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1 ***Des-A-lupane in an East African lake sedimentary record as a new proxy for C<sub>3</sub>-plant***  
2 ***stable carbon isotopic composition***

3

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21

22 **Abstract**

23 We studied the high-resolution and well-dated 25,000 year sedimentary record of Lake  
24 Challa, a deep tropical crater lake in equatorial East Africa, to explore new proxies for  
25 paleoenvironmental and paleohydrological change. Sedimentary biomarker analysis revealed  
26 the presence of *des-A*-triterpenoids with oleanane, ursane and lupane carbon skeletons,  
27 microbial degradation products of angiosperm plant triterpenoids. Their increased influx from  
28 16,000 years ago corresponds with previously documented changes in the terrestrial  
29 vegetation of the Lake Challa basin during postglacial warming, in particular the relative  
30 increase in C<sub>3</sub>/C<sub>4</sub> plant ratio inferred from the stable carbon isotopic signature ( $\delta^{13}\text{C}$ ) of  
31 sedimentary *n*-alkanes derived from plant leaf waxes. In contrast to this *n*-alkane  $\delta^{13}\text{C}$ , the  
32  $\delta^{13}\text{C}$  of *des-A*-lupane maintains a constant value of  $-27.4 \pm 1.1\%$  across the glacial–interglacial  
33 transition. Since *des-A*-lupane is derived from C<sub>3</sub> plants, its  $\delta^{13}\text{C}$  signature is here proposed to  
34 represent a novel and independent proxy for the time-variable carbon isotopic composition of  
35 local terrestrial C<sub>3</sub> plants, which can improve estimates of the C<sub>3</sub>/C<sub>4</sub> plant ratio based on two-  
36 end member mixing models of *n*-alkane  $\delta^{13}\text{C}$  values.

37

38 **Keywords**

39 *Des-A*-triterpenoids; *des-A*-lupane;  $\delta^{13}\text{C}$  of *des-A*-lupane; lacustrine sediments; East Africa;  
40 C<sub>3</sub>/C<sub>4</sub> plant ratio; vegetation reconstruction.

41

## 42 **1. Introduction**

43 Non-hopanoid pentacyclic triterpenoids preserved in lake and marine sediments are relatively  
44 well studied biomarkers, and used in organic geochemistry as a proxy for the input of  
45 terrestrial angiosperm plants (e.g. Rullkötter et al., 1982; Freeman et al., 1994; Sabel et al.,  
46 2005). These wax components are synthesized almost exclusively by higher plants as a  
47 defense mechanism against insects, pathogens and herbivores (Langenheim, 1994). Pentacyclic  
48 triterpenoids with, for example, lupane, oleanane and ursane skeletons, are widely accepted as  
49 general biomarkers for angiosperms, yet most of these triterpenoids are not species-specific  
50 (e.g. Ohmoto et al., 1970; ten Haven and Rullkötter, 1988; Regnery et al., 2013). *Des-A-*  
51 triterpenoids, in turn, are diagenetic products of triterpenoid A-ring degradation (Trendel et  
52 al., 1989), a process probably mediated by microorganisms under anoxic conditions  
53 (Lohmann et al., 1990).

54 Plant wax lipids, such as *n*-alkanes, can be used as indicators of the composition of  
55 local vegetation, as their compound-specific stable carbon isotopic value ( $\delta^{13}\text{C}$ ) depends on  
56 the dominant biochemical pathway used by plants for photosynthesis. Higher plants usually  
57 fix  $\text{CO}_2$  by either the  $\text{C}_3$  (Calvin-Benson) or  $\text{C}_4$  (Hatch-Slack) cycle. In  $\text{C}_4$  photosynthesis,  
58 atmospheric  $\text{CO}_2$  entering the plant stomata is pre-concentrated, resulting in less carbon  
59 isotopic depletion. In tropical Africa, lowland vegetation consists mostly of  $\text{C}_4$  grasses and  $\text{C}_3$   
60 trees and shrubs, and (seasonal) moisture availability is the dominant control on the  
61 distribution and abundance of these  $\text{C}_4$  grasses across the modern-day landscape. On glacial-  
62 interglacial time scales, variation in atmospheric  $p\text{CO}_2$  exerted important control on the  
63 composition of savanna vegetation of East Africa (Sinninghe Damsté et al., 2011). When  
64  $p\text{CO}_2$  varies little, as within the Holocene, the distribution of  $\text{C}_3$  and  $\text{C}_4$  vegetation can be  
65 used as a measure of past water availability (Castañeda et al., 2007).

66           During an earlier high-resolution stratigraphic study of *n*-alk-1-enes in the continuous  
67 and well-dated 25,000-year (25 kyr) sedimentary record of Lake Challa, a deep crater lake in  
68 equatorial East Africa (van Bree et al., 2014), we also encountered a wide range of *des*-A-  
69 triterpenoids. In this paper, we report our findings regarding the origin and potential  
70 paleoenvironmental application of the pentacyclic triterpenoid degradation products derived  
71 from higher plants. A study of *des*-A-arborenes/fernenes also present in Lake Challa  
72 sediments, but of microbial origin, will be published separately.

73

## 74 **2. Material and Methods**

### 75 2.1 Study area

76 Lake Challa (3°19'S, 37°42'E) is a relatively unproductive, tropical freshwater lake, situated  
77 ~880 m above sea level on the lower south-eastern slope of Mt. Kilimanjaro (Fig. 1). Shared  
78 by Kenya and Tanzania, it has a surface area of 4.5 km<sup>2</sup>, a steep-sided crater catchment of  
79 ~3.4 km<sup>2</sup> and a maximum depth of ~90 m. Rainfall seasonality is mainly determined by the  
80 semi-annual passing of the Inter-Tropical Convergence Zone (ITCZ), resulting in moderate  
81 'long rains' (March-May) and more intense 'short rains' (October-December) separated by a  
82 long dry season during Southern Hemisphere winter (June-September). Since the local  
83 balance between precipitation and evaporation is negative by three to one, the lake's water  
84 budget must be maintained by substantial groundwater inflow, derived from rainfall on the  
85 forested mid-elevation slopes of Mt. Kilimanjaro. Also temporary creek discharge breaching  
86 the crater's northwestern rim can occur during very heavy rains (Buckles et al., 2014). Daily  
87 wind-driven mixing of the water column is limited to the uppermost 15-20 m year-round.  
88 Seasonal deeper mixing (June-September) extends to 40-60 m, implying a permanently  
89 stratified lower water column. Given limited density stratification, the possibility of complete  
90 mixing cannot be excluded but its recurrence frequency is likely decadal or longer, rather than

91 inter-annual (Wolff et al., 2011, 2014). Wind-blown (aeolian) particles, supplemented by soil  
92 and litter input from the steep-sided inner slopes of the crater, contribute allochthonous  
93 organic matter to Lake Challa sediments. The vegetation outside the crater and on top of the  
94 rim is a woodland-savannah with shrubs, trees and C<sub>4</sub> grasses, while inside the crater rim a  
95 more varied vegetation occurs, including CAM plants in a dry succulent forest occupying the  
96 middle slopes, and a fringe of evergreen forest around the lake shore (Hemp, 2006; Sinninghe  
97 Damsté et al., 2011).

