + \nabla (D_U \nabla v)$$
(3)

$$\frac{\partial h}{\partial t} = -\frac{\partial}{\partial x}(uh) - \frac{\partial}{\partial y}(vh) \tag{4}$$

where $u \text{ [m s}^{-1}\text{]}$ is water velocity in the streamwise (x) direction, $v \text{ [m s}^{-1}\text{]}$ is the water velocity in the 266 spanwise (y) direction, H [m] is the elevation of the water surface (expressed as the sum of water depth 267 and the underlying bottom topography), h [m] is water depth and C_d [m^{1/2}/s] is the Chézy roughness 268 coefficient due to bed and vegetation roughness and the terms $\nabla(D_{II}\nabla u, \nabla v)$ represent turbulent 269 diffusion (with $\nabla = \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y}\right)$ and horizontal eddy viscosity $D_U = 0.02 \text{ m}^2 \text{ s}^{-1}$). The effects of bed and 270 vegetative roughness on flow velocity are represented by determining hydrodynamic roughness 271 272 characteristics for each cover type separately using the Chézy coefficient, following the approach of 273 Straatsma and Baptist [44] and Verschoren et al. [27].

The Chézy coefficient within the unvegetated cells of the simulated grid, which we will refer to as C_b in this paper, is calculated using Manning's roughness coefficient through the following relation:

$$C_b = \frac{1}{n} h^{1/6}$$
(5)

where $n [s/m^{1/3}]$ is Manning's roughness coefficient for an unvegetated gravel bed channel and h [m] is water depth.

The Chézy coefficient for each grid cell occupied by submerged vegetation, which we will refer to as C_d , is calculated using the equation of Baptist *et al.* [26] and slightly modified by Verschoren *et al.* [27] to account for reconfiguration of flexible submerged macrophytes. Due to the important feedback effects taking place between macrophyte growth and flow velocity [17], we link the hydrodynamic and plant growth model by relating wetted plant surface area to plant biomass, to express vegetation resistance as:

$$C_d = \sqrt{\frac{1}{C_b^{-2} + (2g)^{-1} D_c A_w}} + \frac{\sqrt{g}}{k_v} \ln \frac{h}{H_v}$$
(6)

where C_b [m^{1/2}/s] is the Chézy coefficient for non-vegetated surfaces (Eq. 5), g is acceleration due to gravity (9.81 m s⁻²), D_c [-] is a species-specific drag coefficient, A_w [m² m⁻²] is the wetted plant surface

area (total wetted surface area of the vegetation per unit horizontal surface area of the river [27, 45]), 286 directly related to plant biomass through the empirical relationship described for Ranunculus in Gregg 287 and Rose [46], k_{ν} is the Von Kármàn constant (0.41 [-]), and H_{ν} [m] is the deflected vegetation height 288 (further defined below). The equation proposed by Baptist et al. [26] has been identified as one of the 289 290 best fitting model to represent the effects of vegetation on flow resistance, for both artificial and real 291 (submerged and emergent) vegetation [47]. Deflected vegetation height varies as a function of incoming flow velocity, due to the high flexibility of submerged aquatic vegetation and reconfiguration at higher 292 293 stream velocities [45, 48]. Following the approach of Verschoren *et al.* [27], H_v is calculated within 294 each vegetated grid cell as the product of shoot length L [m] and the sine of the bending angle α [degrees] (Table 1), using an empirical relationship between bending angle and incoming current 295 velocity based on flume experiments performed on single shoots of Ranunculus penicillatus [49]. In 296 our model, bending angle of a single shoot is used to represent the bending angle of a whole patch, as 297 298 plants located at the leading edge tend to push the whole canopy towards the stream bed. However, bending of the vegetation in a patch with multiple shoots can be expected to decrease with increasing 299 along-stream distance within the patch, due to flow deceleration effects of the vegetation. Table 1 300 provides an overview of the parameter values used, their interpretations, units and sources. We were 301 302 able to obtain parameter values from the literature for all parameters except for r, m_W and D, which were fine-tuned to provide the best quantitative fit to the observed vegetation cover across the discharge 303 gradient. The diffusion rate of plants D, corresponding to an expansion rate of $8.5 \text{ cm}^2 \text{ day}^{-1}$, falls within 304 the range of values reported in [50] $(2 - 150 \text{ cm}^2 \text{ day}^{-1})$. Although our model is principle-seeking and 305 does not aim to generate precise predictions, the robustness of the model for changes in these parameter 306 values is presented in electronic supplementary material S6. Sensitivity analyses revealed that changes 307 308 in these parameter values resulted in quantitative but not qualitative changes in model behaviour, i.e. 309 the absolute values of flow velocity changed (quantitative changes), but their relationship with discharge (trend of relatively constant velocities) remained unchanged. For parameter values outside of 310 the range tested here, numerical instabilities would arise and produce curvatures in the unvegetated 311 middle channel, an aspect that was out of the focus of this work and was not investigated further. 312

