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This is a postprint of:

Sassi, M.G., Gerkema, T., Duran-Matute, M. & Nauw, J.J. (2016). Residual water transport in the Marsdiep tidal inlet inferred from observations and a numerical model. *Journal of Marine Research*, 74(1), 21-42

Published version: [dx.doi.org/10.1357/002224016818377586](https://dx.doi.org/10.1357/002224016818377586)

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1 Residual water transport in the Marsdiep tidal inlet  
2 inferred from observations and a numerical model

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**ABSTRACT**

11 At tidal inlets large amounts of water are exchanged with the adjacent sea during the tidal  
12 cycle. The residual flows, the net effect of ebb and flood, are generally small compared  
13 to the gross flux, they vary in magnitude and sign from one tidal period to the other, and  
14 their long-term mean varies from year to year. Here we focus on the temporal variability  
15 of the residual flows in the Marsdiep tidal inlet, which is the western-most inlet of the  
16 Wadden Sea, a tidal lagoon along the coasts of the Netherlands, Germany and Denmark.  
17 We compare the transport from a high-resolution numerical model with the transport from  
18 velocity profile data collected beneath a ferry that crosses the inlet daily. The comparison  
19 works in two ways: for the areas and times covered by the measurements, the data serves  
20 to validate the model, and conversely, the model is employed to assess the consequences  
21 of spatial and temporal gaps in the data. Modeled and observed transports over the region  
22 of the flow that is covered by the ADCP are in good agreement for gross and residual  
23 quantities. Results indicate that uncertainties due to spatial gaps can be overcome with a  
24 simple extrapolation approach applied to the velocity profiles, whereas uncertainties due  
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105                residual transport) and estimates with all other approaches (the rest of the  
106                rows). All quantities in  $\text{m}^3 \text{s}^{-1}$ , except for the skewness and the coefficient  
107                of correlation that have no units. . . . . 27

108    **1. Introduction**

109        In multiple-inlet systems like the Wadden Sea, large amounts of water are being ex-  
110        changed with the adjacent sea during the tidal cycle. For any particular inlet, the amount  
111        entering with flood is generally unequal to the amount leaving with ebb, in other words,  
112        there is a residual transport over a tidal period. Residual flows are very difficult to as-  
113        sess because they are often small compared to the gross flux, they vary in magnitude and  
114        sign from one tidal period to the other, and their long-term mean varies even from year  
115        to year (Nauw et al., 2014; Duran-Matute and Gerkema, in prep). Because of this vari-  
116        ability at short- and long-term scales, one needs long-term and continuous measurements  
117        and/or simulations to obtain an estimate of the mean, median and standard deviation of  
118        the residual flow.

119        At a given inlet of a multiple-inlet system, the residual flow may vary in response  
120        to the flows at all other inlets. Therefore, circulation patterns arise as a consequence of  
121        the combined effects of the tides, the freshwater discharge (and the accompanying density  
122        gradients), and the overall weather conditions, particularly, the wind. Tidal distortion  
123        and nonlinear tidal processes affect the exchange between tidal basins and ultimately the  
124        morphodynamic stability (van de Kreeke, 1990; Salles et al., 2005; Pacheco et al., 2010).

125 Studies focusing on the variability of wind and its influence on the residual flows are rare.  
126 In a recent study by Li (2013), the net transport at subtidal frequencies was assessed with  
127 observations and an idealized numerical model. They found that wind-induced residual  
128 flows can overwhelm the residual circulation patterns induced by the tides. Wind is known  
129 to drive residual circulation in estuaries because surface shear stress forces surface currents  
130 and causes mixing. At the entrance of Chesapeake Bay subtidal exchange is dominated  
131 by local and remote winds (Valle-Levinson et al., 2001; Wong and Valle-Levinson, 1998).  
132 Down-estuary wind strains the along-channel density gradient to increase stratification  
133 whereas up-estuary wind reduces the vertical shear and stratification (Scully et al., 2005).  
134 Wind-driven mixing can be of the same order and even greater than tidal mixing, and  
135 may occur not only during extreme events (Chen and Sanford, 2009). Numerical models  
136 can be employed to consistently resolve residual circulation patterns that can be analyzed  
137 synoptically in space and in time (Esparza et al., 2014). However, numerical models  
138 require thorough validation that is typically conducted with in situ observations.

139       Incidental observations are not appropriate to untangle the effects of wind on the resid-  
140 ual circulation because of the episodic nature of wind. Long-term and semi-continuous  
141 measurements of the volumetric transport can be obtained using acoustic Doppler current  
142 profilers (ADCPs) on board a ferry crossing the inlet (e.g. Codiga and Aurin, 2007). In the  
143 Wadden Sea, such observations are available on board the TESO ferry crossing the Mars-  
144 diep inlet since 1998 (Buijsman and Ridderinkhof, 2007a; Nauw et al., 2014). The ferry  
145 operates daily, typically from 6 AM to 10 PM, and velocity profiles are obtained between  
146 6.5 m below the water surface to the bottom, excluding the near-bottom part (about 6 % of  
147 the local water depth) due to side-lobe interference. Since the residual flow is very small  
148 compared to the gross volumes transported during ebb and flood, a small error in the gross  
149 transport greatly diminishes the reliability of the estimates of the residual. The purpose of  
150 this paper is to assess the importance of the temporal and spatial gaps in such observations  
151 by comparing the data with results from a high-resolution numerical model, presented be-



152 fore by Duran-Matute et al. (2014). This comparison works in two ways. First, for the  
153 areas and times covered by the measurements, the data serves to validate the model. Sec-  
154 ond, for the areas and times not covered by the measurements, the model can be employed  
155 to assess the consequences of these gaps, and suggest possible ways to correct for them.

156 The residual transport of water in the Marsdiep has been assessed with models and  
157 observations before. Tides alone can force a mean outflow through the inlet of about  
158  $800 \text{ m}^3 \text{ s}^{-1}$  (Ridderinkhof, 1988a), which was explained with an analytical model by the  
159 amplitude differences between the several inlets of the Dutch Wadden Sea (DWS) (Rid-  
160 derinkhof, 1988b). By expanding that model to include wind effects, Buijsman and Rid-  
161 derinkhof (2007b) showed that wind can produce highly variable residual flows, and based  
162 on observations for the period 1998-2002 they estimated an average outflow rate of about  
163  $3000 \text{ m}^3 \text{ s}^{-1}$  (Buijsman and Ridderinkhof, 2007a). However, more detailed estimates by  
164 Nauw et al. (2014) for the set of observations spanning the period 2003-2006 show a large  
165 inter-annual variability of the yearly-mean in magnitude and even in sign. Estimates of the  
166 residual transport were also obtained by Elias et al. (2006), although these measurements  
167 were incidental, spanning a few days during the year. Finally, recent realistic simulations  
168 including tides, winds and freshwater discharge (Duran-Matute et al., 2014) resulted on a  
169 median outflow rate through the inlet of about  $700 \text{ m}^3 \text{ s}^{-1}$  for the period 2009-2010, and  
170 a residual transport that may even be reversed during strong southwesterly winds. The  
171 standard deviation for the same period amounted to about  $2300 \text{ m}^3 \text{ s}^{-1}$ , which again by  
172 far exceeds the residual itself, indicating the high degree of variability in the system.

173 Because both inter- and intra-annual variability are large compared with the mean, it  
174 is important to compare the measured and simulated transport for the same year. Here we  
175 carry out this comparison for the year 2009. We notice that Duran-Matute et al. (2014)  
176 already tested the same model against the same dataset; however, they have not addressed  
177 the discrepancies between model and observations. The discrepancy between model and  
178 observations will be explained here by looking critically into the model limitations, and

179 the temporal and spatial limitations inherent to the ferry-based ADCP measurements. The  
180 rest of this paper is organized as follows. In Section 2. we describe the study site, the  
181 flow measurements and the numerical model. Section 3. presents the validation of the  
182 numerical model with the flow measurements. Section 4. discusses the uncertainty in  
183 residual transport estimates. In Section 5. and 6. we provide respectively with a discussion  
184 and the conclusions.

## 185 **2. Materials and Methods**

### 186 *a. Study Site*

187 The Marsdiep inlet (Figure 1) separates the island of Texel from mainland North-  
188 Holland and is one of the major passages for the exchange of water, nutrients and sedi-  
189 ments between the North Sea and the DWS. The inlet is about 4 km wide and maximum  
190 depth in our study area reaches about 28 m. Semidiurnal tides are the major driver of water  
191 transport in the Marsdiep inlet, but there is a noticeable diurnal inequality as well. The  
192 dominant wind direction is from the southwest. Part of the freshwater outflow from the  
193 lake IJssel finds its way through the Marsdiep inlet. The mean annual freshwater discharge  
194 of all combined sources into the DWS typically amounts to about  $500 \text{ m}^3 \text{ s}^{-1}$ .