98

## 99 2.2 Study material

100 A 21.65 m composite core of mostly finely-laminated organic muds was retrieved from the  
101 center of Lake Challa (Fig. 1) during coring activities in 2003 and 2005 (Verschuren et  
102 al., 2009). This yielded, after excision of five turbidites, a 20.82 m long master sequence  
103 covering the last 25 kyr of continuous offshore lacustrine sedimentation. The age-depth model  
104 is based on a smoothed spline through INTCAL04-calibrated AMS <sup>14</sup>C ages of 164 bulk  
105 organic carbon samples (Blaauw et al., 2011). For this study, a total of 148 sediment samples  
106 with 4-cm thickness were extracted and processed for biomarker analysis, generally at ~200-  
107 year intervals, with a higher resolution of ~50 year intervals in the youngest 3.2 kyr. In  
108 addition, we analyzed the compound-specific δ<sup>13</sup>C of selected biomarkers in 52 sediment  
109 samples distributed throughout the sequence.

110 In order to trace the origin of the biomarkers found in Lake Challa sediments, we  
111 further analyzed soil and litter samples from around the lake, suspended particulate matter  
112 (SPM) and settling particles collected by a sediment trap (Sinninghe Damsté et al. 2009;  
113 Buckles et al. 2014). This sediment trap was deployed at 35 m water depth in a most often  
114 suboxic part of the water column, and samples were retrieved at ca. 4-week intervals between  
115 November 2006 and December 2007 (Sinninghe Damsté et al., 2009). The SPM sampling was

116 conducted on 10–11 September 2006 along a vertical profile in the center of the lake, every 5  
117 m between 0 and 30 m, and every 10 m between 30 and 90 m water depth. The water samples  
118 (4 to 9 L) were filtered through GF/F filters and stored frozen until processing. Eight soil  
119 samples were collected from within the catchment area of Lake Challa in 2005 (Sinninghe  
120 Damsté et al., 2009; Fig. 1). Sampling of litter in September 2012 included three near-shore  
121 samples representing leaf and fruit remains from forest trees and shrubs, and two samples  
122 from just below the crater rim consisting of leaf and twig remains and small non-diagnostic  
123 organic debris. These samples were also stored frozen until analysis.

124

### 125 2.3 Lipid extraction

126 The freeze-dried and powdered sediment samples were extracted with a Dionex™  
127 Accelerated Solvent Extractor (ASE), using a dichloromethane (DCM)/methanol (9:1, v/v)  
128 mixture at high temperature (100°C) and pressure (7.6 x 10<sup>6</sup> Pa) (Sinninghe Damsté et al.,  
129 2011). The total extracts were separated over an activated Al<sub>2</sub>O<sub>3</sub> column into an apolar and a  
130 polar fraction with hexane/DCM (9:1, v/v) and DCM/MeOH (1:1, v/v), respectively.

131 Accumulation rates of apolar compounds (in mg m<sup>-2</sup> yr<sup>-1</sup>) were calculated based on their  
132 concentration, the wet weight and water content of the sediment samples, and the sediment's  
133 age-depth profile. For analysis of stable carbon isotopic composition, a subset of the apolar  
134 fractions were separated into a saturated and an unsaturated hydrocarbon fraction using a  
135 small Ag<sup>+</sup>-impregnated silica column with hexane and ethyl acetate as eluents, respectively.

136 SPM and sediment trap samples were extracted previously (Sinninghe Damsté et al.,  
137 2009). Fluxes of apolar compounds (in mg m<sup>-2</sup> yr<sup>-1</sup>) in the sediment trap samples were  
138 calculated using the concentration of each of these components relative to total particle flux.

139 Litter and soil samples were extracted ultrasonically with DCM/MeOH (2:1, v/v), after  
140 cutting the larger leaf, stem or fruit remains into small pieces. The extracts were evaporated to

141 dryness, methylated with diazomethane in diethyl ether and separated over an activated Al<sub>2</sub>O<sub>3</sub>  
142 column into apolar, ketone and polar fractions, using hexane/DCM (9:1, v/v), hexane/DCM  
143 (1:1, v/v) and DCM/MeOH (1:1, v/v) as eluents, respectively.

144

#### 145 2.4 Lipid identification and quantification

146 The apolar fractions of sediments, SPM, sediment trap, soil and litter extracts were analyzed  
147 by gas chromatography (GC) and GC-mass spectrometry (GC/MS), after addition of a known  
148 amount of internal standard. A few polar fractions of sediment and SPM samples were  
149 methylated, silylated and screened for functionalized triterpenoids.

150 GC was performed using a Hewlett-Packard (HP6890) instrument equipped with an  
151 on-column injector and a flame ionization detector (FID). A fused silica capillary column (25  
152 m x 0.32 mm) coated with CP Sil-5 CB (film thickness 0.12 µm) was used with helium as  
153 carrier gas. The samples were injected at 70°C and the oven temperature was programmed to  
154 rise at 20°C/min to 130°C, and then at 4°C/min to 320°C, at which it was held for 20 min.

155 GC-MS was performed on a Finnigan Trace DSQ mass spectrometer operated at 70  
156 eV with a mass range of *m/z* 40 to 800 and a cycle time of 1.7 s. The gas chromatograph was  
157 equipped with a fused silica capillary column as described above. The carrier gas was helium  
158 and the same oven temperature program as for GC was used. Identification of the *des-A*-  
159 triterpenoids and other triterpenoid hydrocarbons is based on relative retention times,  
160 published mass spectra (including the NIST98 spectral library), and interpretation of observed  
161 fragmentation patterns. Quantification of compounds was performed by peak area integration  
162 of appropriate peaks (including that of the internal standard) in the FID chromatograms.

163

#### 164 2.5 Compound-specific carbon isotope analyses



165 We subjected 52 saturated hydrocarbon fractions to compound-specific  $\delta^{13}\text{C}$  analysis using an  
166 Agilent 6800 GC coupled to a ThermoFisher Delta V isotope-ratio monitoring mass  
167 spectrometer. The isotope values were measured with reference to a calibrated external  
168 reference gas, and performance of the instrument was monitored daily by injections of a  
169 mixture of a  $\text{C}_{20}$  and a  $\text{C}_{24}$  perdeuterated *n*-alkane with known isotopic composition. The  $\delta^{13}\text{C}$   
170 values are reported in standard delta notation against the Vienna Pee Dee Belemnite (VPDB).  
171 All samples were run at least in duplicate, allowing estimation of the standard deviation of  
172 these measurements.  $\delta^{13}\text{C}$  values of the *n*-alkanes in these same samples have been published  
173 previously (Sinninghe Damsté et al., 2011).

174

### 175 **3. Results**

#### 176 3.1 The sedimentary record of *des*-A-triterpenoids in Lake Challa

177 Our analysis of the apolar fractions of 148 biomarker extracts from Lake Challa sediments  
178 covering the last 25 kyr showed the presence of *n*-alkanes, *n*-alk-1-enes, phytadienes,  
179 aromatic triterpenoids, hopenes, and a suite of *des*-A-triterpenoid hydrocarbons with lupane,  
180 oleanane, ursane, and fernane or arborane skeletons. The stratigraphic distribution, origin and  
181 palaeoenvironmental significance of the *n*-alkanes and *n*-alk-1-enes have been discussed by  
182 Sinninghe Damsté et al. (2011) and van Bree et al. (2014), respectively.

183 We detected 11 distinct *des*-A-triterpenoid hydrocarbon compounds on the basis of on  
184 their relative retention times, published mass spectra, and interpretation of mass spectrometric  
185 fragmentation patterns. The mass spectra of ten *des*-A-triterpenes and one *des*-A-triterpane  
186 exhibit molecular ions at  $m/z$  324, 326, 328 or 330. In this paper we focus on the distribution,  
187 origin and potential paleoenvironmental application of the five *des*-A-triterpenoids with  
188 lupane, oleanane and ursane skeletons (Fig. 2A). The identification and geochemical  
189 significance of the other, arborane- or fernane-derived *des*-A-triterpenoids will be discussed

190 elsewhere. Next to these *des-A*-triterpenoids with arborane or fernane skeleton, several other,  
191 non-identified *des-A*-triterpenoids co-elute, occur infrequently or in low relative abundance  
192 and are not further discussed.