313 (b) Study sites. Two lowland, groundwater-fed chalk stream reaches were chosen for a two-year survey of macrophyte growth and flow velocity patterns (**Table 2** and electronic supplementary 314 material, Fig S5). The first reach, on the Bere Stream (50° 44' 11.50" N, 2° 12' 21.42" W), was 315 located within the River Piddle catchment. The second reach, Frome Vauchurch (50° 46' 29.95" N, 2° 316 317 34' 18.32" W), was located within the River Frome catchment. Based on the river classification in Rinaldi et al. [51], the study sites are single-thread alluvial channels on intermediate (gravel-sand) 318 substrates with straight-sinuous planform, characterized by an unconfined, low energy setting and 319 320 groundwater-dominated hydrographs. The two study reaches were selected to provide a comparison in terms of species richness of aquatic macrophyte cover. The Bere Stream reach was selected for its 321 richness in macrophyte cover, while the Frome Vauchurch reach was dominated by Ranunculus 322 stands. The study reaches were straight sections of 30 m long by 7-9 m wide. In the Bere Stream 323 ('mixed vegetation site'), the dominant in-channel aquatic macrophyte was water crowfoot 324 325 (Ranunculus penicillatus subsp. pseudofluitans), represented in both floating-leaved and submergent forms, whilst the stream margins were mainly colonized by the emergent macrophyte Nasturtium 326 327 officinale (watercress) in similar proportions (bar plot in Fig. 2B). Other macrophyte species, such as Apium nodiflorum and Callitriche sp., were also present in the channel in sparser stands. In the Frome 328 Vauchurch ('dominant submerged site'), Nasturtium was not found and Ranunculus was the dominant 329 in-stream macrophyte, representing more than 80% of the total macrophyte cover (bar plot in Fig. 330 2C). 331

(c) Field measurements. The two study reaches were mapped throughout two annual growth cycles 332 333 (July 2008 – July 2010). Field surveys were conducted monthly from July 2008 to July 2009, and 334 bimonthly until July 2010. During each survey, macrophyte distribution and hydrodynamic conditions were mapped along transects that were located at 1-m distance intervals along the 30-m long study 335 reaches. Along each transect, measurement points were located at 0.5 m intervals to measure water 336 depth, macrophyte presence and species, and water flow velocities (m s⁻¹) (see electronic 337 supplementary material, Fig. S6 for an example of a plotted stream cross-section showing the raw 338 field measurements). Total water depth was measured as the depth between the water surface and the 339