### 195 *b. Flow Measurements*

196 *i. Ferry Transects* Two ADCPs (RDI 1200 kHz Workhorse) are mounted beneath the  
197 hull of the TESO ferry that crosses the Marsdiep inlet every 30 min on a daily basis from  
198 about 6 AM to 10 PM (Figure 1). Each crossing takes about 15 min and the ADCPs are  
199 placed toward the bow and the stern of the ferry. The ferry maintains the same relative ori-  
200 entation on northward and southward crossings as the ferry propulsion switches direction  
201 depending on the direction of the crossing. Later on we choose to use velocity obser-  
202 vations only from the ADCP that is forward (in the direction of travel) from the ship's  
203 propeller to avoid bubble-induced noise – this effectively means that one ADCP is used

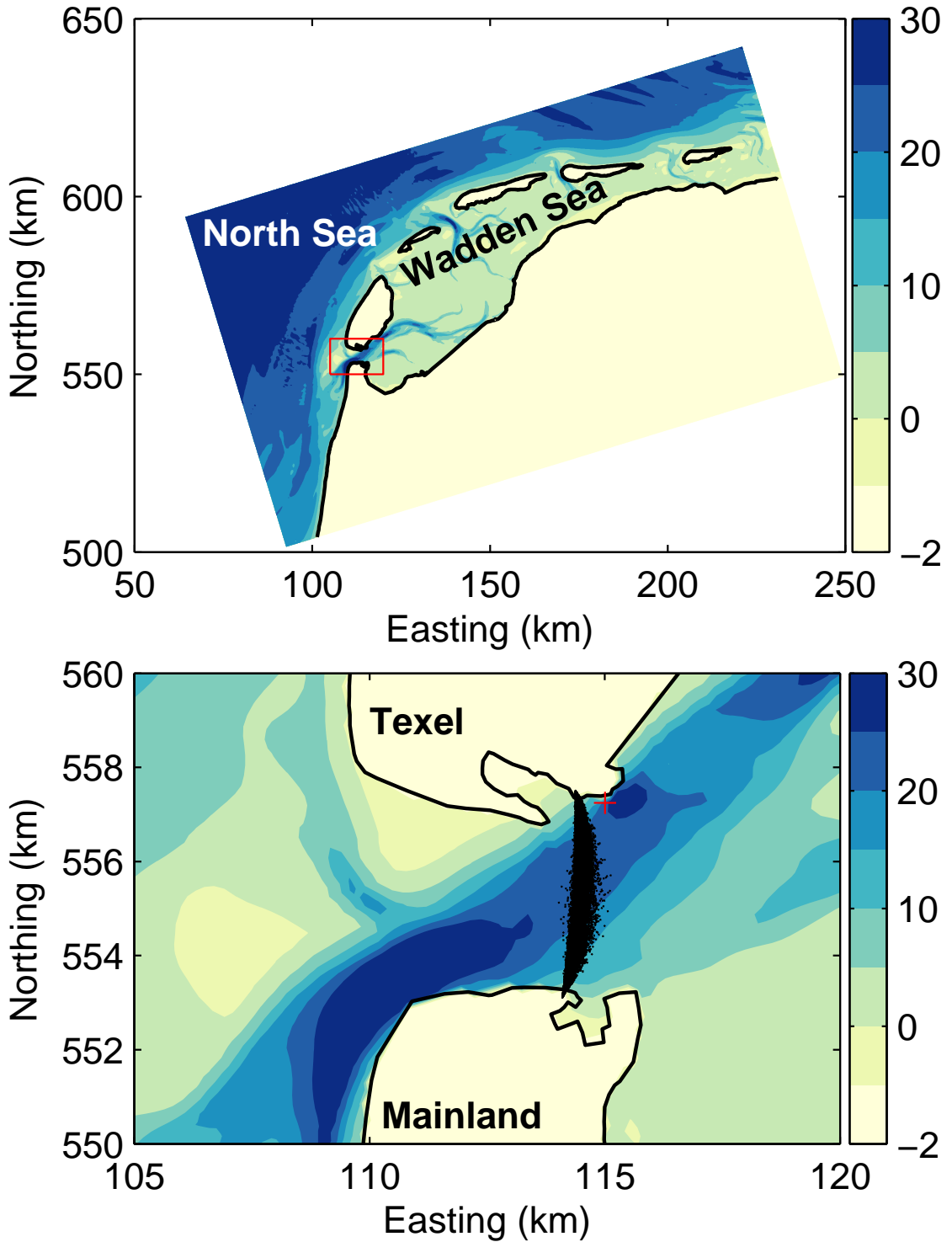


Figure 1: Top panel: bathymetry of the Dutch Wadden Sea (DWS) and domain of the numerical model. The red square encloses the study site shown in the lower panel. Bottom panel: location of deployment of the moored frame (red plus symbol) and track of the ferry for the year of 2009 (black solid lines). Water depth is in meters with respect to the national vertical datum.

204 on northward transects and the other ADCP is used on southward transects. The hull of the  
205 ferry is located at about 5 m below the water surface so the first ADCP bin is located at 6.5  
206 m depth. Profiles are acquired with a constant bin size of 0.5 m. Maximum water depth  
207 limits the temporal sampling resolution of a profile to about 1.3 s and each ensemble is  
208 composed of one single ping. Velocity data is retrieved in beam coordinates and the Bot-  
209 tom Tracking (BT) system is available. The ferry is fitted with an external gyro-compass  
210 and a differential Global Positioning System (dGPS). Flow profiles are retrieved in beam  
211 coordinates, corrected for vessel motion and transformed to geographical coordinates. The  
212 last two procedures are further explained in the next paragraph.

213 Velocity profiles obtained with moving-vessel ADCPs are generally subject to uncer-  
214 tainty due to positioning, heading offsets, and tilt angles (e.g. Muste et al., 2004; Gonzalez-  
215 Castro and Muste, 2007). Most of these sources of error are here significantly reduced due  
216 to the stability of the ferry and because the ferry hardly makes a turn as it moves from  
217 one side to the other of the inlet. Magnetic disturbances induced by the ferry's hull can  
218 affect the internal compass reading (Trump and Marmorino, 1997), so we only employ the  
219 external heading in the calculations. Heading offsets are recorded on a logbook and also  
220 retrieved from the difference between the headings recorded by the internal and external  
221 compasses. Discrepancies between the ship speed computed with the BT and dGPS sys-  
222 tems are small when averaged over a transect and show spatial variations that might be  
223 associated with bed-load sediment transport (e.g. Sassi et al., 2011b). Spatial patterns of  
224 bed-load transport in the Marsdiep inlet display inward (outward) direction in the south-  
225 ern (northern) part of the inlet (Buijsman and Ridderinkhof, 2008b), therefore, bias due to  
226 bed-load transport may cancel out throughout the transect. Here we choose to use the BT  
227 system to correct for vessel motion to avoid heading biases introduced by the dGPS, and  
228 because bed-load transport cannot induce an appreciable bias in the measured profiles.

229 The volumetric transport over a given transect is obtained as follows: for each mea-  
230 sured velocity profile we compute the cross-product between the horizontal components

231 of the flow speed and ship speed vectors, and integrate this quantity over the vertical and  
232 over the time interval that takes the ferry to sail from one side of the inlet to the other (see  
233 Sassi et al., 2011a). We assume this estimate to be instantaneous. The actual transport  
234 across the section is given by this measured transport plus any transport through parts of  
235 the transect that are not covered by the instrument. The ferry enters a sheltered dock on  
236 both sides of the inlet, so the only two areas not covered by the ADCP are a near-bed  
237 region missed due to side-lobe interference of the ADCP beams, amounting to 6 % of  
238 the distance between the transducer and the bottom, and a constant thickness layer of 6.5  
239 m near the surface. Since wind and salinity gradients induce departures of the velocity  
240 profiles in the near-surface region, extrapolating velocity profiles toward the surface is  
241 likely to introduce large errors in the computed transport. It is worthwhile noting that  
242 the present approach for estimating the volumetric transport is more reliable and features  
243 less assumptions (e.g. vector decomposition) than in previous studies (Codiga and Aurin,  
244 2007; Buijsman and Ridderinkhof, 2007a).

245 *ii. Moored Frame* An instrumented frame equipped with one upward-looking ADCP  
246 (RDI 1200 kHz Workhorse) was deployed in April and May 2009 for approximately 16  
247 days at a location with water depth of about 25 m (Figure 1). The first ADCP bin was  
248 located at 1.5 m above the bed and bin size was 1 m. Every 5 minutes, an ensemble average  
249 containing 60 profiles was constructed and stored. Velocity profiles were recorded in  
250 geographical coordinates using the internal heading of the instrument. Tilt angles ranged  
251 in between  $-6^\circ$  to  $2^\circ$  for the roll, and  $-14^\circ$  to  $-6^\circ$  for the pitch. Surface reflections due to  
252 side-lobe effects (again 6 % of the distance between the transducer and the bottom) were  
253 removed in processing the data. The resulting profiles extend approximately from near the  
254 bottom to near the surface, allowing to resolve the flow over the entire water column.