193 Compound **1** ( $M^{+}$  at  $m/z$  328; Fig. 2B) was identified as *des-A*-olean-13(18)-ene  
194 (Corbet, 1980; Logan and Eglinton, 1994; Jacob et al., 2007; Huang et al., 2008), and  
195 compound **2** ( $M^{+}$  at  $m/z$  328; Fig. 2B) was tentatively assigned to *des-A*-olean-12-ene  
196 (Corbet, 1980; Logan and Eglinton, 1994; Jacob et al., 2007). *Des-A*-triterpenoid **3** ( $M^{+}$  at  
197  $m/z$  328; Fig. 2B) was identified as *des-A*-urs-13(18)-ene (Corbet, 1980; Logan and Eglinton,  
198 1994; Jacob et al., 2007). Compound **4** ( $M^{+}$  at  $m/z$  328; Fig. 2B) represents a mixture of *des*-  
199 *A*-ursenes, which could not be further identified. *Des-A*-triterpenoid **5** exhibiting an  $M^{+}$  at  
200  $m/z$  330 (Fig. 2B) was identified as *des-A*-lupane (Corbet, 1980; Schmitter et al., 1981;  
201 Trendel et al., 1989; Boreham et al., 1994; Jacob et al., 2007; Huang et al., 2008).

202 The accumulation rates (in  $\text{mg m}^{-2} \text{yr}^{-1}$ ) of these *des-A*-triterpenoid hydrocarbons in  
203 the sedimentary record vary considerably over time (Fig. 3). The stratigraphic distributions of  
204 *des-A*-triterpenoids with lupane (**5**), ursane (**3**) and oleanane (**1+2**) skeletons are all similar  
205 and significantly inter-correlated: *des-A*-lupane with *des-A*-oleanenes ( $R^2=0.53$ ), *des-A*-  
206 oleanenes with *des-A*-ursene ( $R^2=0.62$ ) and *des-A*-lupane with *des-A*-ursene ( $R^2=0.81$ ).  
207 Throughout the record, *des-A*-lupane is more abundant than *des-A*-ursene, whereas *des-A*-  
208 oleanenes concentration is lowest (Fig. 3). They all exhibit low accumulation rates from  
209 25,000 to 15,000 years ago (the glacial and early post-glacial period) and much higher (on  
210 average 5 to 11 times) accumulation rates in last 12,000 years (the Holocene).

211 The  $\delta^{13}\text{C}$  values of *des-A*-lupane (Fig. 4) vary between -25.1‰ and -29.4‰ (-  
212 27.4‰ $\pm$ 1.1‰ on average) with no significant trend over time ( $R^2=0.042$ ;  $n=38$ ;  $p=0.22$ ).  
213 Determinations of  $\delta^{13}\text{C}$  of other *des-A*-triterpenoids of the oleanane and ursane type in the  
214 unsaturated hydrocarbon fraction were unsuccessful due to co-elution or low abundances.

215

### 216 3.2 Triterpenoids and *des-A*-triterpenoids in soil and litter surrounding the lake

217 No *des-A*-triterpenoids were identified in the hydrocarbon fraction of extracts from soils and  
218 litter. Functionalized triterpenoids in soils along the crater rim (Fig. 1) were identified as urs-  
219 12-en-3 $\beta$ -ol ( $\alpha$ -amyrin), olean-12-en-3 $\beta$ -ol ( $\beta$ -amyrin), olean-13(18)-en-3 $\beta$ -ol, urs-9(11),12-  
220 dien-3-one, taraxerone, lup-20(29)-en-3-one, lup-20(29)-en-3-ol acetate and friedelan-3-one  
221 and, tentatively, methyl-ursa-2,12-dien-28-oate. Also three pentacyclic triterpene methyl  
222 ethers (PTMEs) with oleanane and taraxerane skeletons were detected: 3 $\beta$ -methoxy-olean-12-  
223 ene (iso-sawamilletin;  $\beta$ -amyrin ME), 3 $\beta$ -methoxy-olean-18-ene ME (miliacin; germanicol  
224 ME) and 3 $\beta$ -methoxy-taraxer-14-ene (sawamilletin, crusgallin or taraxerol ME). Litter  
225 samples contained the oleanane-type triterpenoids  $\beta$ -amyrenone, olean-18-en-3 $\beta$ -one  
226 (germanicone), olean-18-en-3 $\beta$ -ol (germanicol) and  $\beta$ -amyrin acetate. The functionalized  
227 ursane-type triterpenoids present were  $\alpha$ -amyrinone and  $\alpha$ -amyrin acetate. One litter sample  
228 contained a lupeol acetate.

229

### 230 3.3 *Des-A*-triterpenoids in sedimenting particles and suspended particulate matter

231 In the extracts of sedimenting particles, only low concentrations of *des-A*-urs-13(18)-ene and  
232 *des-A*-lupane were detected, next to traces of functionalized triterpenoids such as  $\alpha$ -amyrin.  
233 Hydrocarbon concentrations in the SPM extracts were too low for identification of specific  
234 triterpenoid compounds.

235

## 236 **4. Discussion**

237 The strong correlation between accumulation rates of *des-A*-oleanenes, *des-A*-ursene and *des*-  
238 *A*-lupane (Fig. 3) suggest a common origin for these *des-A*-triterpenoids. Higher plant  
239 material originates predominantly from washed-in debris of local terrestrial vegetation from

240 within the crater catchment, because of the absence of riverine input, the limited amount of  
241 leaf waxes deposited from the air, and the near-absence of submerged or emergent aquatic  
242 macrophytes due to the steep crater walls both above and below the lake surface (Sinninghe  
243 Damsté et al., 2011). While various *des-A*-triterpenoid hydrocarbons are present both in the  
244 sediment record and to some extent in settling particles, these compounds are lacking in the  
245 collected litter and soil. *Des-A*-triterpenoids are thought to result from microbial degradation  
246 of functionalized triterpenoids, under anoxic or reducing conditions (e.g. Lohmann et al.,  
247 1990; Jacob et al., 2007; Huang et al., 2008); their presence in the sediment trap material  
248 indicates that microbial degradation is not restricted to the anoxic lower water column in Lake  
249 Challa. One other mechanism for *des-A*-triterpenoids to enter the system, namely the  
250 washing-in of microbial degradation products from (anoxic) soils, is unlikely in Lake Challa,  
251 as the studied litter and soils did not contain *des-A*-triterpenoids.

252         The principal degradation processes affecting pentacyclic triterpenoids have been  
253 described by various authors (e.g. Trendel et al., 1989; Hauke et al., 1992a, 1992b; Jacob et  
254 al., 2007), although not all transformation routes are completely established. Early diagenetic  
255 degradation of C-3 oxygenated triterpenoids involves either A to D-ring aromatization or the  
256 loss of the A-ring, followed by progressive aromatization from ring B to D (Trendel et al.,  
257 1989; Lohmann et al., 1990). The dominant transformation pathway of non-hopanoid  
258 triterpenoids in Lake Challa sediments is loss of the A-ring. This loss can be initiated by the  
259 formation of A-seco-intermediates, a process that can already occur within the vegetation by  
260 photochemical or photomimetic influences (Corbet, 1980; Baas, 1985), but in Lake Challa  
261 sediments such intermediates were not identified.

