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1	Gregarious Cyanobacteria
2	Lucas J. Stal
3	NIOZ Royal Netherlands Institute for Sea Research
4	Department of Marine Microbiology and Biogeochemistry
5	and Utrecht University
6	and University of Amsterdam, IBED Department of Aquatic Microbiology
7	P.O.Box 94248, 1090 GE Amsterdam, The Netherlands
8	
9	Abstract
10	Huber and collaborators reported in this issue of <i>Environmental Microbiology</i> about
11	freshwater picocyanobacteria that showed phenotypic plasticity in the sense that they
12	appeared as single cells as well as in aggregates. The authors suggested that aggregation
13	might be an inducible defense as a response to the presence of grazers. This has been
14	described for eukaryotic phytoplankton and for the cyanobacterium <i>Microcystis</i> but thus far
15	not for picocyanobacteria. Although inducible defense as an explanation is an attractive
16	possibility, it is also problematic. Aggregation is common among cyanobacteria and it offers
17	many advantages as compared to a free-living lifestyle. Here these advantages are
18	highlighted and the possibility of inducible defense is critically assessed.
19	
20	
21	Huber et al. (2017) investigated the phenotypic plasticity of freshwater picocyanobacteria,
22	<i>i.e.</i> on the one hand their appearance as free-living single cells and on the other hand as
23	more or less structured colonies and aggregates. Picocyanobacteria (by definition unicellular
24	cyanobacteria smaller than 2 μ m) have often been considered to occur and grow as free-
25	living single cells (Pcy). However, it has become clear that these organisms have also been
26	recorded as 'microcolonies' (<50 cells), 'short (pseudo)trichomes' (<10 cells), and 'large
27	colonies' (up to several hundreds of cells) (CPcy) (Fig. 1a). The latter have frequently been
28	observed in warm, shallow and nutrient-rich lakes, while Pcy are more abundant in deep
29	oligo- to mesotrophic lakes (Callieri <i>et al.</i> , 2012).
30	

31 Single cells may produce sticky extracellular polymeric substances (EPS) causing the cells to

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32 stay together after division. This EPS formation is usually the result of unbalanced growth 33 caused by nutrient limitation (Crosbie et al., 2003). While the small size of picoplankton and 34 the consequent large surface-to-volume ratio render these organisms a high affinity for 35 nutrients and is considered to be an adaptation for growth under oligotrophic conditions, 36 aggregation seems to undo this advantage. In shallow nutrient-rich waters with a high 37 standing stock of Pcy, the chance that cells encounter each other and stick together must be 38 greater than in deep lakes with more mixing. In shallow waters, the formation of aggregates 39 may also increase the transparency of the water column, while the colony protects the cells 40 inside from excess irradiation. In deep water, aggregates would sink leaving relatively more 41 Pcy in the euphotic zone. Aggregates may also have an important advantage as they 42 establish a self-sustaining microcosm in which nutrients are effectively recycled and provide 43 for instance a habitat for O₂-sensitive diazotrophic (N₂-fixing) microorganisms (Klut and 44 Stockner, 1991). The EPS matrix may absorb and retain metabolites as well as immobilize 45 nutrients and toxins. The mucilage in which the cells in the aggregate are embedded may 46 also be difficult to digest and certainly represents an inferior food source because it is 47 composed mostly of carbohydrates and is low in other nutrients. Hence, there is a plethora 48 of reasons why living in aggregates is preferred above a life as free-living single cells.

49

50 Colony morphology has been thought to be species-specific. Although there is no doubt that 51 this is to some extend the case, Huber *et al.* detects >30 phylotypes but recognize only 6 52 major colony morphologies. These CPcy may have characteristic morphologies that have 53 been given taxonomic value and depending on the arrangement of the cells in the colony 54 they are assigned as 'Cyanodictyon' (irregularly shaped spherical colonies with cells 55 irregularly arranged), 'Aphanocapsa' (regular spherical colonies with cells regularly 56 arranged), and 'Eucapsis' (colonies cubic with cells arranged in groups of 4). Many taxa of 57 unicellular cyanobacteria grow as multicellular organisms in well-organized and structured 58 colonies that have been given taxonomic value. This may be problematic because the 59 colonial growth form is sometimes lost in culture (Komarek et al., 2011). The question 60 addressed by Huber et al. was whether Pcy and CPcy may in fact belong to the same 61 genotype that show a phenotypic plasticity. And if this would be the case, which factors 62 would trigger these organisms to change from one morphotype into another.