255 *c. Numerical Model*

256 The model employed in this study has been extensively described by Duran-Matute  
257 et al. (2014) and here we limit the discussion to the most important features. The nu-  
258 merical model GETM (e.g. Stanev et al., 2003) is a finite difference model that solves  
259 the three-dimensional hydrostatic equations of motion with the Boussinesq approximation  
260 and the eddy viscosity assumption. The model solves the equations for potential tem-  
261 perature (in degrees Celsius) and salinity (in the practical salinity scale), and includes a  
262 wetting and drying algorithm. Model setup consists of a grid with spatial resolution of  
263 200 m (Figure 1) and 30 sigma-layers in the vertical. At open boundaries in the North  
264 Sea, surface elevation (tides and wind set-up), depth-mean current, and vertical profiles of  
265 salinity and temperature are imposed. The model is forced at the boundaries with results  
266 from an operational larger scale numerical model with data assimilation. In this way, the  
267 model has no individual constituents but the forcing implicitly involves all the relevant  
268 tidal constituents. A rigid wall is placed on the watershed at the Eastern boundary, leaving  
269 the Ems estuary out of the model domain. Meteorological forcing includes wind speed and  
270 direction, air temperature, precipitation, cloudiness and dew point. Freshwater discharge  
271 from 12 different sluices into the domain are included with a temporal resolution of 10  
272 min, as described by Duran-Matute et al. (2014).

273 Eddy viscosity and eddy diffusivity are parameterized using the General Ocean Turbu-  
274 lence Model (GOTM) and the turbulence closure employed is the  $\kappa$ - $\epsilon$  model (e.g. Burchard  
275 and Baumert, 1995). The velocity at the bottom layer is parameterized with a logarithmic  
276 profile and using a constant roughness length of 1.7 mm. High-resolution depth-soundings  
277 spanning the years 1996 to 2012 were employed to construct the bathymetry of the domain.  
278 To avoid numerical noise and model instability, the bathymetry map was further smoothed.  
279 The time step for the three-dimensional fields is 40 s long, and the variables of interest are  
280 outputted every half an hour. Simulations start from rest in November 2008 and a spin-up  
281 of two months is adopted. The full year of 2009 is then simulated. The model results

282 compare very well with observations such as those from tidal-gauge stations, time-series  
283 of salinity and temperature, and gross water transports estimated using ferry-based ADCP  
284 measurements (see Duran-Matute et al. (2014) for details). The last comparison will be  
285 further explored here.

### 286 **3. Validation Numerical Model**

287 In what follows, we compare in detail velocity profiles from the model and the ob-  
288 servations for two different datasets. First, at a fixed location, velocity profiles from the  
289 moored frame spanning nearly the full water column and measured for 16 days are com-  
290 pared to model output. Second, across the inlet, measured profiles from the TESO-ferry  
291 spanning the entire year of 2009 are compared with the model output at locations cor-  
292 responding with the model's grid. Finally, we compare the gross and residual transports  
293 obtained from the model and the observations.

#### 294 *a. Velocity Profiles at a Fixed Station*

295 Modeled profiles were obtained at a grid point close to the location of the moored  
296 measurements (Figure 1), and linearly interpolated to match the vertical positions of the  
297 ADCP bins. Measured velocity profiles were averaged every 30 min to match the temporal  
298 resolution of the model. Figure 2 shows part of the time series of the East component of  
299 the depth-mean current vector obtained from model and observations. The mean bias and  
300 Root-Mean-Squared-Error (RMSE) of the differences gives  $-0.09 \text{ m s}^{-1}$  and  $0.14 \text{ m s}^{-1}$ ,  
301 respectively. The time difference in zero-crossing of ADCP and model yields a mean and  
302 standard deviation of -2 and 6 min for Slack Before Flood (SBF), and -21 and 11 min for  
303 Slack Before Ebb (SBE), respectively. The time difference for SBE is generally larger  
304 than for SBF; this simply reflects the fact that the time from maximum flood to maximum  
305 ebb is longer than vice versa, leaving more room for discrepancies in the former (i.e. for  
306 SBE).

307 Figure 3 shows the profiles of the East velocity component, each normalized with

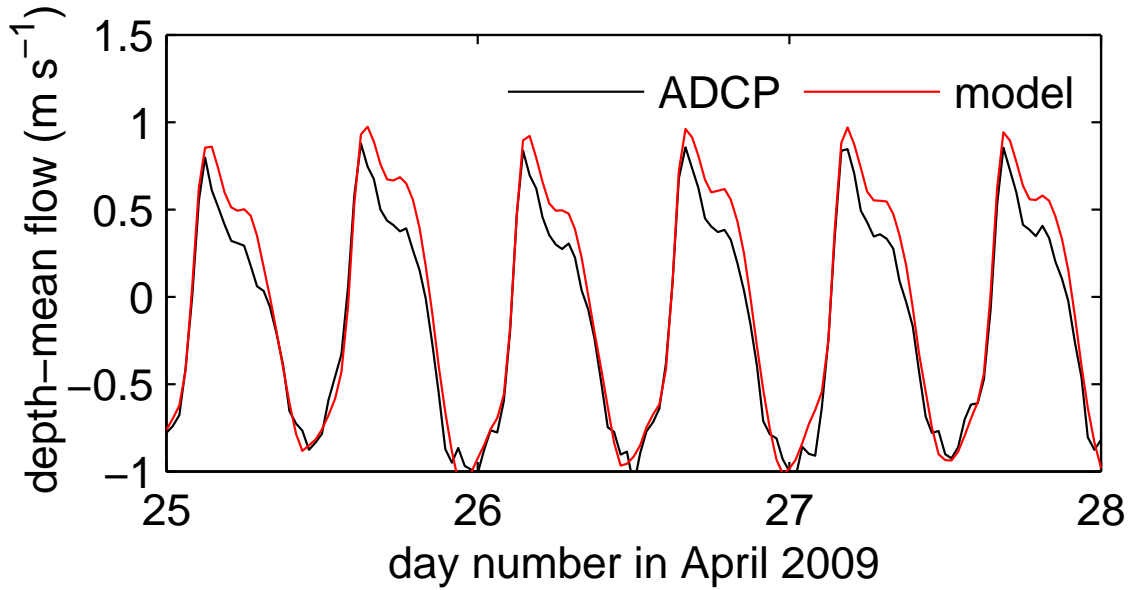


Figure 2: East component of the depth-mean current vector from model and observations. Positive values indicate flood. The time difference in zero-crossing of observed and modeled series is generally greater for Slack Before Ebb (SBE) than for Slack Before Flood (SBF).

308 its corresponding depth-mean velocity, for model and observations during ebb and flood  
 309 periods. Ebb and flood profiles clearly differ. Flood profiles show a parabolic shape  
 310 whereas ebb profiles show a linear shape. These profiles correspond well with recent  
 311 observations presented by de Vries et al. (2014), who demonstrated that stratification can  
 312 play a role in determining the structure of the residual current. Here we did not look at  
 313 stratification in the simulations results or the observations; however, stratification is known  
 314 to occur throughout the year in the Marsdiep inlet. The shape of the velocity profiles is well  
 315 represented by the numerical model, except for flood profiles with minor discrepancies in  
 316 the near-surface that may be associated, for instance, with wind variability and topographic  
 317 effects in the vicinity of the boundary (e.g. sidewall friction Sassi et al., 2011b).

318 The qualitative analysis presented above can be further substantiated by performing  
 319 an Harmonic Analysis (HA) to the modeled and the observed time-series of current pro-  
 320 files (Figure 4). In general, the contribution of the five most important tidal components to  
 321 the observed and modeled currents agrees relatively well, given the uncertainty associated