262

263 4.1 *Des-A*-oleanenes and *des-A*-ursenes

264 Oleanane- and ursane-type triterpenoids are generally considered to be biomarkers of  
265 terrestrial higher plants, specifically angiosperms (e.g. Diefendorf et al., 2012). Smetanina et  
266 al. (2001) identified miliacin (3 $\beta$ -methoxy-olean-18-ene) in a marine fungus, which would  
267 imply that oleanoid-type triterpenoids are not exclusively produced by terrestrial higher  
268 plants. However, a new study on this fungal species did not yield any miliacin (Bossard et al.,  
269 2013). We therefore consider all oleanane- and ursane-type triterpenoids as angiosperms  
270 biomarkers.

271         Functionalized triterpenoids with ursane and oleanane skeletons occur in the local  
272 vegetation, soils and litter of Lake Challa, often as  $\alpha$ - or  $\beta$ -amyrin. The accumulation of their  
273 *des*-A-counterparts in the sediments increased markedly from 15 kyr BP onwards (Fig. 3). A  
274 comparatively low accumulation of higher plant *des*-A-triterpenes occurred during the C<sub>4</sub>-  
275 plant dominated glacial and early late-glacial periods (Sinninghe Damsté et al., 2011; Fig. 4),  
276 suggesting that the C<sub>4</sub> grasses which dominated the vegetation inside the crater at that time  
277 did not produce (much of these) triterpenoids. The increase in higher plant *des*-A-triterpenoid  
278 accumulation after 15 kyr BP (Fig. 3) broadly coincides with the shift towards a mixed C<sub>3</sub>/C<sub>4</sub>  
279 vegetation as inferred from  $\delta^{13}\text{C}$  signature of *n*-C<sub>31</sub> alkanes (Fig. 4; Sinninghe Damsté et al.,  
280 2011) and palynological data (van Geel et al., 2011), following the post-glacial intensification  
281 of the region's monsoon rainfall (Verschuren et al., 2009).

282         Concentrations of *des*-A-oleanenes in Lake Challa sediments are relatively low  
283 compared to the other *des*-A-triterpenoids, especially considering the predominance of  
284 oleanane-type functionalized triterpenoids in the investigated soils and litter. Future studies on  
285 the hydrocarbons in local Lake Challa vegetation might shed light on this apparent  
286 discrepancy. Possibly, aromatization of some triterpenoid types is favored over the loss of the  
287 A-ring, although the low and infrequent occurrence of aromatic triterpenoids in the  
288 sedimentary record does not seem to fit this scenario.

289

#### 290 4.2 *Des-A-lupane*: a tracer of C<sub>3</sub>-plant vegetation composition

291 The only saturated *des-A*-triterpenoid present in Lake Challa sediments is *des-A-lupane*, as  
292 has also been reported from other late-Quaternary lake-sediment records (see e.g. Jacob et al.,  
293 2007; Huang et al., 2008). This saturation may be a result of its specific precursors such as  
294 lupeol, lupanol or lupanone, or because *des-A-lupane* is more resistant to diagenesis  
295 compared to other saturated *des-A*-triterpenoids (Jacob et al., 2007; Huang et al., 2008).

296 *Des-A-lupane* records have been interpreted in different ways. Huang et al. (2008),  
297 who studied the Dajiuhu peat deposit in China, used *des-A-lupane* as a proxy for the  
298 depositional environment at the time of burial, linking sections of the biomarker record with  
299 more *des-A-lupane* to episodes of limited degradation (i.e. better preservation). This  
300 mechanism is less likely to apply to Lake Challa, because of its relatively constant  
301 sedimentation rate and continuously anoxic bottom-water conditions. In a study on the  
302 Brazilian Lake Caçó, *des-A-lupane* was thought to be derived from a belt of spike-rush  
303 (*Eleocharis* sp.), an emergent aquatic macrophyte (Jacob et al., 2007). As already mentioned,  
304 aquatic macrophytes are not a likely source of organic matter input in Lake Challa, as the  
305 shoreline of Lake Challa is rocky and near-vertical (Fig. 1), which largely prevents aquatic  
306 macrophyte growth. Even substantial lake-level lowering, which occurred during the early  
307 late-glacial period (Moernaut et al. 2010), is not expected to have created more favorable  
308 conditions for development of aquatic macrophytes (Sinninghe Damsté et al., 2011). Given  
309 the absence of functionalized triterpenoids in the sedimentary record of Lake Challa, we  
310 consider the sedimentary *des-A-lupane* record (Fig. 3) to reflect the input of its precursors in  
311 the lake, with a constant transformation of these precursors into *des-A-lupane*, and minor or  
312 no degradation of *des-A-lupane* over the last 25-kyr.

313 Most non-hopanoid triterpenoids are not species-specific, and the lupane-type  
314 triterpenoids are no exception. Although these compounds occur in both C<sub>3</sub> and C<sub>4</sub> plants (e.g.  
315 Misra et al., 1988; Macías-Rubalcava et al., 2007; Saleem, 2009; Singariya et al., 2012, 2014),  
316 the specific  $\delta^{13}\text{C}$  value of *des*-A-lupane (-27.4‰ on average, SD=±1.1‰, n=38; Fig. 4)  
317 clearly indicates a C<sub>3</sub>-plant origin (*cf.* Castañeda et al., 2009; Diefendorf et al., 2012).  
318 Regnery et al. (2013) reported a comparable  $\delta^{13}\text{C}$  signature (ranging from -28‰ to -30.6‰)  
319 of *des*-A-lupane in lake sediments from the Holsteinian interglacial (*cf.* Marine Isotope Stage  
320 11c) at Dethlingen in Germany, also similar to *des*-A-lupane isotope values in Tertiary brown  
321 coal from China (-28.1±0.6‰; Schoell et al., 1994). Some genera of the Betulaceae (birch  
322 family) biosynthesize *des*-A-lupane precursors and, therefore, this C<sub>3</sub> plant family is regularly  
323 designated as an important biological source of *des*-A-lupane (Regnery et al., 2013; Schnell et  
324 al., 2014). However, Betulaceae do not naturally occur in tropical Africa and no Betulaceae  
325 vegetation or pollen are found in Lake Challa (van Geel et al., 2011; Sinninghe Damsté et al.,  
326 2011), so in this setting, *des*-A-lupane must originate from other C<sub>3</sub>-plant species.

327 In line with Regnery et al. (2013), and in contrast to Jacob et al. (2007), Huang et al.  
328 (2008) and Diefendorf et al. (2012), the concentration of *des*-A-lupane in Lake Challa  
329 sediments correlates well with oleanane- and ursane-type *des*-A-triterpenoids. We propose  
330 that this dichotomy can be explained by one or more different sources of *des*-A-lupane in  
331 these latter studies.

332 An interesting feature of the *des*-A-lupane  $\delta^{13}\text{C}$  record is the apparent lack of trend  
333 over longer timescales (Fig. 4). This record does show some variability over time, but,  
334 unexpectedly, no trend, even though large changes in  $p\text{CO}_2$  (and its carbon isotopic  
335 composition), rainfall and vegetation occur over the glacial-interglacial transition. Recently it  
336 was shown that carbon isotope discrimination in C<sub>3</sub> land plants is independent of natural  
337 variations in  $p\text{CO}_2$  (e.g. Kohn, 2016). Furthermore, the  $\delta^{13}\text{C}$  value of  $\text{CO}_2$  varies by only

338 ~1‰ (between -7‰ and -6‰) over the LGM and Holocene, a variability that is hard to  
339 differentiate within the analytical error margins of compound specific  $\delta^{13}\text{C}$  analysis. Lastly,  
340 the modest variability in the *des-A-lupane*  $\delta^{13}\text{C}$  record in Lake Challa that does occur shows  
341 no clear connection to the regional vegetation changes and the alternation of wetter and drier  
342 periods documented from other proxies. This absence of a clear trend in  $\delta^{13}\text{C}$  values of *des-A-*  
343 *lupane* was also noted by Regnery et al. (2013) in their interglacial record from Dethlingen  
344 paleolake.