340 surface of the gravel bed, using a reinforced meter rule. The velocity in each position was measured 341 down from the water surface at 60% of the total flow depth with an electromagnetic flow meter 342 (Valeport Model 801) for 30 seconds, to have an estimate of the depth-averaged flow velocity in the 343 water column [52]. A single measurement at 60% of the water depth was deemed more suitable for 344 the survey than multiple measurements (for example at 80% and 20% of the water depth), as the majority of points measured were generally <0.5 m in total depth [53]. The average flow velocities for 345 the vegetated and unvegetated sections of the channel were calculated for each survey month, based 346 347 on the cover type of each measurement point. The relationship between discharge and cross-sectional 348 average velocities were calculated for each survey month as the ratio between the measured discharge $(m^3 s^{-1})$ and the cross-sectional area (m^2) . For comparison, in the main text we present a subset of the 349 monthly measurements from the 'dominant submerged' site that fall within the same range of 350 351 discharge as the 'mixed vegetation' site. The full dataset is provided in electronic supplementary 352 material S4 and Fig. S3.

(d) Statistical analyses. The mean vegetated and unvegetated flow velocities for each survey month were compared using Kruskal-Wallis one-way tests. The correlations between channel discharge and mean total water level, and between discharge and vegetated and unvegetated flow velocities in the 'mixed vegetation' site, were tested with a linear regression model. The correlation between channel discharge and vegetated and unvegetated flow velocities in the 'dominant submerged' site was tested with piecewise regression.

359 (e) Numerical implementation. We investigated vegetation development with two-dimensional numerical simulations using the central difference scheme on the finite difference equations. The 360 simulated area consisted of a rectangular grid of 600×250 cells, to simulate a straight channel (50 m 361 362 long, 15 m wide) with rectangular cross-sectional shape and initial bed slope of 0.0007 m m⁻¹. The grid resolution was higher in the spanwise than in the streamwise direction ($\Delta x = 0.08$ m, $\Delta y = 0.06$ 363 m), as the model predictions revealed only lateral (spanwise) variations in vegetation cover and not in 364 the streamwise direction. Moreover, the grid resolution and the turbulent eddy viscosity ($D_U = 0.02 \text{ m}^2$ 365 s^{-1}) were chosen to obtain numerically stable solutions according to the mesh Peclet number and the 366

Courant-Friedrichs-Lewy condition [54]. The grid resolution had limited effect on the solution of the 367 model. Simulations performed with a higher spatial resolution ($\Delta x = 0.04$ m, $\Delta y = 0.03$ m, a grid of 368 369 1200×500 cells) showed less than 1% difference in the predicted vegetation cover, water level and flow velocity estimation. The boundary condition downstream was a constant discharge. No flow was 370 assumed through the lateral boundaries and thus the velocity component in the direction normal to the 371 372 boundary (i.e. cross-stream (y) direction) was set to zero. Although a no-slip boundary condition 373 would be more appropriate to represent bank roughness at the channel edges, it would have required to properly resolve the boundary layer profile, which was out of the scope of our simplified flow 374 375 model. As flow redistribution processes and the scale-dependent feedback leading to vegetation adjustments mostly occur in the cross-stream direction, we assumed that lateral expansion of 376 377 vegetation would be mainly affected in the cross-channel direction, rather than along, the channel. Therefore, although the model can simulate vegetation growth in both the streamwise and cross-378 379 stream direction, the starting conditions were homogeneous in the streamwise direction: at the 380 beginning of each simulation, vegetation was set to occupy a fixed amount of the channel bed, in the 381 form of two bands located along the channel margins and each occupying 1/3 of the cross-section (see 382 electronic supplementary material Fig. S7 for a visualization of the spatial model output). The final 383 vegetated state was independent of the initial conditions, as was found in other self-organization models [40, 55]. Simulations where the initial vegetation cover was increased in 10% increments 384 385 resulted in the same final vegetation cover.