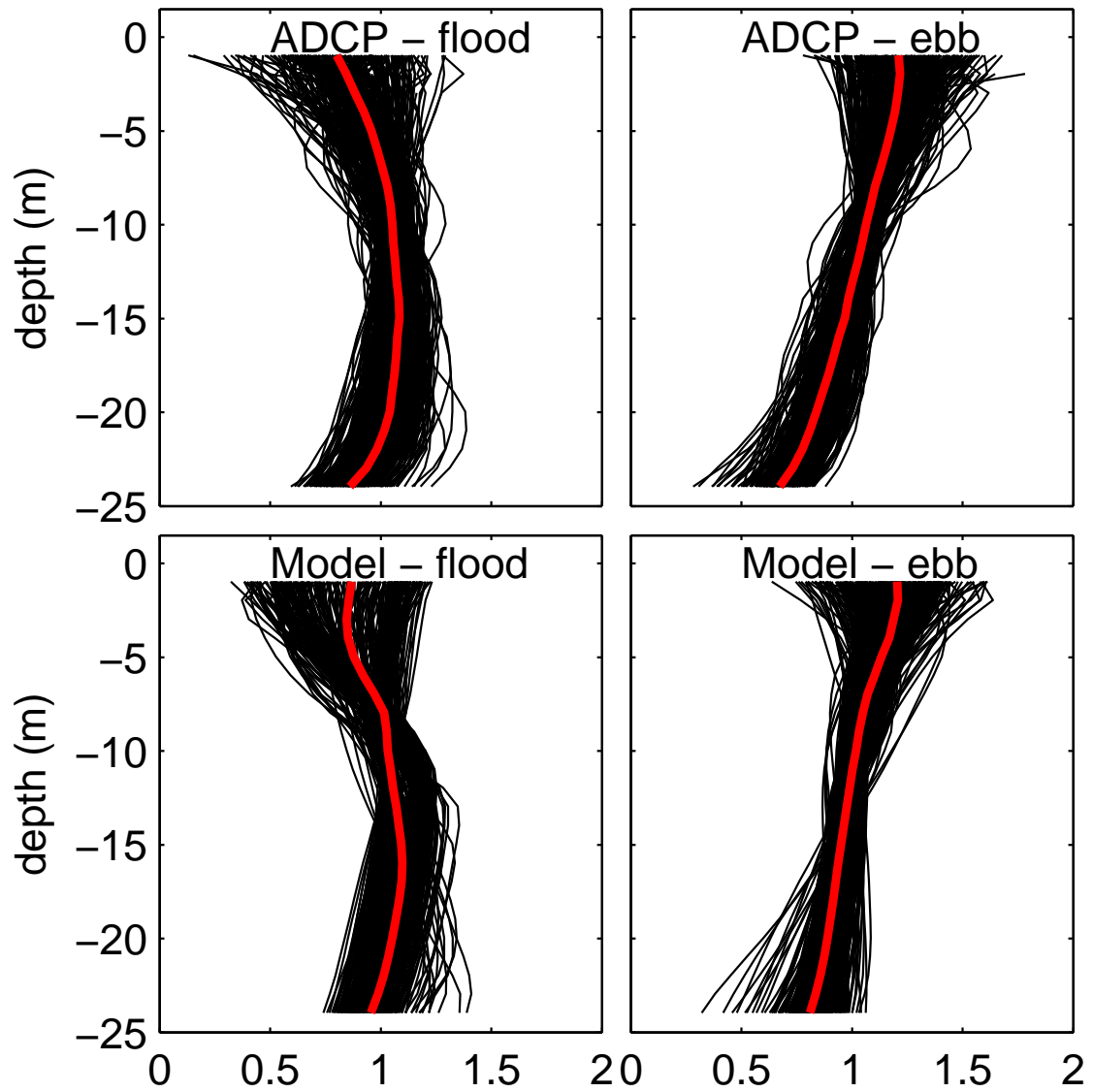


Figure 3: Black lines show the profiles of the East velocity component normalized with the corresponding depth-mean velocity, for model and observations during ebb and flood phases. Profiles shown correspond with those for which the magnitude of the depth-mean velocity is greater than  $0.1 \text{ m s}^{-1}$ . The red lines show the median.

322 with the estimates. The model tends to overestimate the semi-diurnal and diurnal compo-  
323 nents and underestimate the quarter-diurnal component. The difference in phase between  
324 model and observations is typically within 5 degrees, except for the quarter-diurnal and  
325 diurnal tides.

326 The model results are quite close to the observations, but the model performance  
327 could perhaps be further improved by adopting a different roughness length during ebb  
328 and flood periods to reflect the preferential direction of sand-dunes in the Marsdiep inlet  
329 (Buijsman and Ridderinkhof, 2008a). Additional sources of discrepancy may be inaccu-  
330 racies in the representation of the model domain, including the bathymetry of the DWS  
331 and the cross-sectional area of the inlet, and local subgrid topographic effects in measured  
332 velocity profiles since the lander was located on an area with steep slopes.

### 333 *b. Velocity Profiles Across the Inlet*

334 Velocity profiles obtained with the two ADCPs on board the ferry were transformed  
335 to sigma-coordinates using the median of the depth measured by the four beams of the  
336 ADCP (e.g. Vermeulen et al., 2014), and then sampled onto the model grid using nearest  
337 neighbor search with euclidean distance. We then aggregate all velocity measurements  
338 falling on a certain grid cell to obtain a fairer comparison with the model output. We  
339 further average velocity measurements to 30 min to match the temporal resolution of the  
340 aggregated observations with that of the model output. For each grid point we perform  
341 a linear regression with observed velocity as dependent variable and modeled velocity  
342 as explanatory variable, and obtain the slope, the offset and the RMSE of the residuals.  
343 Since the ferry track spans a great number of grid points (see Figure 5), we split the grid  
344 into consecutive transects across the inlet approximately in direction from South to North.  
345 This procedure is performed with both East and North components of the velocity vector  
346 measured by the two ADCPs, and discriminating between ebb and flood periods. In what  
347 follows we show the comparison for the East component measured by the ADCP located  
348 on the mainland side and for the flood phase only.

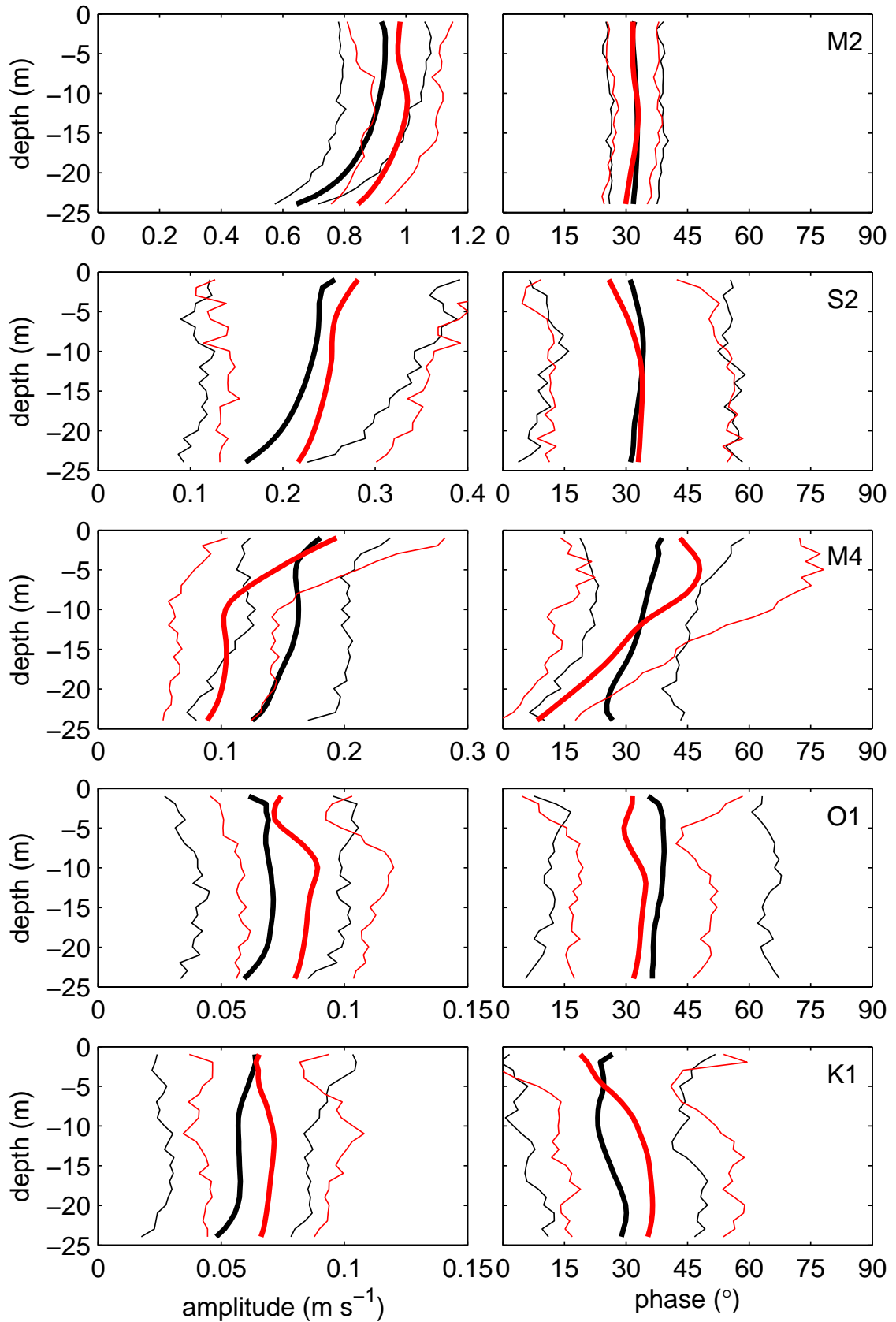


Figure 4: Harmonic analysis (using `t.tide`) performed on current-vector velocity profiles from observations (black) and simulations (red). The main five tidal constituents are shown, as described (left panels: major semi-axis; right panels: orientation). Thin lines indicate the error bands.