63

64 Huber et al. investigated the temperate lake Chascomús in the Pampa region of Argentina to 65 answer these questions. Lake Chascomús is a shallow (1.9 m) and hypertrophic, turbid and 66 fully mixed lake. The most common CPcy morphotype that was present in the plankton was 67 'Cyanodictyon' and the occurrence of this morphotype correlated with Pcy, including the 68 microcolonies and short trichomes. 16S rRNA and ITS sequence analysis of flow-cytometric 69 fluorescent-activated cell sorting (FACS) of Pcy and CPcy (which were only of the 70 'Cyanodictyon' type and also included the microcolonies and short trichomes) revealed no 71 indication of genotypic difference between the two sorted groups. They were all related to 72 Cyanobium and Synechococcus and belong to the 'Cyanobium + Anathece' cluster and 73 matched the sequences that were obtained from clone libraries and DGGE from samples of 74 the lake. Hence, when Pcy and CPcy are belonging to the same organism, what causes them 75 to take either of the two morphologies? Huber et al. investigated the possibility that the 76 CPcy morphology protects from grazing. Although they observed only weak correlations of 77 the distribution of Pcy and CPcy with grazers, there was one exception: cladocerans. Pcy 78 were negatively correlated with small cladocerans while the percentage of cells in colonies 79 was positively correlated. This observation was experimentally tested by adding the 80 cladoceran Bosmina sp. to lake water from which grazers were removed. While no changes 81 were observed in the control, Pcy were less abundant and the number of cells in CPcy 82 increased when Bosmina sp. was present. The CPcy, which was mostly of the 'Cyanodictyon' 83 morphotype, doubled in size during the 6 days that the experiment lasted. While this is all 84 pretty convincing and exciting, it leaves us with many unanswered questions about the 85 mechanisms behind this behavior. What causes (pico)cyanobacteria to form aggregates? 86 When indeed the formation of the colony is a response on the presence of a grazer, how is 87 this grazer sensed? Are there infochemicals involved and if so, what is their nature, who 88 produces them, and how are they released and sensed? The authors suggest that the colony 89 grows at the expense of Pcy (single cells). Hence, the single cell joins the CPcy, rather that 90 selective grazing diminishes Pcy. Do CPcy fall apart and take back the Pcy morphology when 91 the danger has passed? These questions remain unanswered in this paper and would require 92 further research. In the grazing experiment, there seems to be no statistical significant 93 difference between the number of cells per milliliter between day 0 and at day 6 with or 94 without Bosmina sp. Does this mean that there is no growth and grazing or are growth and 95 grazing in equilibrium and in the control without the grazer would growth and lysis cancel

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96 out? Or is the presence of *Bosmina* sp. alone enough to cause the shift from Pcy to CPcy and 97 hence lowers the chance of grazing the lower abundance of Pcy? Why would not all Pcy 98 aggregate in the CPcy? Or is just getting the abundance of Pcy below a certain threshold 99 enough to prevent effective grazing? There seems indeed to be an 'ideal free distribution' 100 for the ratio of single cells to colonies that represents the optimum for growth under 101 herbivory (DeAngelis et al., 2007). Moreover, although the increase in size by aggregation of 102 cells could help to avoid being grazed by a certain organism, it could become the preferred 103 food for other, bigger predators (Van Donk *et al.*, 2011). Among zooplankters, the rotifers in 104 particular seemed to feed preferentially on the CPcy (Callieri et al., 2012). And if aggregation 105 would be beneficial with regard to grazing, it has to outweigh the negative effect of sinking 106 (Van Donk et al., 2011). Hence, there are many questions that demand an answer in order to 107 understand this proposed strategy to avoid grazing pressure.

108

109 The response of eukaryotic phytoplankton towards herbivores is well-known and described. 110 The green alga *Scenedesmus* induces aggregate formation when exposed to grazer-released 111 infochemicals in a dose-response manner (Verschoor *et al.*, 2004). However, much is still 112 unclear about the nature of these infochemicals, how they are released and sensed. About 113 the role that infochemicals may have for inducing aggregation in cyanobacteria even less is 114 known.

115

116 For instance, the unicellular cyanobacterium *Microcystis aeruginosa* produces aggregates 117 when treated with spent medium of Daphnia or by disrupted Microcystis cells (Becker et al., 118 2010). The toxin microcystin produced by *M. aeruginosa* has been shown to induce 119 aggregate formation (Sedmak and Elersek, 2006) although also other infochemicals may 120 have been released from the disrupted cells. *M. aeruginosa* induced colony formation when 121 grazed by the flagellate Ochromonas (Yang et al., 2006). However, these authors also 122 indicated that the colony-inducing effect was weak, which they attributed to the fact that 123 they used an axenic culture in their experiment, suggesting that associated (heterotrophic) 124 bacteria also play a role. Yang et al. (2006) also admitted that other, abiotic, factors 125 contribute to the formation of larger colonies found under natural conditions. Moreover, the 126 cost of the increased sinking rates and the decreased effective quantum yield of

127 photosystem II of herbivory-induced colonial *M. aeruginosa* should be taken into account to

128 judge the effectiveness of the strategy (Yang *et al.*, 2009).