345 Recent studies have indicated the need for more direct proxies of  $\text{C}_3$  and  $\text{C}_4$  higher plants  
346 (Diefendorf et al., 2010; Castañeda and Schouten, 2011), and our findings may be an  
347 important step in this development. Firstly, the concentration of *des-A*-triterpenoids with  
348 ursane/oleanane/lupane skeletons in the Lake Challa record is high when  $\text{C}_3$  plants (trees and  
349 shrubs) are common in local/regional vegetation (van Geel et al., 2011; Sinninghe Damsté et  
350 al., 2011). Therefore, we might be able to use these compounds as an absolute measure of  $\text{C}_3$   
351 plant abundance, instead of the usual estimate of  $\text{C}_3$  or  $\text{C}_4$  percentage based on variation in *n*-  
352 alkane  $\delta^{13}\text{C}$  values. Secondly, we introduce a new way of estimating the average  $\delta^{13}\text{C}$  value of  
353  $\text{C}_3$  vegetation at any point in time, which can be important for carbon cycle studies, as  $\delta^{13}\text{C}$   
354 records of  $\text{C}_3$  plants could be used by modelers to assess the possible  $p\text{CO}_2$ -effect of plant  
355  $\delta^{13}\text{C}$  (Kohn, 2016). Thirdly, we can use this  $\text{C}_3$ -plant specific  $\delta^{13}\text{C}$  record for improving  
356 estimates of the  $\text{C}_3/\text{C}_4$  ratio in past vegetation. Recently, the relative contribution of  $\text{C}_3$  and  $\text{C}_4$   
357 plants in terrestrial vegetation has been modeled using the  $\delta^{13}\text{C}$  value of long-chain *n*-alkanes  
358 (e.g. Castañeda et al., 2007; Sinninghe Damsté et al., 2011; Berke et al., 2012). In the  
359 Holocene section of our Lake Challa record, *des-A-lupane*  $\delta^{13}\text{C}$  values are on average less  
360 depleted (-27.6‰) than those of the *n-C*<sub>31</sub> alkane (-29.1‰; Sinninghe Damsté et al., 2011);  
361 the situation is reversed during the glacial period, where average *des-A-lupane*  $\delta^{13}\text{C}$  values (-  
362 27.2‰) are more depleted than those of *n-C*<sub>31</sub> alkanes (-24.3‰; Sinninghe Damsté et al.,



2011). During the Holocene period, C<sub>3</sub> plants are estimated to contribute ca. 50% of the *n*-C<sub>31</sub> alkanes (Sinninghe Damsté et al., 2011). This value was calculated using a simple binary box model, in which a 50/50 mixture of C<sub>3</sub>- and C<sub>4</sub>-derived alkanes (with mean values of respectively -35.2‰ and -21.7‰ in modern plants; Castañeda et al., 2009) yields an average δ<sup>13</sup>C value of -28.5‰. During the glacial period, vegetation in the Lake Challa region was dominated by C<sub>4</sub> grasses; the mixed C<sub>3</sub>/C<sub>4</sub> composition developed only from 16.5 kyr BP onwards (Sinninghe Damsté et al., 2011), when a riparian forest of C<sub>3</sub> trees and shrubs started growing inside the crater (van Geel et al., 2011). This local growth of C<sub>3</sub> vegetation is also clearly evident in the record of *des*-A-triterpenoid hydrocarbons with lupane, ursane and oleanane skeletons (Fig. 3).

The carbon isotopic fractionation between acetyl CoA-based compounds (e.g. *n*-alkanes) and the isoprene-based isoprenoids essentially depends on the biosynthetic pathways by which they are produced (e.g. MVA for isoprenoids in angiosperms). In their study of North American C<sub>3</sub> plants, Diefendorf et al. (2012) showed that this biosynthetic offset between terpenoids and *n*-alkanes is ~4-6‰. Correspondingly, also in sedimentary records, the <sup>13</sup>C of terpenoids is typically reported to be enriched by 5-6‰ compared to *n*-alkanes. We do not expect this biosynthetic offset between triterpenoids and *n*-alkanes to be different in East African vegetation. Temperate C<sub>3</sub> plants may have significantly lower ‘overall’ lipid fractionation values compared to tropical species (Diefendorf et al., 2011), but this will influence the *n*-alkanes and the terpenoids in the same way. Hence, we can use the δ<sup>13</sup>C of *des*-A-lupane as a temporal (i.e. time-specific) C<sub>3</sub> endmember in modeling the C<sub>3</sub>/C<sub>4</sub> ratio of local vegetation. To this end we subtracted an average terpenoid enrichment of 5.5‰ from the individual *des*-A-lupane δ<sup>13</sup>C values of to estimate the δ<sup>13</sup>C values of *n*-C<sub>31</sub> alkanes derived from local C<sub>3</sub>-plants at each time interval. We use the average offset as recorded in sediments (Diefendorf et al., 2012) for this down-core correction exercise, as sediments contain more

388 integrated, averaged signals compared to fresh plant material. Introduction of these corrected  
389  $n$ -C<sub>31</sub> alkane  $\delta^{13}\text{C}$  values in the binary box model for C<sub>3</sub>/C<sub>4</sub> ratio calculation, using a constant  
390  $n$ -C<sub>31</sub> alkane  $\delta^{13}\text{C}$  value of -21.7‰ derived from C<sub>4</sub> plants (*cf.* Castañeda et al., 2009), results  
391 in an estimated increase in the relative proportion of C<sub>3</sub> plants by 0 and 19% (Fig. 5) as  
392 compared to values obtained by Sinninghe Damsté et al. (2011). Our %C<sub>3</sub> estimates are  
393 different from the previous estimates (t-test;  $p < 0.0001$ ,  $n = 35$ ), and the difference remains  
394 significant throughout the terpenoid to  $n$ -alkane offset range of 4 to 6‰ (i.e. the range that  
395 exists in modern plants; Diefendorf et al., 2012). From this exercise we conclude that  
396 especially in the Holocene part of the Lake Challa record, when local vegetation had a mixed  
397 C<sub>3</sub>/C<sub>4</sub> composition, a reconstruction using fixed C<sub>3</sub>-plant  $\delta^{13}\text{C}$  values underestimates the  
398 fraction of C<sub>3</sub> vegetation, while there is less discrepancy during the glacial and early late-  
399 glacial periods when C<sub>4</sub> plants (here mostly grasses) were dominant. This result is especially  
400 valuable for carbon-cycle modeling studies, where correct assessment of climate-vegetation  
401 feedbacks strongly depends on correct estimates of past C<sub>3</sub>/C<sub>4</sub> (and hence biome) distribution.

402         Our method for time-specific correction of the fraction of C<sub>3</sub> plants in local vegetation  
403 has the potential to enhance the accuracy of C<sub>3</sub>/C<sub>4</sub> vegetation reconstructions in all situations  
404 where the local C<sub>3</sub>-plant signal is as strong as during the Holocene around Lake Challa (Fig.  
405 5). Using sample-specific *des*-A-triterpenoid  $\delta^{13}\text{C}$  values for estimating this local C<sub>3</sub>  
406 vegetation component is convenient, as for example *des*-A-lupane is present in the saturated  
407 aliphatic lipid fraction, just like the  $n$ -alkanes, and can therefore be measured in the same  
408 analysis.