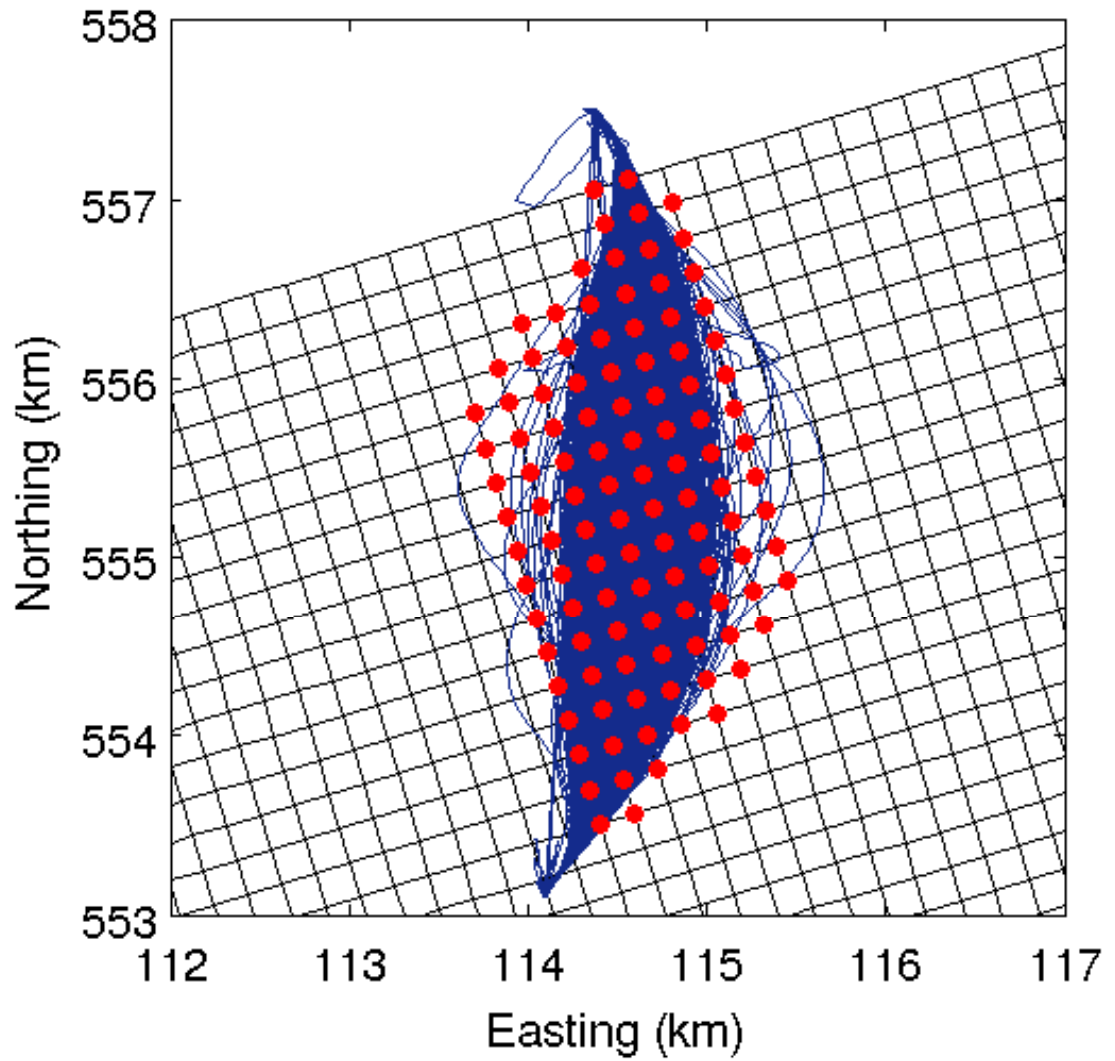


Figure 5: Track of the ferry overlaying the model grid. The red dots indicate the grid points employed for comparison and can be grouped into consecutive transects approximately spanning the inlet from West to East.

349 The model slightly overestimates the measured velocities in most parts of the domain  
350 of comparison because the slope is typically larger than unity; the offset remains very close  
351 to zero in all cases (see Figures 6). Near the bottom and close to the coastlines the model  
352 underestimates the observations. Although the latter result seems to be in contradiction  
353 with the results presented in Section a., it should also be noted that the location of the  
354 lander is well outside the domain of comparison and lies further to the Northeast. As the  
355 direction of the main channel is from Southwest to Northeast, we expect the comparison  
356 with the lander to be better represented by the comparison in the middle section of the  
357 transects shown here. Overall, the agreement between modeled and observed velocities  
358 yields a typical error in the range  $0.05 - 0.15 \text{ m s}^{-1}$ . Comparisons for ebb phases and with  
359 the ADCP located at the island side yield similar results (not shown).

### 360 *c. Transport Through the Inlet*

361 *i. Gross Transport* Following the methods described in Section i., we calculate the  
362 gross transport through the cross-section with the velocity profiles obtained by each ADCP  
363 independently. In a similar fashion, we also compute the transport with modeled profiles  
364 but limiting the profiles to the same region as the observations, i.e. below 6.5 m depth and  
365 above the bed starting 6 % of the total water depth. The model output (which has 30-min  
366 resolution) is linearly interpolated in time to get a precise correspondence with the ferry  
367 crossings, allowing us to compare them one to one.

368 Air bubbles produced by the wake of the ferry propulsion introduce noise on measured  
369 velocity profiles, therefore, we choose to not use data obtained with the ADCP behind the  
370 ferry propulsion. Accordingly, we split the observations in three ways: 1) the transport ob-  
371 tained with the ADCP on the island side ignoring the southward crossings, 2) the transport  
372 obtained with the ADCP on the mainland side ignoring the northward crossings, and 3) the  
373 transport obtained from combining these two. Figure 7 shows a comparison between the  
374 modeled and observed transport for a combination of the two ADCPs. The model slightly  
375 overestimates the transport, which is consistent with the results in Section a. and b.. With

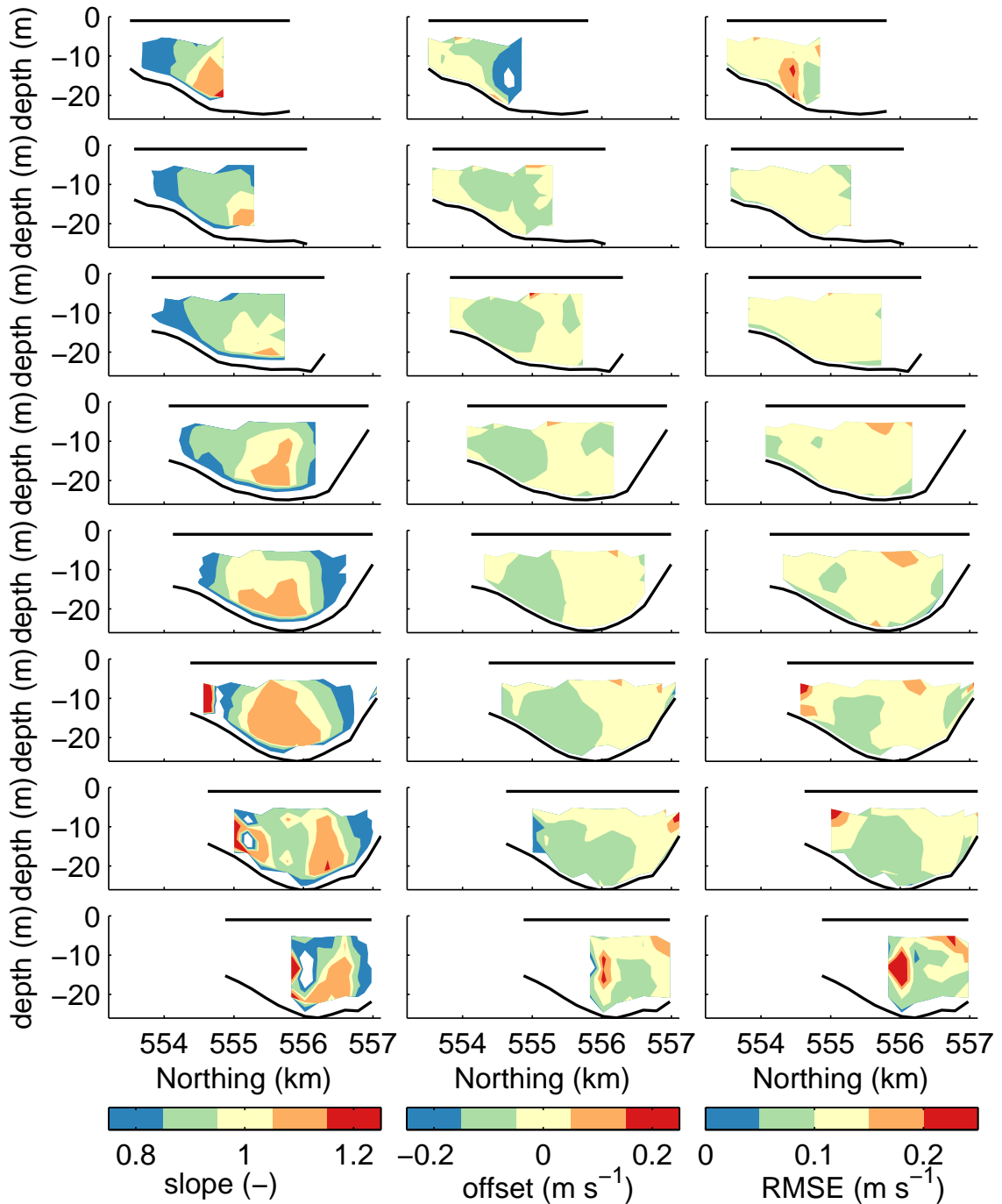


Figure 6: Properties of scatter plots between observed (as explanatory variable) and modeled velocities. For each grid point, a linear regression between time-series of the East component of observed and modeled velocities for flood periods yields the slope, the offset and the RMSE of the residuals. Model grid points were grouped into transects across the Marsdiep (left and right sides of the transect approximately correspond with South and North coastlines of the inlet). Panels from top to bottom represent transects approximately distributed from West to East (see Fig. 5). Also shown the location of the water surface and the bottom.