129

130 The formation of aggregates and, hence, the increase in size has advantages for 131 phytoplankton. Many planktonic cyanobacteria (filamentous and unicellular) produce gas 132 vesicles that provide these organisms with buoyancy. When these organisms are mixed deep 133 in the water column, the speed with which they float to the surface is proportional with the 134 square of the radius (Stokes' Equation). Hence, the bigger size of a cell aggregate helps to 135 get back quickly to the illuminated water surface after a deep mixing event, where a single 136 cell would need many days and would not survive. This is the well-documented strategy of 137 the unicellular Microcystis (Fig. 1b) as well as of the heterocystous cyanobacterium 138 Aphanizomenon (Fig. 1c), and the non-heterocystous *Trichodesmium* (and many others), 139 which all form aggregates.

140

141 Aggregates of cyanobacteria that do not contain gas vesicles such as the picocyanobacteria 142 discussed in Huber et al. also obey Stokes' equation by sinking out more rapidly than single 143 cells. The sinking rate of single cells of picocyanobacteria is negligible. While staying in the 144 illuminated surface water they deplete the nutrients (nitrogen and phosphorus) locally. This 145 leads to the production of transparent extracellular polymers (TEP) (by overflow 146 metabolism), that cause aggregation of the Pcy. These aggregates of picocyanobacteria (CPcy) may be >1 mm and sink 400 m d^{-1} (Deng *et al.*, 2016). This represents an important 147 148 carbon export, but would it also be a selective advantage for these picocyanobacteria? I 149 think it probably would. Removing a large part of the picocyanobacterial biomass from the 150 euphotic zone would increase the transparency of the water column and increase the 151 relative availability of nutrients, which would increase the survival of the Pcy. Also, 152 regeneration of cyanobacterial biomass in the euphotic zone would allow heterotrophic 153 bacteria to scavenge the nutrients. Finally, on a larger scale, carbon export (the carbon 154 pump) is essential for balancing the global carbon budget. 155

156 There are other, bigger, unicellular cyanobacteria that form more or less structured

aggregates. *Gloeothece* forms irregular groups of sheathed cells. This genus is known to fix

158 N₂ aerobically in the light. The aggregates with their multilayered gelatinous envelopes may

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159 be an adaptation to allow aerobic N_2 fixation in the light (Ortega-Calvo and Stal, 1991). Only 160 when a certain size is achieved, it would be possible to establish O_2 gradients such that the 161 oxygen-sensitive nitrogenase can be active. Moreover, such aggregates might also allow 162 some differentiation between cells. Some cells in the aggregate may cease oxygenic 163 photosynthesis and fix N₂, while exchanging fixed nitrogen and electrons with the oxygenic 164 cells. This still needs to be demonstrated but it would explain the differences of *Gloeothece* 165 with other unicellular N₂-fixing cyanobacteria such as Cyanothece and Crocosphaera, which 166 do not form such aggregates and fix N_2 during the dark (Compaoré and Stal, 2009).

167

168 Merismopedia is a genus of unicellular cyanobacteria that is characterized by rectangular 169 colonies embedded in a mucilaginous matrix (Fig. 1d). Their colonies are regular shaped. The 170 cells divide in two dimensions that produce a flat plate with a grid-like pattern, which is 171 often lost when isolated and cultured in the laboratory. This has made the taxonomy of this 172 organism difficult because when grown as single cells they would be assigned to 173 Synechocystis (Palinska and Krumbein, 1998). However, the typical colony shape can be 174 restored when silicate is added to the growth medium (as for diatom media). Hence, silicate 175 seems to be a requirement to form this aggregate (Stal and Wollenzien, unpublished 176 observations). *Merismopedia* is often found in intertidal microbial mats on fine-sandy 177 sediments. The aggregates are motile (Stal, unpublished observations). Individual small cells 178 released from Merismopedia aggregates have been reported to be motile (Palinska and 179 Krumbein, 1998), but motility of the whole aggregate in a coordinated manner has hitherto 180 not been reported. It appears that the whole single cell layer plate may be either oriented 181 towards the light, so that all cells receive maximum light, or that the plate tumbles with the 182 single cell side of the plate directed towards the light, resulting in the lowest light harvesting 183 for the plate. Hence, this may be an aggregate behavior to acclimate to light. 184 185 The benthic cyanobacterium Microcoleus chthonoplastes (re-named Coleofasciculus

186 *chthonoplastes*) is known to form microbial mats and bio-crusts. These cyanobacteria

187 produce bundles of a few to many tens of trichomes enclosed by well-structured

188 polysaccharide sheaths, a property that is usually lost upon isolation and culturing in the

189 laboratory (Stal, 2012). The function of this growth form is not well understood. It has been

190 suggested that it protects against desiccation which would come handy in intertidal

microbial mats that are often exposed or in terrestrial bio-crusts. The bundle could also
serve to maintain a consortium of microorganisms that provide this microcosm with
necessary growth factors. The sheath that surrounds the bundle of trichomes could protect
against external factors such as heavy metals and other (toxic) compounds, which may be
bound and immobilized by the polysaccharides. The sheath might even prevent grazing.