An iterative procedure was used to solve the two equations for flow velocity and vegetation 386 biomass. The model simulation started with setting initial conditions for u, v, and P. The streamwise 387 velocity u was set to a uniform velocity of 0.14 m s^{-1} and the spanwise velocity v was set equal to 388 zero. The biomass P was set to 200 g m⁻² in the two bands along the channel margins. First, the net 389 water speed was calculated based on the initial conditions for u and v. The net water speed was then 390 391 used to calculate the bending angle of the vegetation and the deflected vegetation height (H_{ν}) . Based 392 on the initial values of plant biomass P at the start of the simulation (t_0) , the vegetative Chézy 393 roughness was calculated. The change in the water flow velocity in both u and v directions was then calculated based on the Chézy roughness. Finally, given the flow velocities in u and v, the changes in 394

plant biomass *P* were calculated. The use of a small time step minimized the effect of computation order on the results. The time step length was set at dt = 0.01 days and the end time of the simulation was set at 500 days. All presented simulations generally reached equilibrium at t = 100 days. A simulation was considered to have reached equilibrium when the rate of change of plant biomass over time was zero (dP/dt = 0).

A total of 25 simulations were undertaken starting with a discharge value of $0.57 \text{ m}^3 \text{ s}^{-1}$. At the end of each simulation, discharge was progressively increased by $0.04 \text{ m}^3 \text{ s}^{-1}$ and the results of the previous simulation were used as initial condition. For each simulation, we calculated the vegetation cover (% of vegetated cells over the simulated domain), the mean flow velocity in the vegetated cells, the mean flow velocity in the unvegetated cells, and the mean water depth over the simulated domain. These values were related to the discharge value in each simulation to produce the relationships in Figures 1 - 3.

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561 Fig. 1: Relationship between discharge and macrophyte cover in the model and in two chalk

streams. (A) Schematic diagram of the "abstract" stream simulated in the model: the proportion of the stream cross-section that is vegetated adjusts in response to changes in water discharge. In the model, at very low discharge, the entire stream cross-section is homogeneously vegetated. As discharge increases, the stream becomes spatially separated into densely vegetated, low-flow zones, and low-density, highflow zones; vegetation cover decreases until the stream becomes entirely unvegetated. (B) Relationship between modelled percentage macrophyte cover (fraction of vegetated cells over the whole simulated domain) and discharge. (C) Relationship between macrophyte cover and river discharge as found in the

569 field for both study sites (N = 31) $(R^2 = 0.77, p < 0.0001)$.



Fig. 2: Relationship between discharge and flow velocity in the model and in two chalk streams. (A) 571 Left: Schematic representation of the flexible submerged aquatic vegetation considered in the model. 572 Right: Model predictions of average flow velocities (m s⁻¹) for increasing values of discharge, calculated 573 within vegetated and unvegetated sections of the channel, and compared with cross-sectional average flow 574 575 velocities. (B) Left: Species composition, expressed as relative macrophyte cover (%) per vegetation type, 576 at the peak of the growing season (July 2008): marginal vegetation (e.g. Apium, emergent along the margins), Nasturtium (emergent along the margins) and Ranunculus (submerged, growing in mid-577 channel). *Right*: relationship between flow discharge (m³ s⁻¹) and flow velocity (m s⁻¹) in both vegetated 578 and unvegetated river portions in the mixed vegetation site, compared with the cross-sectional average 579 flow velocity in the stream. (C) Left: Species composition, expressed as relative macrophyte cover (%) 580

- 581 per vegetation type, at the peak of the growing season (July 2008): marginal vegetation (e.g. Apium,
- 582 emergent along the margins) and *Ranunculus* (submerged, growing in mid-channel). *Right:* relationship
- between flow discharge $(m^3 s^{-1})$ and flow velocity $(m s^{-1})$ in both vegetated and unvegetated river portions
- in the dominant submerged site, compared with the cross-sectional average flow velocity in the stream.