376 any of the three data sources employed in the comparison, the mean bias and the RMSE  
377 are on average about  $1000 \text{ m}^3 \text{ s}^{-1}$  and  $3500 \text{ m}^3 \text{ s}^{-1}$ , respectively. This is a significant  
378 improvement compared with an RMSE of  $8000 \text{ m}^3 \text{ s}^{-1}$  reported by Duran-Matute et al.  
379 (2014), and we accredit the latter to the fact that they were comparing the total transport  
380 (including extrapolation towards unmeasured areas near surface and bottom), instead of  
381 limiting the comparison to the areas that were actually covered by the measurements.

382 *ii. Residual Transport* Residuals are calculated over integration (tidal) periods that are  
383 defined as follows. We select moments, during rising tides, at which the volume in the  
384 DWS matches the long-term mean value; the interval between such consecutive moments  
385 is then considered to be the tidal period (see Duran-Matute et al., 2014; Duran-Matute  
386 and Gerkema, in prep). This approach yields 690 integration periods for the year 2009;  
387 the mean tidal period is 12.42 hours long, but individual periods can vary well within the  
388 range 8 to 16 hours, depending on wind conditions (Duran-Matute and Gerkema, in prep).  
389 Since the ferry crosses the inlet during daytime, the number of periods to be employed in  
390 the comparison is reduced to about half, but this number is further reduced because there  
391 are gaps in ADCP data due to ferry maintenance (see Fig. 7) and because the data may  
392 not fully cover one tidal period, as shown in the example in Figure 8. We select only those  
393 tidal periods that are fully covered by the data (using the two ADCPs combined) and then  
394 linearly interpolate to a common base both model output and observations. A total of 35  
395 tidal periods are employed that allow us to compare one by one the residuals from the  
396 model and the observations.

397 The residual transport is highly variable (Figure 9), not only in magnitude but even  
398 in sign; this is in large part due to wind intensity and direction (Duran-Matute et al.,  
399 2014; under review). Note that observed residuals typically fall during periods of no  
400 strong wind events (i.e. bias towards calm conditions). The range of variation of the  
401 residual transport decreases by about an order of magnitude with respect to the gross  
402 volumetric transport. Overall, residuals obtained with the ADCP are somewhat smaller

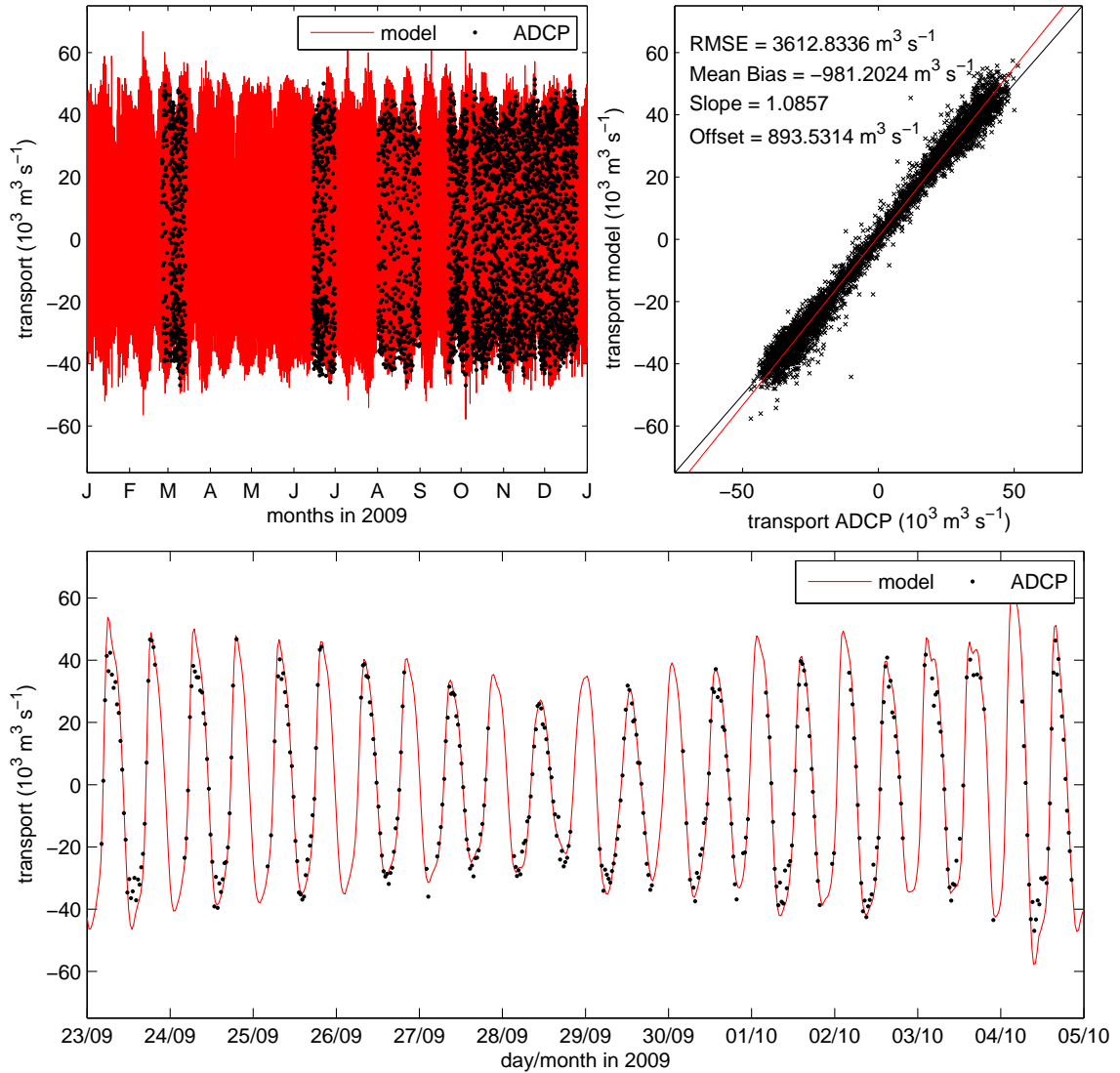


Figure 7: Comparison between the modeled and the observed transport obtained by combining data from the two ADCPs. Modeled transport has been exclusively obtained for areas overlapping with the measured profiles. The panel to the right shows a scatter plot between observations and simulations at times corresponding with the observations. The black line is the one to one line. The red line is the best fit line with slope and offset as described. The panel below shows a sub sample of the time series for a period of about 12 days.