409

## 410 **5. Conclusion**

411 We investigated the possibility to use the degradation products of terrestrial higher plant  
412 pentacyclic triterpenoids as a proxy for local vegetation reconstructions. The accumulation of

413 *des-A*-triterpenoids with oleanane/ursane/lupane skeletons serves as a proxy record for the  
414 local abundance of C<sub>3</sub> vegetation. The δ<sup>13</sup>C signature of *des-A*-lupane can be used as a proxy  
415 for the stable carbon isotopic composition of local C<sub>3</sub> plants if, as in our study site of Lake  
416 Challa, *des-A*-lupane is exclusively of C<sub>3</sub>-plant origin. Therefore, it can be applied as a  
417 temporally variable C<sub>3</sub>-plant end member representing the local C<sub>3</sub> vegetation component in  
418 reconstructions of the C<sub>3</sub>/C<sub>4</sub> ratio through time.

419

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432

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587

### 588 **Figure legends**

589 Figure 1: [A] Location of Lake Challa (3°19'S, 37°42'E) in equatorial East Africa. [B] Lake  
590 Challa and its crater basin with bathymetry at 10-m intervals and sampling locations of the  
591 suspended particulate matter (SPM) profile (**▲**), the sediment trap (**▣**) and the 25,000-year  
592 sediment record (**■**), as well as catchment soils (**●**) and litter (**●**). The outer bold line  
593 indicates the crater rim, which defines the lake's catchment area. Modified after Moernaut et  
594 al. (2010) and van Bree et al. (2014).

595

596 Figure 2: Higher plant derived *des-A*-triterpenoids in the Lake Challa sediments. Panel [A]  
597 shows a partial summed mass chromatogram ( $m/z$  163+177+189+203+218+309) of the  
598 distribution of *des-A*-triterpenoids in the apolar fraction of the lipid biomarker extract from  
599 996-1000 cm core depth, deposited ~12.1 kyr BP. Panel [B] shows the electron-impact mass  
600 spectra of *des-A*-triterpenoids in the Lake Challa sediment record: *des-A*-olean-13(18)-ene  
601 (compound **1**); *des-A*-olean-12-ene (**2**); *des-A*-urs-13(18)-ene (**3**); mixture of *des-A*-ursenes  
602 (**4**); *des-A*-lupane (**5**). Additional information on these compounds is presented in Table 1.

603

604 Figure 3: Variation through time in the accumulation rates (in  $\text{mg m}^{-2} \text{yr}^{-1}$ , not cumulative) of  
605 the *des-A*-triterpenoid compounds for *des-A*-lupane, *des-A*-ursenes and *des-A*-oleanenes in  
606 Lake Challa sediments. Shaded areas represent the LGM (26.5-19 kyr BP), Heinrich event H1  
607 (16.8-15.4 kyr BP) and YD (13-11.5 kyr BP).

608

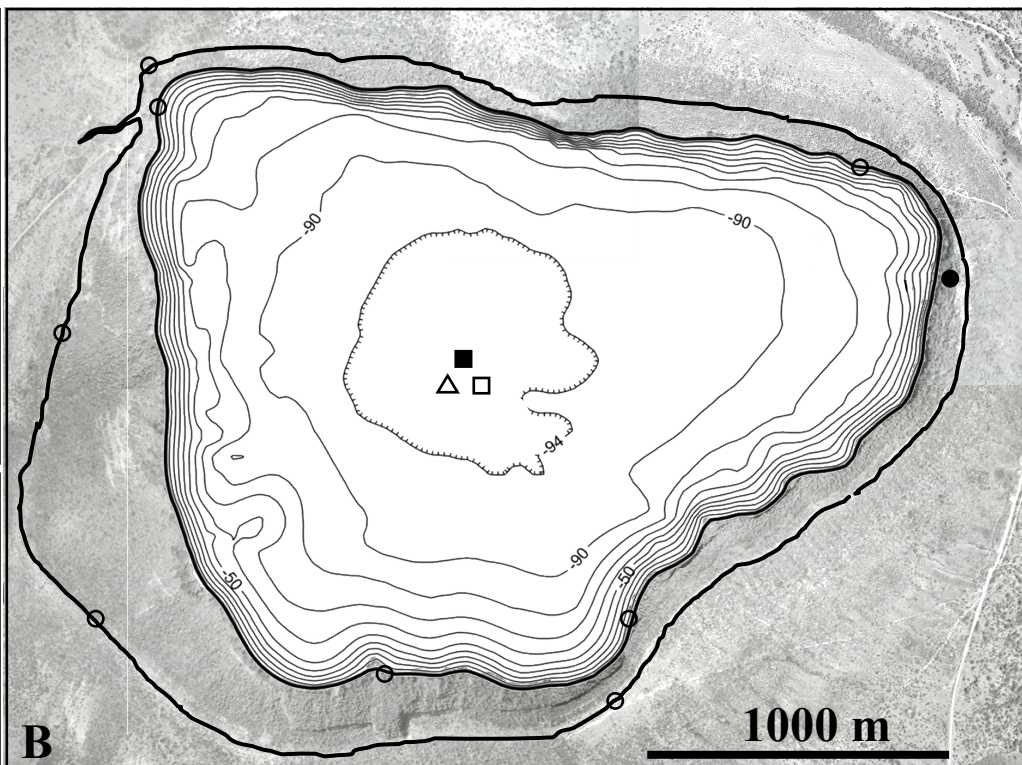
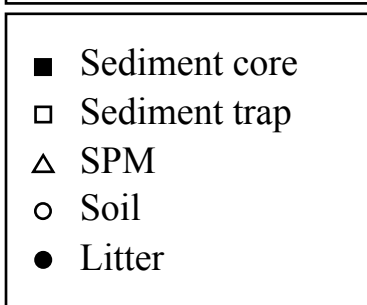
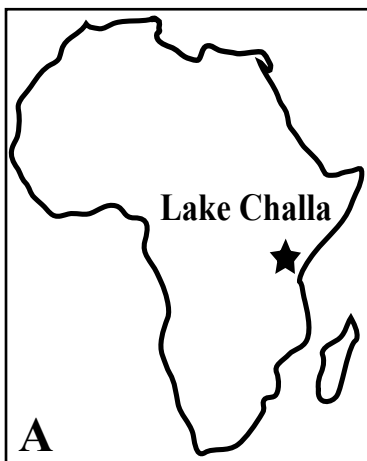
609 Figure 4: Variation through time in the stable carbon isotopic composition ( $\delta^{13}\text{C}$ , in ‰ vs.  
610 VPDB) of  $n\text{-C}_{31}$  alkanes (Sinninge Damsté et al., 2011) and *des*-A-lupane (this study).