Fig. 3: Relationship between discharge and mean total water level in the model and in two chalk
streams. (A) Model predictions on the relationship between flow discharge (m³ s⁻¹) and water level (m)
in the simulated channel with vegetation homogeneously distributed over the channel bed (*orange line*),
with self-organized vegetation (*green line*) and without vegetation (*brown line*). Solid lines indicate the
dominant state over the range of discharge, and dashed lines indicate the relationship outside that range.
(B) Field measurements on the relationship between flow discharge (m³ s⁻¹) and mean total water level
(m) in the 'mixed vegetation' (*solid green line*) and 'dominant submerged' (*dashed green line*) study sites.

Symbol	Interpretation	Value	Unit	Source
r	Intrinsic growth rate of plants	1	day ⁻¹	Estimated
k	Carrying capacity of plants	200	g DW m ⁻²	[56]
m_W	Plant mortality coefficient due to water shear stress	3.8	m ⁻¹	Estimated
D	Diffusion rate of plants	0.00085	$m^2 day^{-1}$	Estimated
n	Manning's roughness coefficient for unvegetated gravel bed	0.025	s/[m ^{1/3}]	[57]
D_c	Drag coefficient	0.04	Dimensionless	[45]
A_w	Wetted plant surface area	((814.8 * P) – 25.05) * 0.0001	$m^2 m^{-2}$	[46]
α	Bending angle of plants	$15.5 * \sqrt{u^2 + v^2}^{-0.38}$	degrees	[49]
L	Shoot length	0.5	m	[49]

Table 1. Symbols, interpretations, values, units and sources used in the model simulation.

Note: *P* is plant biomass [g DW m⁻²]; *u* is water velocity in the streamwise (x) direction [m s⁻¹]; *v* is water 596

597 velocity in the spanwise (y) direction [m s⁻¹].

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	Bere Stream	Frome Vauchurch
Site location	50° 44' 11.50" N, 2° 12' 21.42" W	50° 46' 29.95" N, 2° 34' 18.32" W
Average discharge (m ³ s ⁻¹)	0.93	1.07
Peak discharge (m ³ s ⁻¹)	2.5	2.95
Average width (m)	7.0	8.9
Average depth (m)	0.30	0.42
Width: depth ratio	23	21

Table 2. Location, channel dimensions and flow characteristics of the two study sites.

Electronic Supplementary Material

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The supplementary materials include the following content:

S1 Bifurcation analysis
S2 Testing for regular pattern formation
S3 Analysis of Covariance on field velocity measurements
S4 Field measurements on river discharge, flow velocities and water levels
S5 Implications of pattern formation for the resilience of macrophytes to disturbances
S6 Sensitivity analysis of the effect of parameter values on model predictions
Supplementary Figures S1 to S3

S1 Bifurcation analysis

Our model demonstrates that spatial separation of vegetation into high- and low-density areas is strongly dependent on the water discharge in the stream as a whole. Results of bifurcation analysis with respect to discharge predicts that at low discharge levels, a stable homogeneous equilibrium exists where the entire stream is vegetated (*red line* in **Fig. S1**). At this equilibrium, vegetation biomass decreases linearly with increasing discharge, Q, until plants disappear at $Q \ge 1.2 \text{ m}^3 \text{ s}^{-1}$. However, at a threshold level QT_1 ($Q = 0.53 \text{ m}^3 \text{ s}^{-1}$), the homogeneous equilibrium becomes unstable to spatially heterogeneous perturbations, leading to spatial separation into two zones, one characterized by low vegetation biomass and high flow velocities in the middle of the stream, and one by high biomass and low flow velocities at the edges of the stream. The point QT_1 is the point beyond which the stable heterogeneous pattern of spatial separation develops, similarly to a Turing instability point. Beyond the second point QT_2 (Q = 1.2 m³ s⁻¹), spatial separation into low- and high-biomass zones is needed for vegetation to persist. From the bifurcation points, unstable nonhomogeneous equilibria originate which link up to a stable nonhomogeneous equilibrium. In this stable nonhomogeneous equilibrium (solid green line in Fig. S1), plant cover can persist for a much wider range of discharge values, far beyond the value where homogeneously distributed plants would disappear (QT₂). The stable nonhomogeneous equilibrium exists until the limit point LP (Q = 1.6 m^3 s^{-1}), beyond which no vegetation can persist and only a homogeneous state without plants is found. An unstable nonhomogeneous equilibrium occurs within $1.2 \le Q \le 1.6 \text{ m}^3 \text{ s}^{-1}$ (dotted green line in Fig. S1). Between these values of discharge, two alternative stable states are found, one characterized by spatial separation of vegetation into high- and low-biomass areas, and the other where vegetation cannot survive. In the graph, the dotted green line represents the threshold biomass under which plant cover will collapse. In general, the model predicts that plant density is higher in the heterogeneous state compared to the homogeneous situation (green line vs. red line in Fig. S1), for all parameter values where spatial separation occurs.