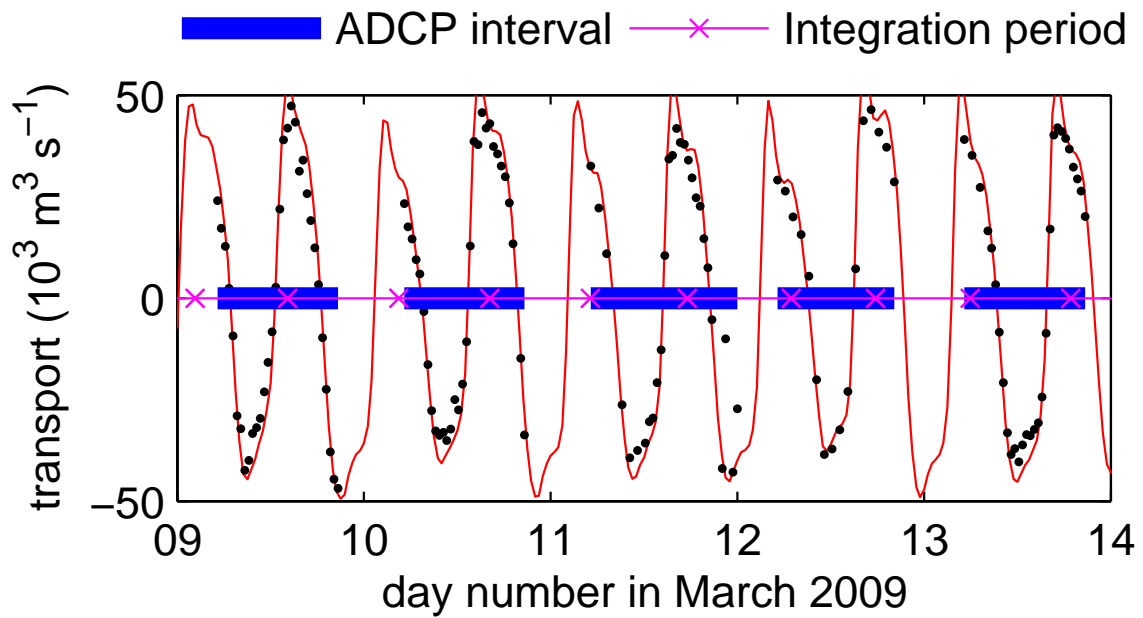


Figure 8: Residuals are computed over predefined integration periods (continuous magenta line with crosses indicating start and end of the interval). The tidal period was defined as the time difference between two consecutive up-crossings of the volume of water of the entire DWS through the median volume (see Duran-Matute et al., 2014). To compare the residuals obtained with the model and the observations, we choose those intervals that are fully covered by ADCP data. In this example we select the two last intervals.

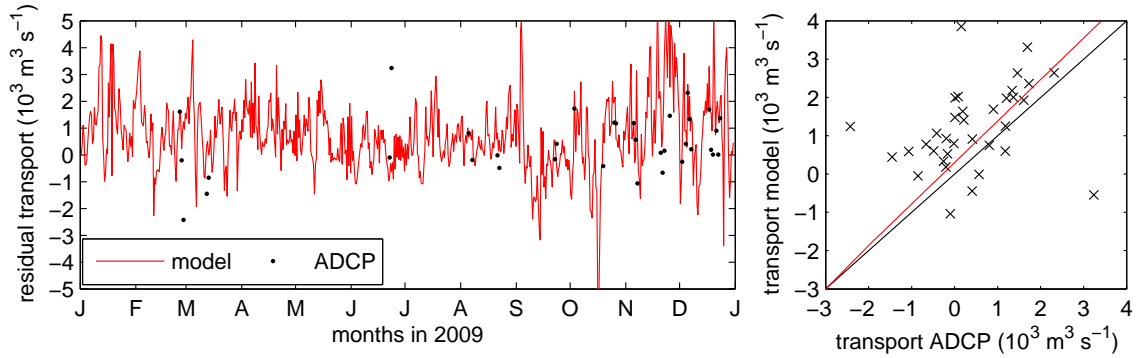


Figure 9: Left: time series of residual transport for the year 2009 from model (continuous red line), and observations (black dots) for the tidal periods that are fully covered by ADCP data. The mean bias is  $800 \text{ m}^3 \text{ s}^{-1}$  and the RMSE is  $1200 \text{ m}^3 \text{ s}^{-1}$ . Right: scatter plot with observations as explanatory variable. The black line is the one to one line, and the red line indicates the best fit line with a slope of 1.08 and an offset of  $300 \text{ m}^3 \text{ s}^{-1}$ .

403 compared with the model. This is to be expected as the model typically overestimates the  
 404 observations. The mean bias is  $800 \text{ m}^3 \text{ s}^{-1}$  and the RMSE is  $1200 \text{ m}^3 \text{ s}^{-1}$ . A scatter plot  
 405 with observations as explanatory variable yields a slope of 1.08 and an offset of  $300 \text{ m}^3$   
 406  $\text{s}^{-1}$ .

#### 407 **4. Uncertainty in the Residual Transport**

408 The modeled and observed transports over the region of the flow that is covered by the  
 409 ADCP were shown to be in relatively good agreement for gross quantities, and not as good  
 410 for residual quantities. Although the model overestimates the observations, the behavior is  
 411 consistent throughout the observations and discrepancies should eventually be minimized  
 412 after further model calibration. We now investigate the influence of temporal and spatial  
 413 lacunae in the ADCP data. In particular, we examine how this affects the estimates of the  
 414 residual flow. We carry out this analysis by taking the model results as a starting point,  
 415 which have no such gaps; we then artificially erase part of the model data (temporally or  
 416 spatially) to mimic the gaps of the ADCP coverage. The comparison with the original, full  
 417 model data then allows us to assess the effects of the gaps and of ways to fill them up.

418 *a. Spatial Gaps*

419 Here we focus on the spatial gaps near the surface and the bottom. Velocity profiles  
420 can thus be divided into three regions: a mid layer where the flow is actually measured,  
421 and a top and a bottom layer where the flow is not measured. We implement two extrapo-  
422 lation approaches for the unmeasured areas: the ‘constant extrapolation method’ assumes  
423 the uppermost (lowermost) velocity bin is normative of the top (bottom) layer, and the  
424 ‘linear extrapolation method’ assumes the transport in the top layer is determined by the  
425 gradient of the three uppermost velocity bins, whereas the transport in the bottom layer is  
426 determined by linear interpolation between the lowermost bin and zero at the bottom. The  
427 sum of the transports over the three layers gives the transport per unit width, and the sum  
428 of the latter over the cross-section yields the total volumetric transport. From the latter we  
429 compute the residual transport.

430 We compute the residual transport from the model data for several possible combina-  
431 tions of approach: using profiles in all three layers, using profiles in the middle layer with  
432 no extrapolation, using profiles in the middle layer and extrapolation towards the bottom  
433 and the surface using the constant method, and the latter but using the linear method. For  
434 the last two approaches we further separate the contributions of bottom and surface ex-  
435 trapolation to the residuals. Table 1 shows a summary of the mean, the median and the  
436 standard deviation of the obtained residuals. The median of the true residual for the year  
437 2009 is  $-486 \text{ m}^3 \text{ s}^{-1}$  (out of the DWS), whereas the median of the residual flow computed  
438 with the measured region only yields practically the opposite result. Adding the transport  
439 near the bottom is not enough to resolve this discrepancy, and extrapolation towards the  
440 surface accounts for as much as the true transport. Extrapolation towards the surface us-  
441 ing the constant method marginally improves the comparison and the linear method clearly  
442 produces a much better comparison.

	Total	Mid	Mid+Bot const	Mid+Top const	Total const	Mid+Bot lin	Mid+Top lin	Total lin
Median	-486	612	627	19	67	615	-377	-382
Mean	-8	710	742	252	284	718	-17	-9
SD	2373	1336	1452	2143	2244	1365	2302	2323
RMSE	-	1521	1514	649	700	1518	327	324
MB	-	718	750	260	292	726	-9	-1
Offset	-	714	746	259	291	722	-10	-2
Slope	-	0.5	0.53	0.88	0.91	0.51	0.96	0.97

Table 1: Median, mean and Standard Deviation (SD) of the residual transport for the year 2009, and summary of statistics of the comparison between the residual transport using all measurements (first column) and corresponding estimates based on several approaches with extrapolation towards unmeasured areas (the rest of the columns). The constant extrapolation method (const) assumes that the uppermost (lowermost) velocity bin is normative of the top (bottom) layer. The linear extrapolation method (lin) assumes that the transport in the top layer is determined by the gradient of the three uppermost bins whereas the transport in the bottom layer is determined by linear interpolation between the lowermost bin and zero at the bottom. ‘Bot’ stands for bottom layer, ‘Top’ for surface layer, and ‘Mid’ for middle (measured) layer. All quantities are in  $\text{m}^3 \text{s}^{-1}$  except for the slope that has no units.

#### 443 *b. Temporal Gaps*

444 Observations are limited to the time-schedule of the ferry itself, which is mostly from  
445 6 AM to 10 PM (although complete weeks or months lack occasionally due to mainte-  
446 nance). To fill in these temporal gaps we use Harmonic Analysis (HA). First, we assess  
447 the effect of the temporal gaps on the results of the HA. We use and compare: 1) the full  
448 time series of the modeled transport for the year 2009, 2) the same time series but now  
449 restricted to the time schedule of the crossings of the ferry (i.e. from 6 AM to 10 PM), and  
450 3) further restricting the time series to the times and dates that the ferry actually crossed  
451 the inlet during the year 2009. Table 2 shows the amplitudes and phases for the main  
452 semi-diurnal (M2, S2 and N2), diurnal (O1, P1 and K1), overtides (M4, MS4 and M6),  
453 and low-frequency constituents (SSA, MSM and MF). Restricting the series to the ferry  
454 times already results in discrepancies of about 1-10 % for quarter-diurnal, 5-20 % in diurnal  
455 tides, and more than 10% (and up to above 100 %) for low-frequency tides; semi-diurnal  
456 tides show negligible discrepancies. Restricting the series to the days and times where we

	Amplitude ( $\text{m}^3 \text{s}^{-1}$ )			Phase ( $^\circ$ )		
	Full	6AM-10PM	ADCP Scope	Full	6AM-10PM	ADCP Scope
M2	63620	63685	63390	124	123	123
S2	17223	17276	17129	192	193	193
N2	8759	8758	9151	104	105	105
M4	5754	5704	5353	169	169	159
MS4	2707	2763	3206	225	222	219
M6	6372	5987	5439	247	241	241
O1	4249	4611	4577	122	124	138
P1	1649	2050	1814	285	282	279
K1	3748	3898	3660	286	282	286
SSA	231	451	984	137	130	135
MSM	344	564	620	1	6	324
MF	118	98	674	224	145	225

Table 2: Harmonic analysis (using `t.tide`) on the time series of the modeled transport for the year 2009 (Full), on the same series but restricting the times to daily ferry crossings (6AM-10PM), and further restricting the series to the actual dates and times that the ferry crossed the inlet during the year 2009 (ADCP scope). We obtain amplitudes and phases of several tidal constituents (59 in total) and show here a subset of the most important ones.