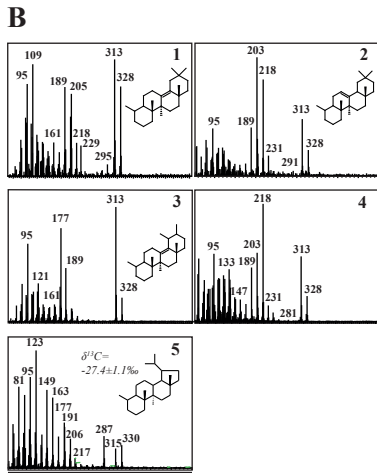
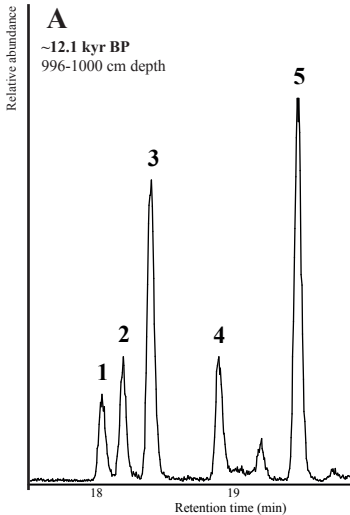
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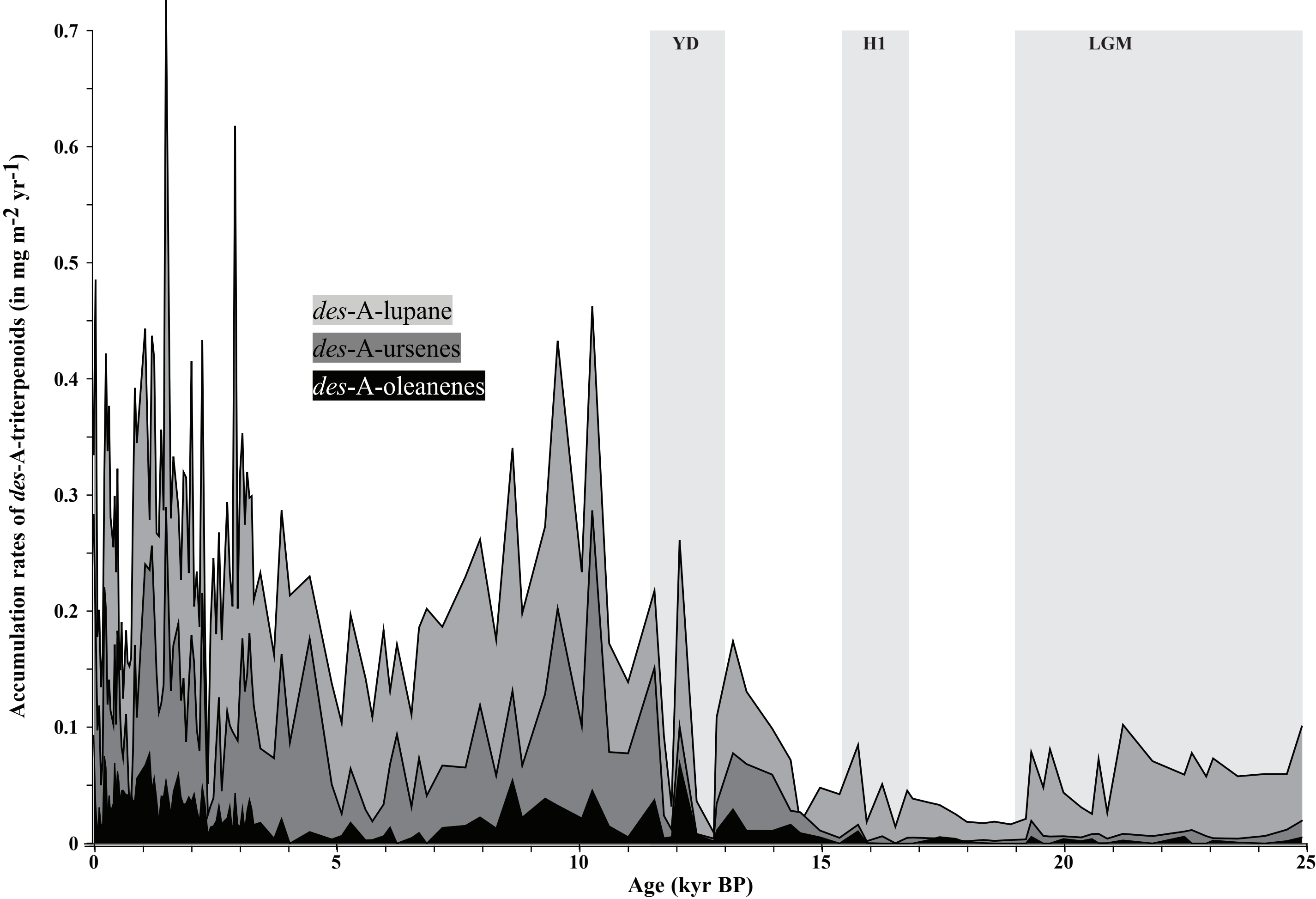
612 Figure 5: Percentage of  $\text{C}_3$  vegetation, based on  $n\text{-C}_{31}$  alkane  $\delta^{13}\text{C}$  values (data from  
613 Sinninge Damsté et al., 2011), calculated in two different ways: first (solid line) using *des*-  
614 A-lupane as the local and temporally variable  $\text{C}_3$ -plant endmember and an average terpenoid  
615 fractionation of 5.5‰ relative to the  $n$ -alkanes, and second (dashed line) based on fixed  $\delta^{13}\text{C}$   
616 values for the  $\text{C}_3$ -plant (-35.2‰) and  $\text{C}_4$ -plant (-21.7‰) endmembers taken from the literature  
617 (Sinninge Damsté et al., 2011). The range of  $\text{C}_3$  estimates when the modern terpenoid  
618 fractionation range of 4 to 6‰ is taken into account is plotted as a grey band. Boxplots show  
619 the differences between the original and *des*-A-lupane (grey, left-hand side) corrected  $\text{C}_3$   
620 estimate in the glacial and early late-glacial (25 to 16 kyr BP) and in the interglacial period  
621 (Holocene, 12.3 to 0 kyr BP) (thus excluding the glacial-interglacial transition), with median,  
622 first and third quartiles, and whiskers depicting the minimum/maximum values.