S2 Testing for regular pattern formation

The formation of regular patterns was tested in the cross-stream direction of the simulated domain. We tested the stability of the homogeneous equilibrium to small heterogeneous perturbations before and after the point QT_1 ($Q = 0.53 \text{ m}^3 \text{ s}^{-1}$), which is similar to a Turing instability point. Below this point, we expect heterogeneous perturbations to return to the stable homogeneous equilibrium; however, beyond this point, we expect small perturbations to be amplified, leading to the formation of regular spatial patterns. For simulations performed at $Q = 0.42 \text{ m}^3 \text{ s}^{-1}$, below the point QT_1 , heterogeneous perturbations in plant biomass returned to a stable homogeneous equilibrium (**Fig. S2A**). For simulations performed at $Q = 0.84 \text{ m}^3 \text{ s}^{-1}$, above QT_1 , small perturbations in plant biomass were amplified and led to the formation of regular spatial patterns of vegetation (**Fig. S2B**).

S3 Analysis of Covariance on field velocity measurements

We conducted an Analysis of Covariance (ANCOVA) to quantify the proportion of variance in the observed flow velocity explained by the presence/absence of vegetation, controlling for discharge. To reduce the effect of autocorrelation between points measured in space in the same river, we used the average velocities for each survey date, instead of the single measurement points. The dependent variable was the average flow velocity measured in the vegetated and unvegetated sections of the river in a given survey month. The independent variable was assumed to be the presence/absence of vegetation and discharge was used as a covariate.

Table S1	Analysis	of covariance	on the	effect of	of vegetation	presence	on flov	v velocities,	controlling f	for
discharge,	for the Be	re Stream site.								

	df	Sum of	F value	p (> F)	Variance
		squares			explained
					(%)
Discharge	1	0.25	50.40	< 0.001	13.8
Substrate type	1	1.37	279.55	< 0.001	76.6
(vegetated/unvegetated)					
D 11 1		0.15			0.6
Residuals	35	0.17			9.6

Table S2. Analysis of covariance on the effect of vegetation presence on flow velocities, controlling for discharge, for the Frome River site.

	df	Sum of squares	F value	p (> F)	Variance explained (%)
Discharge	1	0.06	32.60	< 0.001	31.7
Substrate type (vegetated/unvegetated)	1	0.09	49.30	< 0.001	47.9
Residuals	21	0.04			20.4