457 actually have observations generally amplifies these errors further, except for the diurnal  
458 components.

459 To assess the effect of the temporal gaps on the estimation of the residual transport,  
460 time series of the gross transport were reconstructed with the results of the HA. Residuals  
461 were then obtained by subtracting the reconstructed series from the original full series.  
462 We did this using HA on the full series, on the series corresponding with the daily cross-  
463 ings of the ferry, and on the series with ‘maintenance’ gaps as in the observations. We  
464 also obtained the residuals of the series with gaps, for those integration periods that are  
465 fully covered with data (as was previously done with the observations). Table 3 shows a  
466 summary of the statistics of the distributions of the residuals obtained with these differ-  
467 ent approaches. Restricting the series to daily crossings lowers the mean and the median.  
468 However, having fewer estimates of the residual, as in the observations during 2009, com-  
469 pletely reverses the results. Note that a high correlation coefficient does not guarantee the  
470 mean and the median to be representative of the true value. The mean and the median

	Median	Mean	SD	Skewness	$r$
Full	-486	-8	2373	1.2	-
HA Full	-150	343	3885	1.3	0.72
6AM - 10PM	-605	-117	2231	1	0.99
HA 6AM - 10PM	-164	416	3916	1.3	0.69
ADCP scope	588	999	2333	0.7	0.99
HA ADCP scope	274	753	4210	1	0.61

Table 3: Summary of statistics of the residuals for the year 2009 based on the full series (Full), the series restricted to the times of the ferry crossing (6AM-10PM), the series with gaps as in the observations (ADCP scope), and the residuals obtained by subtracting from the full series the reconstructed series obtained with harmonic analysis. Also shown the Pearson's  $r$  between estimates with the full series (first row, assumed here to be the true residual transport) and estimates with all other approaches (the rest of the rows). All quantities in  $\text{m}^3 \text{s}^{-1}$ , except for the skewness and the coefficient of correlation that have no units.

471 obtained with the HA also show a bias towards positive values, and an almost two-fold  
472 increase in the standard deviation of the distribution.

473 In none of the cases does the residual (mean or median) based on the HA come even  
474 close to the value obtained directly from the time series itself. The latter, of course, in-  
475 volves no assumptions and can be considered reliable. The HA, on the other hand, pre-  
476 sumes that each constituent has fixed constants of amplitude and phase, which is illusory  
477 in an environment where wind-induced set-up can affect the propagation of these very con-  
478 stituents. The problem is aggravated by the fact that periods of strong wind are precisely  
479 the times that highly affect the residual flows and their long-term mean.

## 480 5. Discussion

481 Ship-borne ADCP measurements offer valuable information, e.g. in our case on the  
482 transports through a tidal inlet, but are subject to a number of important uncertainties  
483 (Gonzalez-Castro and Muste, 2007), including unmeasured areas, spatial and temporal  
484 resolution, Doppler noise, instrumental errors, and operational errors. Each of these er-  
485 rors may introduce large uncertainties when calculating the residual transport. Here we  
486 have focused on the uncertainty due to unmeasured areas and gaps in temporal sampling,

487 and have found that uncertainty in unmeasured areas (near bottom and surface) can be  
488 overcome by linear extrapolation but uncertainty due to temporal sampling is more crit-  
489 ical; this is mainly related to the complicated nature of the residuals. Another source of  
490 uncertainty that requires further attention in future investigations includes the effects of  
491 turbulence (e.g. Tarrab et al., 2012) and noise due to the ferry propulsion on measured  
492 velocity profiles and consequently on the volumetric transport. For instance, including  
493 northward and southward crossings in any of the ADCP estimates of the transport yields a  
494 worst comparison with the numerical model, with an increase of about 50 % in both the  
495 mean bias and the RMSE.

496       The extrapolation methods employed to fill in the unmeasured areas are considered  
497 standard techniques in river discharge monitoring (e.g. Gonzalez-Castro and Muste, 2007),  
498 with the power distribution fit complementing the latter set of techniques. Methodologies  
499 based on profile fitting (e.g. Sassi et al., 2011b; Pacheco et al., 2012) make use of the  
500 best-fit to the observed velocities to extrapolate towards the surface and the bottom, with  
501 parameters typically being selected such that the transport through the measured region  
502 obtained with the fitted function equals the measured transport. Here we have delibera-  
503 tively left these methodologies out of the analysis to avoid further complications of the  
504 analysis. Although profile fitting techniques would certainly constitute an improvement  
505 to our approach, parameterizing near-surface wind effects on velocity profiles is still chal-  
506 lenging.

507       Applying harmonic analysis to time series of transport to fill in the temporal gaps  
508 yielded significant errors in the estimated residuals, even when considering the full mod-  
509 eled series with no gaps. This approach for gap-filling in the temporal domain is clearly  
510 questionable because harmonic analysis cannot cope with non-linear effects such as those  
511 introduced by the varying wind stress forcing. The latter not only varies from one tidal  
512 cycle to the other, but also can significantly alter the dominant tidal period. In this re-  
513 spect, approaches based on continuous wavelet transform (Jay and Flinchem, 1997) or

514 non-stationary tidal harmonic analysis (Matte et al., 2014) may yield more satisfactory  
515 results.

516 In this study we have analysed the residual transports of water through the inlet, but the  
517 same problems will be encountered when calculating transport of suspended particulate  
518 matter. Estimates of the latter in the Marsdiep inlet were made on the basis of acoustic  
519 backscatter intensity of the ADCP (Nauw et al., 2014). Based on the present study, we  
520 would expect that the spatial gaps (no coverage of the ADCP near surface and bottom)  
521 might be resolved by extrapolation, but the temporal gaps (due to the daily ferry schedule  
522 and maintenance) pose a formidable obstacle in getting reliable estimates of the long-term  
523 residual transport of sediment through the inlet.

## 524 **6. Conclusions**

525 Residual flows in multiple-inlet systems control the exchange of mass with the adja-  
526 cent sea and are of paramount importance for the ecology and the morphology of these  
527 environments. Residual flows are difficult to assess because they are very small com-  
528 pared with the instantaneous volumetric transport in a tidal cycle. Moreover, they vary in  
529 magnitude and sign from one tidal period to the other due to the combined effects of the  
530 tides, the wind and the freshwater discharge, and they even vary from year to year due to  
531 climatology. Here we quantified the statistical distribution of residual flows in the Mars-  
532 diep tidal inlet of the Wadden Sea by comparing the results of observations with those  
533 of a high-resolution numerical model, for the year 2009. Long-term and semi-continuous  
534 ferry-based ADCP observations were employed to validate the results of the numerical  
535 model, and in turn, the model results were employed to investigate the impact of spatial  
536 and temporal gaps in the observations. Areas near the bottom and the surface not covered  
537 by the ADCP were supplied with two simple extrapolation methods based on constant and  
538 linear extrapolation techniques. Our results indicate that the linear extrapolation technique  
539 performs better than the constant extrapolation method, which reflects the strong depar-



540 tures that wind and freshwater discharge induce in the vertical velocity profiles. This is  
541 particularly important near the surface. For the temporal domain (i.e. the gaps in time  
542 series of total volumetric transport), we tested the ability of harmonic analysis to properly  
543 retrieve the residuals. We found that harmonic analysis yields large departures from the ex-  
544 pected value and, particularly, that harmonic analysis tends to inflate the distribution, with  
545 a two-fold increase in the standard deviation. The limited availability of measurements  
546 from disruptions due to ferry maintenance and/or other reasons also plays an important  
547 role because the statistical distribution of the residuals is poorly sampled.

548       *Acknowledgments.* This work was supported through the project “PACE The future of  
549 the Wadden Sea sediment fluxes: still keeping pace with sea level rise?” by Netherlands  
550 organization for scientific research (NWO, ZKO-project 839.11.003). The authors would  
551 like to thank Gerben de Boer for fruitful discussions on the handling of the TESO ferry  
552 data. The comments by the editor and two anonymous reviewers contributed to improve  
553 an earlier version of this manuscript.

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