197 Microbial mats that are formed by cyanobacteria are multicellular aggregates that behave as 198 macroscopic living entities (Fig. 1e). The consortia of a plethora of different functional 199 groups of microorganisms perform ecosystem level functions that would be impossible at 200 the level of single organisms (Paerl and Pinckney, 1996). The activities of single organisms 201 are coordinated through biological clock and other rhythmic processes and by signaling 202 through info-chemicals and quorum-sensing systems, most of which are largely unknown. 203 Microbial mats are among the oldest systems known from the fossil record (stromatolites) 204 and therefore this form of aggregation has been proven very successful. Pcy are not 205 exclusively pelagic and are found in microbial mats and periphytic biofilms, but little is 206 known about these organisms. They may differ from their pelagic counterparts and be 207 typical benthic organisms, but it is also possible that they originate from the water column 208 and deposited in these benthic ecosystems.

209

210 It is likely that cyanobacteria evolved from a unicellular type that gave rise to filamentous 211 forms (Schirrmeister et al., 2011). Filamentous cyanobacteria have the advantage to 212 exchange metabolites and information directly between the cells in a trichome, which led to 213 the development of gliding motility and the orientation of the trichome in physical and 214 chemical gradients. This allowed the cells in a trichome to 'collaborate' and get the best of 215 both, often incompatible, worlds. To add to this, the multicellular filamentous cyanobacteria 216 also developed a distribution of tasks by cell differentiation, which is common among 217 Eukarya, but unique among Bacteria (and Archaea). Some cyanobacteria develop 218 'heterocysts', cells that have lost photosystem-I, do not fix CO_2 and produce a thick glycolipid 219 cell wall, and that serve as the N₂-fixing factories of the organism. Many heterocystous 220 cyanobacteria also differentiate a second cell type, the akinete, which serves the survival of 221 the organism. The formation of aggregates by unicellular cyanobacteria may represent a 222 similar evolutionary development. While the evolution of cyanobacteria from 'simple' single

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- 223 cells to more complex filamentous organisms can be considered as the typical direction
- 224 evolution takes, it has also become clear that the taxa of unicellular cyanobacteria are not
- 225 monophyletic and that evolution has taken the opposite direction from filamentous forms
- back to unicellular organisms (Schirrmeister *et al.*, 2011). Obviously, the cost of
- 227 multicellularity does not always pay off.
- 228
- 229 What I tried to highlight here is that free-living microorganisms in general, and
- 230 cyanobacteria in particular, seem to be rather an exception than the rule and that most
- 231 microorganisms prefer to live as a consortium in benthic environments, attached to a
- substratum, or as aggregates. There are many advantages to live in such consortia compared
- to a free-living lifestyle. In the latter, the cell is surrounded by a diffusive boundary layer that
- is nutrient depleted, although this boundary layer decreases with smaller cell size. The small
- 235 cell size may make picocyanobacteria more attractive to grazers and filter-feeders, but
- aggregates offer more benefits than only preventing grazing. Aggregation of
- 237 picocyanobacteria as a response to the presence of grazers is a possibility but there are
- 238 certainly many other factors that trigger the formation of colonies as is the case in larger
- 239 unicellular- and filamentous cyanobacteria. Cyanobacteria are gregarious organisms.
- 240
- 241

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310 311	



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- 313 Fig. 1a. Aggregates and single cells of picocyanobacteria in the Baltic Sea
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- 315 316
- 317 Fig. 1b. Aggregate of the gas vacuolated unicellular cyanobacterium *Microcystis aeruginosa*
- 318 (Culture Collection Yerseke, CCY, ccy.nioz.nl).



- 319
- 320 Fig. 1c. Colonies of the filamentous heterocystous and gas-vacuolated cyanobacterium
- 321 Aphanizomenon flos-aquae in the Baltic Sea.
- 322



- 323
- 324 Fig. 1d. Merismopedia sp. (cells fluoresce red) embedded in EPS (fluoresces green) (Culture
- 325 Collection Yerseke, CCY, ccy.nioz.nl).
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327

Fig. 1e. An intertidal microbial mat; another form of aggregation of cyanobacteria. 328

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