S4 Field measurements on river discharge, flow velocities and water levels

The changes in flow velocity patterns with discharge obtained from our field measurements are shown in Fig. 2. In the 'mixed vegetation' site, water flow velocities within open and vegetated areas were significantly different (Kruskal-Wallis test, P < 0.002, Fig. 2B) for all survey months, and discharge was significantly correlated with flow velocity within the stands ($r^2 = 0.77$, p < 0.0001) and between them ($r^2 = 0.52$, p = 0.0005, Fig. 2B). Vegetated flow velocities in the 'dominant submerged' site (Fig. 2C) were also significantly lower than unvegetated flow velocities (Kruskal-Wallis test, p < 0.03) up to discharges of 1.6 m³ s⁻¹. Above these values of discharge, vegetated flow velocities tend to become much higher and not significantly different from the unvegetated ones (Kruskal-Wallis test, P > 0.05, Fig. S3). For this site, piecewise regression was used due to the presence of a breakpoint, after which flow velocities rapidly increased. This breakpoint was estimated at 1.5 m³ s⁻¹. Below the breakpoint, a significant relationship was found between discharge and flow velocity between the stands ($r^2 = 0.66$, p = 0.0012) and within them ($r^2 = 0.56$, p = 0.005; Fig. 2C). Above the breakpoint, a significant relationship was found between discharge and flow velocity above the stands and between them ($r^2 = 0.85$, p = 0.002, Fig. S3C), but the linear relationship was very similar to the one for an unvegetated channel. Most importantly, in the two streams as well as in model predictions, the slopes of these relationships are lower than the cross-sectional average flow velocities from each reach survey measurement (Fig. 2B and C).

The negative relationship between macrophyte cover and discharge observed in the subset dataset of the 'dominant submerged' study site (**Fig. 1C**) is also consistent with the full dataset ($r^2 = 0.80$, p < 0.001, **Fig. S3A**). Similarly, the non-significant relationship between discharge and mean total water level for the subset dataset (**Fig. 3B**), is also found in the full dataset under a wider range of incoming discharge ($r^2 = 0.03$, p = 0.50, **Fig. S3C**).

S5 Implications of pattern formation for the resilience of macrophytes to disturbances

We used our model to explore the consequences of pattern formation for the resilience of aquatic macrophytes to disturbances. We imposed a disturbance on patterned vegetation at equilibrium

biomass, in which we reduced vegetation density by 50%. In three different simulation runs, we compared the time needed to return to equilibrium. In the first simulation, we reduced the density but we left the patterns intact. In the second simulation, we reduced the density, distributed the remaining biomass equally over the simulated grid, and imposed a deviation in randomly selected cells up to 10% of the biomass. In the third simulation, we reduced the density and homogenized the remaining biomass, removing all spatial variability. We found that recovery to pre-disturbance conditions was quickly reached in the simulation where the patterns were left intact (**Fig. S4**, *solid line*). The simulation in which vegetation was randomly redistributed showed a strong delay in its recovery (**Fig. S4**, *dotted line*). However, as soon as patterns re-emerged, vegetation could recover to the initial equilibrium values. Finally, in the simulation with vegetation completely homogenized, vegetation density could not recover to pre-disturbance conditions, as no patterns developed due to the absence of small spatial heterogeneity (**Fig. S4**, *dashed line*). Hence, our simulations demonstrate that self-organized pattern formation strongly increases macrophyte resilience compared to homogeneously vegetated streams, in response to disturbances that reduce vegetation biomass.

S6 Sensitivity analysis of the effect of parameter values on model predictions

We performed a sensitivity analysis to test the influence of estimated parameter values on the model predictions in terms of vegetation cover, water depths and velocities. The outcome of the analysis is reported in Table S3 below.

Table S3. Sensitivity analysis on the effect of changing parameter values on the vegetation cover, water depth, and flow velocities predicted by the model. The parameter values that provided the best fit between the observed and modelled vegetation cover are indicated in bold.

Parameter	Value	Fit between	Water	Flow velocity	Flow
			depth [m]	in vegetation	velocity
		modelled		$(\pm SD) [m s^{-1}]$	between
		vegetation			vegetation
		cover (R^2)			(±SD)
					[m s ⁻¹]
mw	3.8	0.70	0.28	0.11 ± 0.01	0.40 ± 0.02
	4.8	0.65	0.21	0.09 ± 0.01	0.34 ± 0.01
	5.8	0.38	0.18	0.08 ± 0.01	0.28 ± 0.00
r	0.6	0.20	0.18	0.07 ± 0.01	0.26 ± 0.00
	0.8	0.67	0.22	0.09 ± 0.00	0.35 ± 0.01
	1.0	0.70	0.28	0.11 ± 0.01	0.40 ± 0.02
D	0.0001	0.70	0.27	0.11 ± 0.01	0.41 ± 0.02
	0.0004	0.70	0.28	0.11 ± 0.01	0.41 ± 0.02
	0.00085	0.70	0.28	0.11 ± 0.01	0.40 ± 0.02