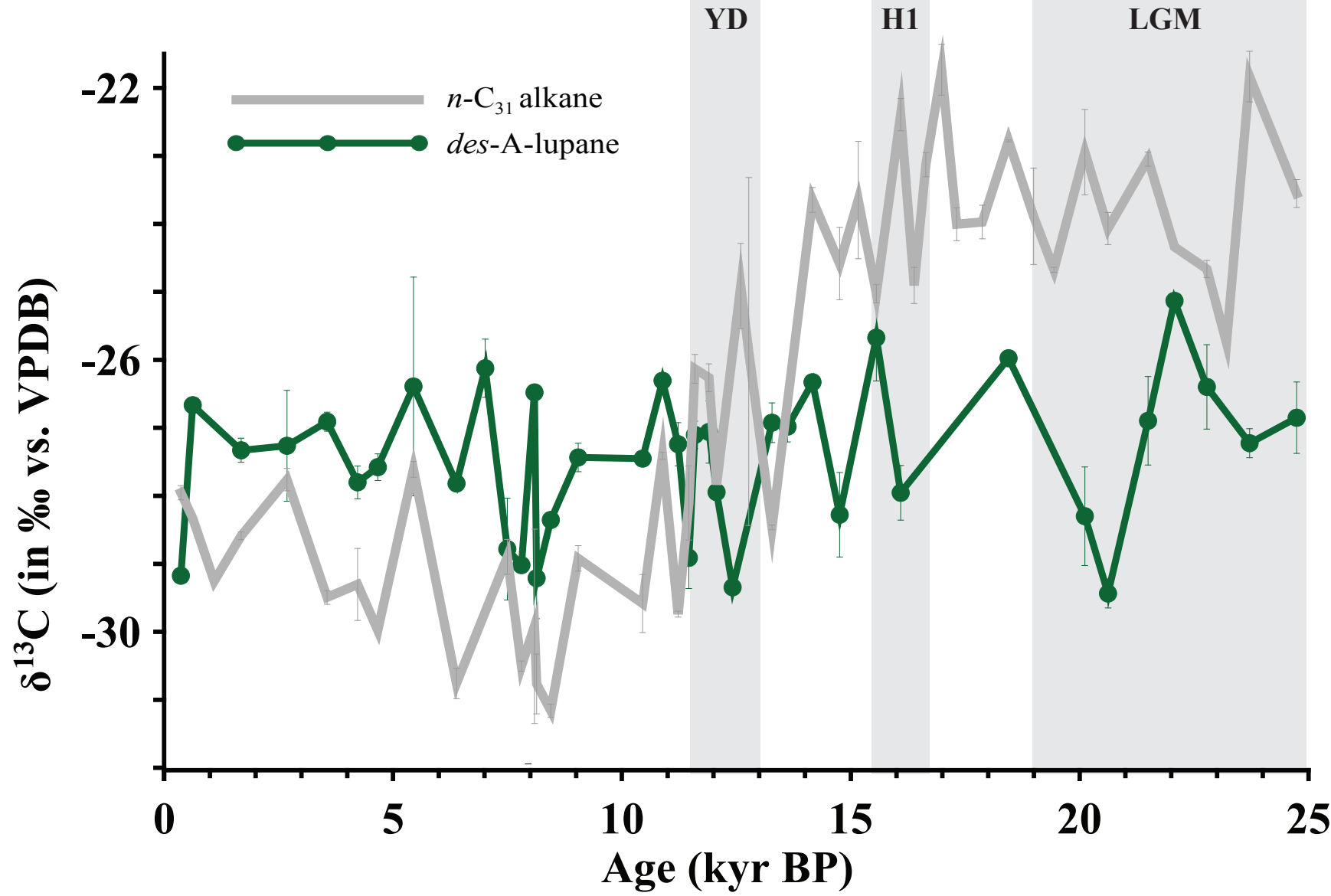
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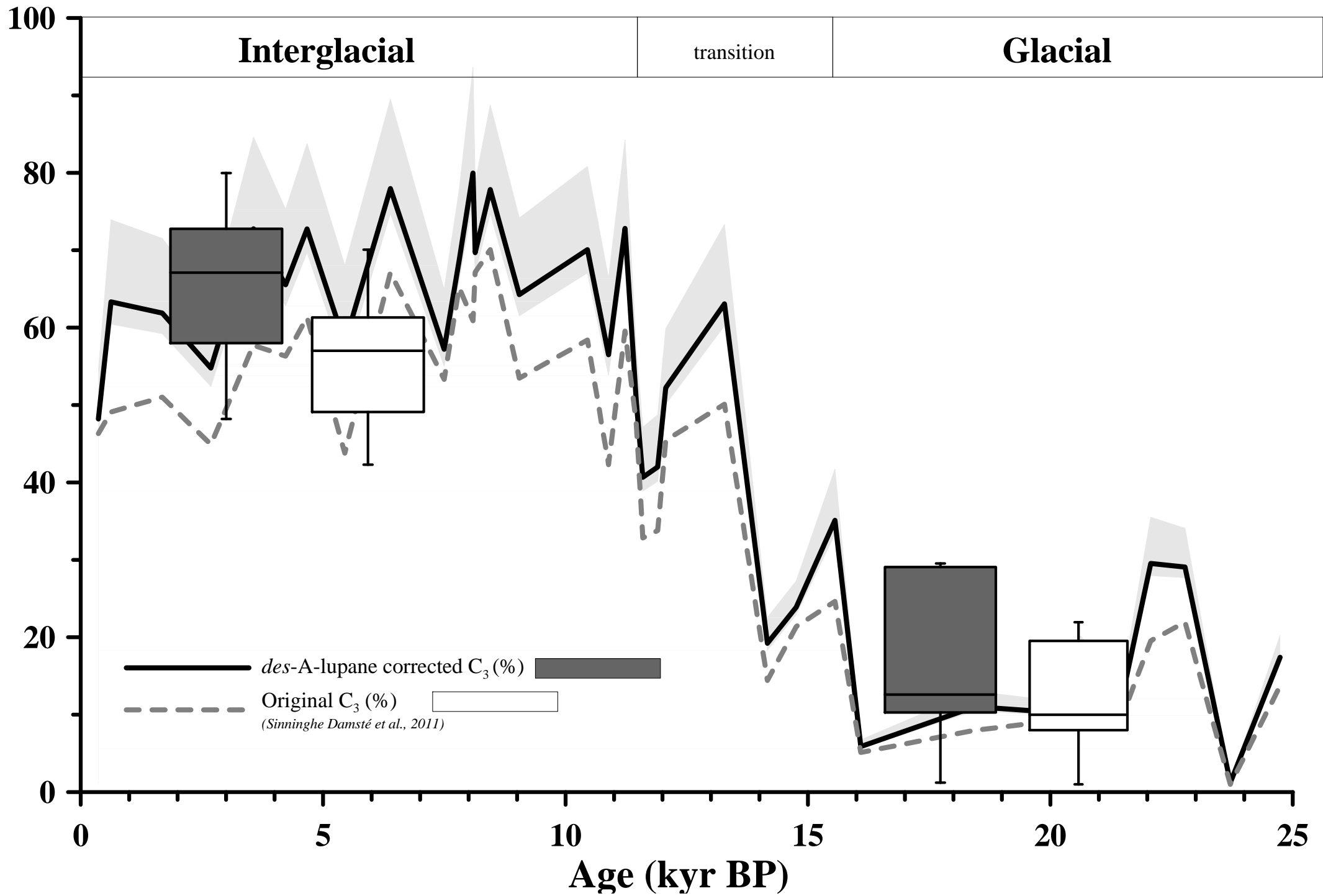
624 Table 1: List of *des*-A-triterpenoids in the Lake Challa sediment record with retention times,  
625 mass spectral data and (tentative) identifications. References: **A:** Corbet (1980); **B:** Logan and  
626 Eglinton (1994); **C:** Trendel et al. (1989); **D:** Jacob et al. (2007); **E:** Huang et al. (2008); **F:**  
627 Schmitter et al. (1981); **G:** Boreham et al. (1994)













Peak number	Retention time (GCMS)	Most significant ions (in order of decreasing abundance)	M <sup>+</sup>	Formula	Tentative identification	References
1	18.16	109, 313, 189, 328 [M <sup>+</sup> ], 205, 204, 218, 161	328	C <sub>24</sub> H <sub>40</sub>	<i>Des-A-olean-13(18)ene</i>	A B C D E
2	18.30	203, 218, 313, 189, 328 [M <sup>+</sup> ], 231, 243	328	C <sub>24</sub> H <sub>40</sub>	<i>Des-A-olean-12-ene</i>	A B D
3	18.52	313, 177, 189, 121, 175, 328 [M <sup>+</sup> ], 218	328	C <sub>24</sub> H <sub>40</sub>	<i>Des-A-urs-13(18)ene</i>	A B D
4	19.01	218, 203, 313, 189, 133, 328 [M <sup>+</sup> ], 231	328	(C <sub>24</sub> H <sub>40</sub> )	Mixture, <i>i.a. des-A-ursenes</i>	
5	19.60	123, 149, 163, 191, 287, 206, 330 [M <sup>+</sup> ], 315	330	C <sub>24</sub> H <sub>42</sub>	<i>Des-A-lupane</i>	A C D E F G