Fig. S1: Bifurcation diagrams of plant density (*P*) with changes in discharge (*Q*) based on numerical simulations. Red lines represent the homogeneous equilibrium, green lines show maximum plant density in the nonhomogeneous (spatially separated) equilibrium. Solid lines represent stable equilibria, whereas dotted lines are unstable equilibria. Beyond the point QT_1 ($Q = 0.53 \text{ m}^3 \text{ s}^{-1}$), the stable heterogeneous pattern of spatial separation develops, similarly to a Turing instability point. Beyond QT_2 ($Q = 1.2 \text{ m}^3 \text{ s}^{-1}$), spatial separation is needed for vegetation persistence. LP ($Q = 1.6 \text{ m}^3 \text{ s}^{-1}$) is a limit point, beyond which no vegetation persists. The insets show numerical results of the simulated plant density distribution along the model cross-section for $Q = 0.66 \text{ m}^3 \text{ s}^{-1}$ (**a**), $Q = 1.05 \text{ m}^3 \text{ s}^{-1}$ (**b**), and $Q = 1.47 \text{ m}^3 \text{ s}^{-1}$ (**c**).



Fig. S2: Simulated spatial patterns of flow velocity (*blue line*) and vegetation biomass divided by the carrying capacity (*green line*) along a model cross-section, performed below (A) and above (B) the threshold in incoming channel discharge QT_1 ($Q = 0.53 \text{ m}^3 \text{ s}^{-1}$), similar to a Turing instability point. Below this point, heterogeneous perturbations in plant biomass return to a stable homogeneous equilibrium. Above this point, small perturbations in plant biomass lead to the formation of regular spatial patterns of vegetation.



Fig. S3: Full dataset of measured macrophyte cover (A), flow velocities (B) and mean total water level (C) plotted against channel discharge in the 'dominant submerged' study site.



Time t

Fig. S4: Results of three simulations describing the recovery of vegetation in the stream after a disturbance in which 50% of the biomass was removed. The solid line represents a simulation in which the patterns were left intact. The dotted line represents a simulation where the remaining biomass was equally redistributed over the simulated grid, and a deviation was imposed in randomly selected cells up to 10% of the biomass. The dashed line represents a simulation where the remaining biomass was homogenized in space, leaving no spatial variability. Parameters as in Table 1, for $U_{in} = 0.25 \text{ m s}^{-1}$.



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Fig. S5: (A) Location of the study sites in Dorset, UK: the Bere Stream in the River Piddle Catchment and the Frome Vauchurch in the River Frome Catchment. (B) The Bere Stream in March 2009 and (C) the Frome Vauchurch in September 2008: both sites were colonized by multiple *Ranunculus* stands in the middle of the channel. Photos by R. C. Grabowski.



Fig. S6: Plotted cross-section of a sample transect in the Bere Stream (Transect n. 10) showing changes in water depth, fine sediment accumulation, depth-averaged flow velocity, discharge and location of macrophyte patches over time. Modified from [3].



Fig. S7: Visualization of the spatial output of the model. (A) Top view of the simulated plant biomass (g/m^2) and (B) absolute flow speeds (m/s) and direction. (C) Cross-slope view of the biomass and flow velocity distribution. (D) Downslope view of the river bed elevation and water depth (m).

References

1. Grabowski, R. C. 2011 The erodibility of fine sediment deposits in lowland chalk streams (PhD dissertation, Queen Mary, University of London).